The Flow Caml System version 1.00

Documentation and user's manual

VINCENT SIMONET July, 2003

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An electronic version of this document is available at the following address: http://cristal.inria.fr/~simonet/soft/flowcaml/manual/

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Abstract

Flow Caml is an extension of the Objective Caml language with a type system tracing information flow. Its purpose is basically to allow to write "real" programs and to automatically check that they obey some confidentiality or integrity policy. In Flow Caml, standard ML types are annotated with security levels chosen in a user-definable lattice. Each annotation gives an approximation of the information that the described expression may convey. Because it has full type inference, the system verifies, without requiring source code annotations, that every information flow caused by the analyzed program is legal with regard to the security policy specified by the programmer.

Contents

Ι	Ar	ı intro	oduction to Flow Caml	7
1	Ove	erview		9
	1.1	Langu	age-based Information Flow Analysis	9
	1.2	Relati	ng Flow Caml to Objective Caml	10
	1.3	How t	o get the Flow Caml system?	10
	1.4	Theore	etical background and related work	10
2	АТ	Tutoria	1	13
	2.1	Securi	ty levels and data structures	13
		2.1.1	Simple types	13
		2.1.2	Strings	16
		2.1.3	Lists	16
		2.1.4	Options	17
		2.1.5	Tuples	18
	2.2	Constr	rained type schemes	18
		2.2.1	Subtyping	18
		2.2.2	level constraints	21
		2.2.3	content constraints	23
		2.2.4	Same-skeleton constraints	25
		2.2.5	Functions as values	26
		2.2.6	Interlude: the graphical output of type schemes	26
	2.3	Impera	ative features	28
		2.3.1	Direct and indirect information flows	28
		2.3.2	References	29
		2.3.3	Arrays, strings and loops	31
	2.4	Dealin	g with exceptions	32
		2.4.1	Rows	32
		2.4.2	Exceptions and side-effects	35
		2.4.3	The special constructs: try finally and try propagate	37
		2.4.4	Parameterized exception names	38
	2.5	Defini	ng new types	39
		2.5.1	Variants	39
		2.5.2	Records	42
	2.6	Intera	cting with the outside world	45
		2.6.1	The example of the standard input and output	45
		2.6.2	Modeling principals	47
	2.7	The m	nodule language	47
		2.7.1	Structures and signatures	47
		2.7.2	Functors	48
		2.7.3	Side-effects, exceptions and the module language	53

	2.8	Standalone programs	4
		2.8.1 Compilation units and batch compilation	4
		2.8.2 flow declarations in implementations and interfaces	5
		2.8.3 affects and raises statements in interfaces 5	8
п	R	eference manual 6	1
3	The	Flow Caml language 6	3
	3.1	Lexical conventions	3
	3.2	The core language	54
		3.2.1 Values	4
		3.2.2 Names	5
		3.2.3 Security levels	6
		3.2.4 Level definitions $\ldots \ldots \ldots$	6
		3.2.5 Type expressions	7
		3.2.6 Type schemes	7
		3.2.7 Type definitions	8
		3.2.8 Exception definitions	9
		3.2.9 Constants	0
		3.2.10 Patterns	0
		3.2.11 Expressions	<i>"</i> 1
	3.3	The module language	2
		3.3.1 Module types (module specifications)	2
		3.3.2 Module expressions (module implementations)	3
		3.3.3 Compilation units	3
4	Too	7	F
4	100	The internative tenlevel (flowcoml)	ีย "ธ
	4.1	$\begin{array}{c} 1 \text{ In e interactive topiever (IIOwcami)} \\ 1 1 Craphical output \\ \end{array}$	0 75
		4.1.1 Graphical output	5 75
		4.1.2 Options	576
	19	The batch compiler (fleucomle) 7	77
	4.2	$\frac{1}{421} \text{Overview} \qquad \qquad$	77
		$4.2.1 \text{Overview} \dots \dots \dots \dots \dots \dots \dots \dots \dots $	'7
	13	The security policy displayer (flowcamlpol)	1 '8
	4.0	$\frac{110}{431} Overview \qquad \qquad$	0 '8
		$4.3.2 \text{Ontions} \qquad \qquad 7$	0 '0
	1 1	The dependency generator (flowcamlden)	<i>9</i> ′0
	4.4	$\frac{110}{441} \text{ Options} $	<i>9</i> ′0
		4.4.1 Options	9 ()
		4.4.2 A typical Matchie	0
5	The	Flow Caml library 8	3
	5.1	Built-in types and predefined exceptions	3
	5.2	Module Array	5
	5.3	Module Buffer	9
	5.4	Module Char	1
	5.5	Module Charray	1
	5.6	Module Complex	5
	5.7	Module Digest	7
	5.8	Module Filename	18
	5.9	Module Fmarshal	9

5.10	Module	Hashtb	L.																		100
5.11	Module	Int32.																			105
5.12	Module	Int64.					•		•			•		•							108
5.13	Module	List .																			112
5.14	Module	Map			•										•					•	119
5.15	Module	Native	int .		•										•					•	121
5.16	Module	Pervas	ives		•										•					•	125
5.17	Module	Queue .			•										•					•	140
5.18	Module	Random																			141
5.19	Module	Set																			143
5.20	Module	Stack .																			146
5.21	Module	String																			147
5.22	Module	Sys																			150

PART

An introduction to Flow Caml

Overview

1.1 Language-based Information Flow Analysis

A computer system generally handles considerable amount of data. It may be directly stored in memory (e.g. a physical drive) or transit through some network interface or interactive device. Thus, programs running on the system potentially have access to this information, as *inputs*—e.g. the program may read data stored in memory or listen to a network interface—but also as *outputs*—e.g. the program may write data to memory (appending new information to existing one or replacing it) or emit some message on a network interface. Then, they may violate the *privacy* or the *integrity* of data in the system by releasing secret information or corrupting sensitive one. That is the reason why it is mandatory in many situations to control manipulations performed by a program in order to ensure they fulfill some integrity or security policy.

A common solution is to provide an access control system. Roughly speaking, this consists in attaching to every fragment of data some access rights that specify who may read and/or write it; then, only authorized programs are allowed to read or write sensitive information. Such a mechanism is deployed by most operating systems, including all UNIX variants. However, this addresses only a part of the problem because it just controls accesses to information but does not trace the security or integrity laws through computation: for example, a program executed with privileged rights can read a secret location and copy its contents to a public place. Thus, access control mechanisms provide some protection but require the programs to which access is granted to be trusted without any restriction.

Information flow analysis consists in statically analyzing the source code of a program before its execution, in order to ensure that all the operations it performs respect the security policy of the system. In short, this requires to trace every information flow performed by the program and to check it is legal. Such an analysis may be formulated as a type system; this choice presents many advantages: types may serve as a formal specification language and offer automated verification of code—provided type inference is available. Moreover, because the analysis may be performed entirely at compile-time, it has no run-time cost.

Flow Caml is an extension of the Objective Caml language with a type system tracing information flow. Its purpose is basically to allow to write *real* programs and to automatically check that they obey some security policy. In Flow Caml, usual ML types are annotated with *security levels* chosen in a suitable lattice. Each annotation gives an approximation of the information which the expression that it describes may convey. Because it has full type inference, the system verifies, without requiring source code annotations, that every information flow performed by the analyzed program is legal w.r.t. the security policy specified by the programmer.

1.2 Relating Flow Caml to Objective Caml

Let us briefly discuss the relationship between Flow Caml and Objective Caml [LDG⁺02b]. First of all, one may mention that the Flow Caml system—including its type inference engine—is entirely written in Objective Caml. Although some part of Flow Caml's source code comes from that of Objective Caml, the system is distributed as a standalone program—not just a patch on Objective Caml—because its heart, the type inference engine, totally differs from the original one.

Putting aside these implementation issues which do not really concern the final user, the most important relationship between Flow Caml and Objective Caml lies in the fact that the former handles a (large) subset of the language of the latter. Roughly speaking, this means that a Flow Caml program may also be read as an Objective Caml one. However, this is not exactly true because Flow Caml type expressions include security annotations. Flow Caml handles all the core constructs of the Caml language, including imperative features (references, mutable values), exceptions (with the slight difference that exception names are no more first class values), datatypes and pattern matching. It also features most of the module layer of the language, including functors. However, Flow Caml does not support the object-oriented features of Objective Caml, nor polymorphic variants and labels. (In fact, the programming language of Flow Caml is approximately the same as that of the now defunct Caml Special Light.)

For the reason explained above, a Flow Caml program is generally not a valid input for the Objective Caml compiler. Nevertheless, the Flow Caml compiler outputs legal Objective Caml code from Flow Caml code. This allows to compile every program written in Flow Caml, using the byte-code or the native compiler, and running it as for every Objective Caml program. Moreover, it is possible to easily interface a program written in Flow Caml with Objective Caml code and hence to benefit from a large amount of existing libraries.

1.3 How to get the Flow Caml system ?

The Flow Caml system is freely available on the World Wide Web at the following address:

http://cristal.inria.fr/~simonet/soft/flowcaml/

The source distribution should compile on almost every UNIX machine where recent versions of GNU Make and Objective Caml are installed (including the Cygwin environment for Microsoft Windows). A binary distribution for Microsoft Windows operating systems is also provided.

1.4 Theoretical background and related work

The type system implemented in the Flow Caml system for tracing information flow has been developed by François Pottier and Vincent Simonet and is fully presented in [PS02, PS03]. These papers give a formal presentation of the type algebra and typing rules for the core of the language, that is Core ML (a λ -calculus with references, exceptions, primitives and *let*-polymorphism). They also provide a correctness proof of the type system. That means that the (non-interference) property that the system is supposed to enforce has been formally stated and verified.

The design of a type inference engine for a system providing both subtyping and polymorphism formed another part of the work. The form of subtyping present in Flow Caml is generally said to be *structural*. Dealing with subtyping constraints in an efficient way requires quite subtle algorithms; they are presented (and proved correct) in another article [Sim03]. In fact, the type inference engine of Flow Caml has been implemented independently of its final use and is also distributed as a separate library [Sim02]. Hence, we hope it will be suitable for a variety of applications.

The last step of the job consisted in integrating the information flow analysis in the Caml language itself. This required to extend in some way every programming construct provided by the language, including datatype definitions, the module system, the implementation/interface mechanism; in order to obtain a complete programming language.

To the best of our knowledge, the only other real size implementation of a language-based information flow analysis is the *Jif* system by Myers *et al.* [MNZZ01], based on the type system presented in Myers' thesis [Mye99]. This prototype handles a large subset of the Java language, which is roughly comparable to that of Flow Caml. Sketching a comparison, one of the main differences between Flow Caml and Jif is that, going up with the ML tradition, the former features polymorphism and has a full type inference algorithm, while the latter performs only local type reconstruction, in the Java style. In particular, in Jif programs, methods arguments must be annotated with their whole type, including the security annotations. On the other hand, Jif provides an interesting mechanism of *dynamic labels* which allows performing some checks at runtime. This has, for the time being, no counterpart in Flow Caml.

A Tutorial

This chapter introduces various features of the Flow Caml language through examples, beginning with simple expressions, and finally touching upon more advanced points like the module system and the interaction with the outside world. Our goal is to provide an introduction to Flow Caml for someone who has some experience with programming in the Caml language (or possibly another functional language based on the ML type system, such as SML or Haskell). If the reader wishes to learn more about basic programming in Caml, we highly recommend the reading of the first chapter ("*The core language*") of Objective Caml's tutorial [LDG⁺02a].

Excepted in the last section, we use for this tutorial the interactive toplevel, which can be started by running the flowcaml command from the shell. Under the interactive toplevel, the user types Flow Caml phrases, terminated by ;;, in response to the **#** prompt. The system type-checks them on the fly and prints the inferred type scheme.

We encourage the reader to run a Flow Caml toplevel while reading this tutorial in order to interactively discover the language and its type system, by testing the given examples and experimenting his/her own pieces of code. However, this tutorial is also self-contained, so it can be read off-line as well.

2.1 Security levels and data structures

In this section, we explain how ML (data)types are annotated in Flow Caml with *security levels* in order to describe information flow.

2.1.1 Simple types

Let us begin with this first definition:

let x = 1;;
x : 'a int

(In this tutorial, pieces of Flow Caml code are typeset in roman typewriter. They are generally followed by the output produced by the toplevel in *slanted typewriter*.) Our first example simply binds the identifier x to the integer constant 1. The toplevel answers that this constant has type 'a int. In Flow Caml, the type constructor int takes one argument, which is a *security level* belonging to an arbitrary lattice. These annotations allow the system to trace information flow. In the above example, the security level is a variable, 'a; as every variable appearing free in a type, it is implicitly universally quantified. Basically, this means that outside of any context, the constant 1 may have any security level.

The security level of such a constant may be specified thanks to a simple type constraint. Assume we receive three integers from different sources named *Alice*, *Bob* and *Cecil* (such sources are often called *principals* in the literature):

```
let x1 : !alice int = 42;;
val x1 : !alice int
let x2 : !bob int = 53;;
val x2 : !bob int
let x3 : !charlie int = 11;;
val x3 : !charlie int
```

In Flow Caml, each data source may be symbolized by a constant security level such as **!alice**, **!bob** or **!charlie** (Any alphanumeric identifier preceded by a **!** is a suitable constant security level.) Initially, these security levels are incomparable points in the lattice: this means that the principals they represent cannot exchange any information. We will further on see how to allow some (see section 2.6).

The above bindings are global in the toplevel, hence you can use them in the next expressions you enter:

```
x1 + x1;;
- : !alice int
x1 + x2;;
- : [> !alice, !bob] int
x1 * x2 * x3;;
- : [> !alice, !bob, !charlie] int
```

The first expression contains information about only x1, so its security level is !alice. The sum x1 + x2 is liable to leak information about x1 and x2. Then, its security level must be greater than those of x1 and x2: [> !alice, !bob] stands for any level which is greater than or equal to !alice and !bob. This can be read as the "symbolic union" of these two principals. Similarly, the security level of the last expression must be greater than or equal to !alice, !bob and !charlie.

However, some programming experience in Flow Caml shows that using such explicit level constants is most of the time unnecessary: thanks to ML polymorphism, universally quantified type schemes are generally expressive enough to describe a piece of code (such as a function) w.r.t. information flow. In fact, the fundamental use of level constants appears in the interaction with external channels (e.g. file i/o or networking) and will be discussed in section 2.6 of the current tutorial. For the time being, we will only use them in a somewhat artificial way, just for guiding your intuition.

We now define a function which computes the successor of an integer:

let succ = function x -> x + 1;; val succ : 'a int -> 'a int

Once again, the system automatically computes the most general typing for this definition, which is 'a int -> 'a int. This type means that the function succ takes as argument one integer of some level 'a and returns another integer whose security level is exactly the same: indeed the result of this function carries information about its input. Because its type is polymorphic w.r.t. the security level of the integer argument, you can apply succ on arguments of different levels:

```
succ x1;;
- : !alice int
succ x2;;
- : !bob int
```

This example is sufficient to illustrate that polymorphism on security levels is a prominent feature for type systems tracing information flow: here, in the absence of polymorphism, one have to write a specialized version of the function **succ** for every level it is used with.

It is worth noting that information flow is traced in a somewhat conservative way: in the underlying security model, there is an information flow from an input to an output as soon as knowing the latter reveals some information, even incomplete, about the former. Let us for instance consider the following function which computes the euclidean division of an integer by 2 thanks to a logical shift.

```
let half = function x -> x lsr 1;;
val half : 'a int -> 'a int
```

The inferred scheme for half is exactly the same as that of succ: it reflects that the result produced by half reveals some information about its input. However, this leak is only partial, because it is, for instance, not possible to completely retrieve x1 from the result of half x1. In some situations, this may yield typings which are surprising at first sight:

```
let return_zero = function x -> x * 0;;
val return_zero : 'a int -> 'a int
```

In this example, the system detects a dependency between the input and the output of the function, although there is none: **return_zero** always returns zero! Roughly speaking, this is because for the system, the result of a product always leaks information about the two factors, whatever they are. Obviously, if you rewrite the function as follows:

```
let return_zero' = function x -> 0;;
val return_zero' : 'a int -> 'b int
```

you obtain a more precise statement: in the inferred type, the security level of the result of the function (denoted by the variable 'b) is not related to that of the input ('a), reflecting the absence of information flow from the latter to the former.

```
return_zero x1;;
- : !alice int
return_zero' x1;;
- : 'a int
```

In addition to integers, Flow Caml offers the usual basic datatypes: booleans, floating-point numbers and characters. Like int, the corresponding type constructors carry one security level:

```
let y0 = true;;
- : 'a bool
let z0 = 'a';;
val z0 : 'a char
```

These do not raise particular difficulties, since they behave exactly like integers w.r.t. information flow analysis. For instance, usual arithmetic functions for floating point numbers are available:

let pi = 3.14159265359;; val pi : 'a float let pi' = 4.0 *. atan 1.0;; val pi' : 'a float

2.1.2 Strings

There are two flavors of character strings in Flow Caml: immutable strings (type string) mutable ones (type charray). One may wonder why we distinguish them, since in Objective Caml every string is mutable—even if it is not used as such—and everything works well. This design choice is motivated because, in type systems tracing information flow, mutable values require some particular care which increases the complexity of types (we will discuss this point in section 2.3) whereas, in many situations, strings are used without in place modification. Hence, providing a distinct type for such cases allows better typings.

Immutable string literals appear in source code between double quotes:

let s = "Flow Caml";;
val s : 'a string

As illustrated by the above example, the type constructor for immutable strings is **string** and it has one argument which is a security level. It naturally describes all information attached to the string. The module **String** provides functions for manipulating immutable strings.

2.1.3 Lists

The variables 'a, 'b, etc. we have encountered in type schemes up to now stand for *levels* of the security lattice. In Flow Caml, polymorphism applies naturally on whole types (as in Objective Caml) too. For instance, define the identity function:

let id x = x;;
val id : 'a -> 'a

In this scheme, the variable 'a stands for a type. Indeed, in Flow Caml, a variable appearing in a typing can be of different kinds: it may stand either for a level or for a type (in section 2.4, we will see it also may denote a *row*). What is more, kinds are not given explicitly by the system (and the programmer does not have to give them when he enters a type expression) because they always can be deduced from the context. The type scheme inferred for id does not involve any security annotation: it simply says that the function takes an argument of some type 'a and produces a result of the same type. For instance, if you specialize the identity function so that it applies only to integers, it will have the type 'b int -> 'b int.

In Flow Caml, the list type constructor has two arguments (while in Objective Caml it has only one). Thus, in the type ('a, 'b) list, 'a is a *type* variable which gives the type of the elements of the list; 'b is a *level* variable describing the information attached to the *structure* of the list. This corresponds for instance to the information leaked by testing whether the list is empty.

```
let 11 = [1; 2; 3; 4];;
val 11 : ('a int, 'b) list
let 12 = [x1; x2];;
val 12 : ([> !alice; !bob] int, 'b) list
```

As usual in ML, functions manipulating lists generally perform pattern-matching on their structure. Here is such a simple function testing whether a list is empty:

```
let is_empty = function
    [] -> true
    | _ :: _ -> false
;;
val is_empty: ('a, 'b) list -> 'b bool
```

In this type, the security annotation of the boolean produced by the function, 'b does not depend on the type of the list's elements, 'a, but is the same as the level of the input list, because the function reveals information only about its structure of the list. Functions manipulating lists are often recursive, but this does not raise any particular difficulty concerning typing:

```
let rec length = function
    [] -> 0
    | _ :: tl -> 1 + length tl
;;
val length: ('a, 'b) list -> 'b int
```

The type scheme obtained for length is similar to that of is_empty: the length of the list contains some information about its structure, but not about its elements. On the contrary, a function testing whether the integer 0 appears in a list reveals information about both the structure of the list and its elements, hence its type:

```
let rec mem0 = function
    [] -> false
    | hd :: tl -> hd = 0 || mem0 tl
;;
val mem0: ('a int, 'a) list -> 'a bool
```

The module List of the standard library provides usual functions operating on lists, including the following examples:

2.1.4 Options

In ML, an option is a value which may be of two different forms: either None (the empty option) or Some v, where v is another value, the *content* of the option. The type option behaves similarly to that of lists. It has two arguments too: in ('a, 'b) option, 'a is the type of the content of the option while 'b is the security level attached to the option itself, describing the information attached to the knowledge of its form. This is illustrated by the following functions:

```
let is_none = function
    None -> true
    Some _ -> false
;;
val is_none: ('a, 'b) option -> 'b bool
```

The function is_none tests whether an option is None, by a simple pattern matching. Thus, the security level of the obtained integer is exactly that of the option: the test is likely to leak information only about the form of the argument.

```
let default = function
    None -> 0
    Some x -> x
;;
```

val default: ('a int, 'a) option -> 'a int

Similarly, default matches an integer option. If it is None, it returns the default value 0, and otherwise the content of the option itself. Thus, the result produced by an application of default carries information about both the form of the option and its content.

2.1.5 Tuples

Tuples of arbitrary length are also available in Flow Caml: if x1, ..., xn are values whose respective types are t1, ..., tn then (x1, ..., xn) is a tuple of type t1 * ... * tn. For instance:

```
let pair0 = (0, true);;
val pair0 : 'a int * 'a bool
let triple0 = (0, 1, 'a');;
val triple0 = 'a int * 'a int * 'a char
```

Product types carry no particular security annotation because—with the slight exception of observations made by the physical equality operator, see ?—all the information carried by a tuple is in fact carried by its components. However, each component of a tuple has its own security annotation, which may differ from those of the others. For instance, one may define a pair of integers whose first integer has level **!alice** and the second **!bob** :

```
let pair1 = x1, x2;;
val pair0 : !alice int * !bob int
```

2.2 Constrained type schemes

We will now show that Flow Caml features a constraint-based type system with subtyping. ML's type system (which is the basis of SML, Objective Caml or Haskell) relies on unification; which means that the only expressible relationship between (type) variables is equality. Unfortunately, as will be demonstrated by our next examples, this is not expressive enough to faithfully trace information flow in many cases, and then type schemes must include *constraints* between security levels such as inequalities.

2.2.1 Subtyping

Subtyping between security levels Let us consider a first example of function whose type scheme comprises an inequality: f1 takes one integer x as argument and returns a pair formed of its successor and its sum with the global constant x1 defined above:

The type scheme returned by the system involves two level variables, 'a and 'b. The first one, 'a, is the security level of the function's argument. Naturally, it is also that of the first component of the pair returned by the function. The second integer returned by the function is labeled by the variable 'b. This security level is related to 'a by the first inequality appearing after the keyword with: 'a < 'b tells us that 'b must be greater than or equal to 'a (note that the character < output by your terminal stands, in Flow Caml, for the mathematical symbol \leq). In what concerns information flow, this inequality reflects the fact that the integer labeled by 'b depends on the one labeled 'a; in other words that there is a flow from the latter to the former. The other constraint, !alice < 'b requires 'b to be greater than or equal to the constant !alice. It says that there is

a possible flow from data (namely x1) coming from the external source symbolized by the constant !alice (the principal *Alice*) to the second output of the function.

Now, we can apply this function to different integers:

```
f1 0;;
- : 'a int * !alice int
f1 x1;;
- : !alice int * !alice int
f1 x2;;
- : !bob int * [> !alice, !bob] int
```

From a type-theoretic point of view, the type scheme inferred for f1 means that every instance of 'a int -> 'a int * 'b int for some 'a and 'b which satisfy the inequalities 'a < 'b and !alice < 'b is a valid type for the function. This statement cannot be expressed as precisely in a unification-based type system. Indeed, in such a framework, every < must be read as =, i.e. the variables 'a and 'b must be unified with the constant !alice. Thus we would obtain the following judgment:

val f1 : !alice int -> !alice int * !alice int

which is much more restrictive than the previous one: here, applying f1 to the integer 0 would yield a result of type !alice int (instead of 'a int), while the expression f1 x2 would be ill-typed. The same observation can be made with the following function, f2, which takes three integer arguments and computes the sums of each pair of them:

```
let f2 x y z =
   (x + y, y + z, x + z)
;;
val f2 : 'a int -> 'b int -> 'c int -> 'd int * 'e int * 'f int
        with 'a < 'd, 'f
        and 'b < 'd, 'e
        and 'c < 'e, 'f</pre>
```

The obtained type scheme involves three constraints; each of them relates one argument of the function to two of its outputs. For instance, the constraint 'a < 'd, 'f (which is a shorthand for 'a < 'd and 'a < 'f) traces the information flow from the first argument, x to the first and third components of the result, x + y and x + z respectively. The next two constraints deal similarly with the second and third arguments of the function, respectively. Obviously, the system performs some arbitrary choice when it typesets a list of constraints. For instance, f2's scheme may equivalently be written:

```
val f2 : 'a int -> 'b int -> 'c int -> 'd int * 'e int * 'f int
    with 'a, 'b < 'd
    and 'b, 'c < 'e
    and 'a, 'c < 'f</pre>
```

When one applies the function to the three constants x1, x2 and x3, the constraints allow to compute the respective levels of the resulting integer:

Once again, if the system did not feature subtyping but only unification, f2 would have a much more restrictive typing

```
val f2 : 'a int -> 'a int -> 'a int -> 'a int * 'a int * 'a int
```

which tells only that each component of the returned tuple is likely to depend on all three arguments given to the function.

Subtyping between types In the previous examples, inequalities involve only security levels. However, types are also ordered by the partial order <, which is said to be a *subtyping* order. In general terms, subtyping consists of a partial order on types and a subsumption rule that allows every expression which has a given type to be used with any greater type, i.e. if an expression e has some type t and t is a subtype of t' (t < t') then e also has type t'. In Flow Caml, subtyping is structural and defined by lifting the order between security levels throughout the structure of types: two comparable types must have the same "structure" and only their annotations may differ. For this purpose, every type constructor (such as int, list or ->) has a *signature* which gives the variance (and the kind) of each of its argument. A variance is either + (*covariant*), - (*contravariant*) or = (*invariant*). The signature of a type constructor can be displayed in the toplevel thanks to the directive #lookup_type:

```
#lookup_type "int";;
type (#'a:level) int
```

This tells that the only argument ('a) of int is a level and is covariant. (The **#** symbol is a distinguished form of +, whose role will be explained in section 2.2.2. For the time being, you can simply read it as if it were +.) This defines the subtyping order on integer types: given two security levels 'a and 'b, 'a int < 'b int holds if and only if 'a < 'b. Similarly, the two arguments of list are also covariant:

```
#lookup_type "list";;
type (+'a:type, #'b:level) list = ...
```

Then, ('a1, 'b1) list < ('a2, 'b2) list is equivalent to 'a1 < 'a2 and 'b1 < 'b2. As a result, subtyping constraints involving two type structures can be decomposed recursively: for instance ('a1 int, 'b1) list < ('a2 int, 'b2) list produces 'a1 int < 'a2 int and 'b1 < 'b2 and then 'a1 < 'a2 and 'b1 < 'b2.

The function **f3** takes three arguments and build three lists of two elements each:

The typing inferred by the system is similar to that of f2. Each constraint relates the type of one input to those of the result: thus, the type of the first argument, 'a is "injected" in those of the elements of the first and third lists, reflecting the dependency. However, it is worth noting that here, the variables 'a, 'b, 'c, 'd, 'e and 'f are types, not levels.

The arrow type constructor \rightarrow we have encountered in the previous examples has the following signature:

type (-'a:type) -> (+'b:type)

As usual in the presence of subtyping, the result type is a covariant parameter while the argument is a contravariant one. This means that the inequality 'a1 -> 'b1 \leq 'a2 -> 'b2 holds if and only if 'b1 \leq 'b2 and 'a2 \leq 'a1.

Simplification of type schemes Flow Caml automatically performs some simplifications before it outputs a scheme in order to make the printing as concise as possible. Indeed, because of the presence of subtyping, the same type scheme can be written in different but equivalent forms. To illustrate this, let us consider the integer sum operator, +. In the Flow Caml standard library, it is declared with the following scheme:

val (+) : 'a int -> 'a int -> 'a int

This type scheme apparently constrains its two arguments to have the same security level. However, it is still possible to compute the sum of two integers which have different security levels, as in the following example:

x1 + x2;; - : [> !alice, !bob] int

The integer x1 has the type <code>!alice int</code>. By subsumption, it can be freely used with any greater type, e.g. [> <code>!alice</code>, <code>!bob] int</code>. (The system is able to perform the coercion itself when needed, no explicit annotation is therefore required.) Similarly, x2 has type <code>!bob int</code> but it can also be used as a value of type [> <code>!alice</code>, <code>!bob] int</code>. It follows that the expression x1 + x2 is well-typed and produces a value of type [> <code>!alice</code>, <code>!bob] int</code>. Generalizing this process, one may naturally propose another type scheme for (+), which explicitly includes the subsumption mechanism:

Nevertheless Flow Caml tries to output every type scheme in a form that is as concise as possible (for efficiency reasons, its simplification algorithm is however incomplete). In order to help you in reading types, each occurrence of a variable is printed with a color which indicates its polarity: negative occurrences appear in green while positive ones are in red. Then, when interpreting a type, any negative (resp. positive) occurrence of a variable 'a can be replaced by a fresh variable 'b with the constraint 'b < 'a (resp. 'a < 'b). Applying this principle to the scheme

val (+) : 'a int -> 'a int -> 'a int

one obtains

val (+) : 'a₁ int -> 'a₂ int -> 'a₃ int
 with 'a₁ < 'a
 and 'a₂ < 'a
 and 'a < 'a₃

which becomes, by transitivity of <,

```
val ( + ) : 'a<sub>1</sub> int -> 'a<sub>2</sub> int -> 'a<sub>3</sub> int
with 'a<sub>1</sub> < 'a<sub>3</sub>
and 'a<sub>2</sub> < 'a<sub>3</sub>
```

2.2.2 level constraints

Flow Caml provides the conditional construct **if** ... **then** ... **else** ..., which has the same semantics as that of Objective Caml, as well as polymorphic comparison primitives. As explained above, the type of boolean values carries one security level:

```
let y1 : !alice bool = false;;
val y1 : !alice bool
let y2 : !bob bool = false;;
val y2 : !bob bool
```

When it encounters a conditional construct, the execution of a program evaluates the condition, and depending on the result, continues with one of the two branches. Thus, the result produced by the whole expression is that of one of the two sub-expressions appearing after **then** and **else**. Hence, the type of the former must be a super-type of the latter. For instance, in the simple case where a conditional produces integers, this means that the security level of the whole expression must be the union of those of the two branches:

```
if y0 then x1 else x2;;
- : [> !alice, !bob] int
```

In this example, x1 has type !alice int, x2 has type !bob int, so the whole expression has type [> !alice, !bob] int. The value produced by a conditional also carries information about the result of the test. Hence, in order to take in account this possible information flow, the security level of the latter must *guard* the type of the former, this means that its security level(s) must be greater than or equal to that of the condition:

```
if y1 then 1 else 0;;
- : !alice int
```

Here, the condition, y1, has level !alice; hence the result of the whole expression must have this level too. Similarly, if a conditional evaluates to a tuple, the type of each of its components must be guarded by the level attached to the test:

```
if y1 then (x1, (true, 'a')) else (x2, (false, 'b'));;
- : [> !alice, !bob] int * (!alice bool * !alice char)
```

We now introduce some functions whose result depends on some test(s) performed on their argument(s). The function int_of_bool simply converts a boolean into an integer:

```
let int_of_bool x =
    if x then 1 else 0
;;
val int_of_bool : 'a bool -> 'a int
```

However, because of polymorphism, it is possible for the type produced by a conditional construct not to be known, for instance if it depends on that of some argument in an abstraction:

```
let choose y1 y0 x =
    if x then y1 else y0
;;
val choose : 'a -> 'a -> 'b bool -> 'a
        with 'b < level('a)</pre>
```

The result produced by **choose** clearly depends on the value of the boolean x, and hence must be guarded by its security level, 'b. However, it has the type of the two other arguments of the function, y0 and y1, but this may be arbitrary. That is the reason why this example involves a new form of constraint, 'b < level('a). (In [PS03], this is written 'b \triangleleft 'a.) Let us first remark that in this constraint 'b and 'a are variables of different kinds: 'b stands for a security level while 'a is a type. This constraint can be viewed as an inequality delayed until the structure of the type 'a is known: roughly speaking, it means that the topmost security level(s) of the type 'a must be greater than or equal to 'b. For instance, if you instantiate 'a by 'a1 int, the constraint is simply decomposed as 'b < 'a1, but, if you instantiate 'a by 'a1 int * 'a2 bool, it produces 'b < 'a1 and 'b < 'a2. To illustrate how this decomposition mechanism works, one can consider some partial applications of the function:

```
let choose1 y = choose 1 0 y;;
val choose : 'a bool -> 'a int
let choose2 y = choose (1, 1) (0, 0) y;;
val choose : 'a bool -> 'a int * 'a int
```

In the first example, 'a is instantiated by 'a1 int and the constraint 'b < level('a1 int) is decomposed as 'b < 'a1. This yields the scheme

'b bool -> 'a1 int with 'b < 'a1

which is simplified into 'a bool -> 'a int. In the second example, 'a is instantiated by 'a1 int * 'a2 int. The constraint becomes successively

```
'b < level('a1 int * 'a2 int)
'b < level('a1 int) and 'b < level('a2 int)
'b < 'a1 and 'b < 'a2</pre>
```

The obtained scheme can be simplified into 'a bool -> 'a int * 'a int. Similarly, we can also consider lists:

```
let choose3 y = choose [] [1;2] y;;
val choose3 : 'a bool -> ('b, 'a) list
```

Here, the type variable 'a is instantiated by ('a1, 'a2) list, which yields the constraint 'b < level(('a1, 'a2) list) that is decomposed into 'b < 'a2.

A question naturally arises: how does the type-checker determine on which arguments of each type constructor the destructor **level** must be decomposed? This information is retrieved from signatures: the arguments on which **level** applies are those which are marked as "guarded" by a sharp symbol (**#**):

```
#lookup_type "int";;
type (#'a:level) int
#lookup_type "list";;
type (+'a:type, #'b:level) list = ...
```

This means for instance that **level** applies on the single argument of **int** while it considers only the second one of **list**. It is worth noting that **#** is a distinguished form of +, that means that guarded arguments are always covariant.

2.2.3 content constraints

Flow Caml supports the polymorphic comparison primitives of Objective Caml, such as = or <=. These operators can be used to compare data structures of any type, so, in Objective Caml, they have the following type

'a -> 'a -> bool

which means they expect two arguments of the same type and returns a boolean. In Flow Caml, the type of the boolean result must carry a security annotation, say the level variable 'b. Moreover, because, the result produced by the operator is liable to carry information about the two compared values, 'b must be related to the security levels which describe them, i.e. those that appear within the type 'a. For instance, specialized versions of the equality for integers, pairs of integers, lists of integers and lists of pairs of integers should have the following type schemes:

Indeed, comparing two pairs can leak information about each member of each pair while comparing two lists gives some knowledge about the structure of the lists (e.g. their length) and/or their elements. Similarly, an equality operator which applies to integer references has the following type: Comparing two references reveal information about their respective addresses—hence 'a2 < 'b—and their contents, hence 'a1 < 'b.

We observe that in all cases, the security level 'b that labels the boolean produced by the comparison must be greater than or equal to *every* security level that appears in the type of the arguments. This reflects how comparison applies recursively on data-structures. Thus, in order to give a principal type to these polymorphic operators, we need an additional form of constraint, **content**('a) < 'b where 'a is a type and 'b is a level. (In [PS03], this is written 'b \triangleleft 'a.) This constraint requires every security annotation of the type 'a to be less than or equal to the security level 'b. For instance, **content**('a1 int * 'a2 int) < 'b is equivalent to 'a1 < 'b and 'a2 < 'b while **content**('a1 ref, 'a2) < 'b stands for 'a1 < 'b and 'a2 < 'b. This definition mimics the behavior of generic comparison operators which traverse data structures recursively. Then, in Flow Caml, = and <= have the following type:

They can be used to implement a polymorphic function **mem** which searches whether an element is amember of a list:

```
let rec mem x = function
    [] -> false
    | hd :: tl -> (x = hd) || mem x tl
;;
val mem : 'a -> ('a, 'b) list -> 'b bool
    with content('a) < 'b</pre>
```

or an insertion sort on lists:

In Objective Caml, it is even possible to apply polymorphic comparison primitives to *functional* values: for instance, if f1 and f2 are two functions, (f1 = f2) either returns true (if the two functions have the same memory address) or raises an exception in all other cases. Such an expression seems to have a very limited interest and is not really used because it largely depends on the implementation: for instance **let** $f = fun \times -> \times in (f = f)$ returns true while $(fun \times -> \times) = (fun \times -> \times)$ raises an exception. However, the Caml type system has no way to prevent such calls from arising. The SML [MTHM97] dialect of ML addresses these issues by introducing "eq" types, and hence refuses at compile time any application of a comparison primitive to values which (are likely to) contain closures. The same approach is followed in Flow Caml, where non-eq types are marked by the keywork **noneq** in their definition, and the constraint **content** ('a) < 'b cannot be satisfied if 'a is a non-eq type. Hence, the following piece of code yields a type error:

```
(fun x \rightarrow x) = (fun x \rightarrow x);;
Magic generic primitives cannot be applied on expressions of type a \rightarrow a
```

2.2.4 Same-skeleton constraints

In addition to inequalities, Flow Caml type schemes may involve another sort of constraints, which are referred to as *same-skeleton* constraints. Let us consider the following function:

```
let skel x y =
    if x = y then ();
    x
;;
val skel : 'a -> 'b -> 'a
        with 'a ~ 'b
```

skel x y tests whether x equals y, then returns x. In the case where the test succeeds, the function skel does nothing particular, but it should for instance be possible to replace () by an expression which performs side-effects, as we will do in section 2.3.2. However, the current function is sufficient to illustrate the need of *same-skeleton* constraints.

In Objective Caml, the two arguments of skel, x and y, will be required to be of the *same* type, in order to allow comparing them: skel's principal type scheme would be 'a -> 'a -> 'a. However, in Flow Caml, thanks to subtyping, it is no longer necessary to require them to have exactly the same type: indeed, they may have different security annotations, e.g. be two integers of different security levels. Formally, if x has type 'a and y has type 'b, it is sufficient to require the existence of a super-type 'c of 'a and 'b (i.e. such that 'a < 'c and 'b < 'c). This is what expresses the ~ constraint. Indeed, the above type scheme is equivalent to:

```
val skel : 'a -> 'b -> 'a
with 'a < 'c
and 'b < 'c
```

where 'c is an extra type variable. It is easy to check that such a 'c exists if and only if 'a and 'b are two types of the same *shape* or *skeleton* i.e. differ only by their non-invariant security annotations.

It is worth noting that the ~ predicate is transitive and associative (it is indeed the symmetric, transitive closure of <), so that same-skeleton constraints which involve a common variable can be merged, as in the following example:

```
let skel3 x y z =
    if x = y or y = z then ();
    x
;;
val skel3 : 'a -> 'b -> 'c -> 'a
        with 'a ~ 'b ~ 'c
```

2.2.5 Functions as values

Flow Caml is a functional language: functions are first class citizens and hence can be manipulated as regular values. Thus, one may define a function whose result itself is a function:

```
let pred x = x + 1;;
val pred : 'a int -> 'a int
let pred_or_succ y = if y then pred else succ;;
val pred_or_succ : 'a bool -> 'b int -{// 'a}-> 'b int
```

(To help comprehension, the inferred type scheme may be parenthesized as follows:

val pred_or_succ : 'a bool -> ('b int -{// 'a}-> 'b int)

However, this is naturally unnecessary because the arrow type constructor is right associative.) Knowing which function among pred or succ an application of pred_or_succ returns naturally leaks information about the boolean given as argument. In order to reflect this information flow, the type assigned to the function returned by pred_or_succ comprises an additional security level, 'a, printed inside the arrow symbol: it intends to describe how much information is attached to the knowledge of the function. For instance, an application of pred_or_succ with a boolean of level !alice yields a function whose identity has level !alice too:

pred_or_succ y1;;
- : 'a int -{// !alice}-> 'a int

The language naturally allows to observe the "identity" of a function by watching the result produced by some application of it. For instance, when one applies (pred_or_succ y1) to some integer, the result must be guarded by the level !alice, because it allows determining whether the function was pred or succ and hence the boolean y1.

(pred_or_succ y1) 0;; - : !alice int (pred_or_succ y1) x2;; - : [> !alice, !bob] int

In fact, arrows in Flow Caml involve three security annotations; then, the general form of a function type is

'a -{'b | 'c | 'd}-> 'e

where 'a and 'e are the *types* of the argument expected by the function and the result it produces, respectively. Furthermore, 'b and 'd are *levels*. The former is a lower bound on the side effects performed by the function (it will be introduced in section 2.3) while the latter represents information about the function's identity, as explained above. Lastly, 'c is a *row* describing the exceptions the function may raise (we will detail its usage in section 2.4). However, in order to improve readability, Flow Caml does not print annotations on arrows that carry no information, i.e. that are universally quantified and unconstrained type variables. For instance 'a -> 'b is a shorthand for 'a -{'c | 'd | 'e}-> 'b (where 'c, 'd and 'e are fresh variables), while 'a -{|| 'b}-> 'c stands for 'a -{'d | 'e | 'b}-> 'c (where 'd and 'e are fresh).

2.2.6 Interlude: the graphical output of type schemes

Flow Caml's toplevel is able to give a *graphical* representation of type schemes, in addition to the standard textual one. The graphical output is enabled when the toplevel is launched with the **-graph** option, or—at any time—by entering the following directive in the toplevel:

#open_graph;;

The graphical representation of a type scheme may be easier to interpret than its textual counterpart, because it gives a visual description of information flow. Let us explain how to read such representations on some examples.

let	succ x = x + 1;;			•		·•	
val	succ : 'a int -> 'a int	val	succ	• in	t -	> (int

The graphical representation of a type scheme is made of two parts: at the bottom appears the *skeleton* of the scheme, which consists in the type expression where security annotations have been replaced with bullets •. Roughly speaking, ignoring these bullets, this can be read as a Caml type. A color code is adopted for drawing security annotations: contravariant annotations (which stand for *inputs*) appear in green while covariant ones (*outputs*) are drawn in red. Invariant annotations are in orange. Then subtyping constraints are represented in the top part of the drawing by arrows. In succ's type scheme, the dashed arrow from the green bullet to the red one symbolizes an inequality whose left- (resp. right-) hand-side is the security annotation symbolized by the green (resp. red) bullet. Then, the drawing must be read as the following scheme

val succ : 'a int -> 'b int
 with 'a < 'b</pre>

which is equivalent to 'a int -> 'a int. Let us now show how type variables are graphically represented.



In the body of the graphical representation of skel's type scheme, the boxes labeled "a stand for a *skeleton class*: each occurrence of "a must be read as a different type variable $a_1, a_2, ..., a_n$, with the constraint a_1 " a_2 " ..." a_n . For instance, "a -> "a -> "a represents

 $a_1 \rightarrow a_2 \rightarrow a_3$ with $a_1 a_2 a_3$

The same color code is followed for boxes as for bullets. Subtyping constraints between type variables are represented by black arrows: in skel's type scheme, the arrow from the first box to the third one stands for the constraint 'a1 < 'a3.

Arrows heads and tails can be shared, as in the following examples:



It remains to show how special forms of inequalities, i.e. constraints such as **content**('a) < 'b, 'a < **level**('b) and **level**('a) < **content**('b), are drawn. All of them are represented by a dashed arrow from (the box/bullet which stands for) 'a to (the box/bullet which stands for) 'b. No confusion can arise thanks to kinding as illustrated by the following table:

kind of 'a	kind of 'b	meaning of a dashed arrow from 'a to 'b
level	level	'a < 'b
level	type	'a < level ('b)
type	level	<pre>content('a) < 'b</pre>
type	type	<pre>content('a) < level('b)</pre>

This is illustrated by the two following examples:



In the type scheme of choose, the dashed arrow symbolizes the constraint 'b < level('a), while in that of (=) it stands for content('a) < 'b.

2.3 Imperative features

Though all the examples given so far in this tutorial are in a "purely functional" style, Flow Caml also provides full imperatives features. This includes mutable data structures such as references and arrays, as well as usual **while** and **for** loops.

2.3.1 Direct and indirect information flows

Unfortunately, in a programming language equipped with side effects, it is possible to leak information in *indirect* ways. Let us consider the following pieces of code:

```
r := not y
r := if y then false else true
if y then r := false else r := true
r := true; if y then r := false
```

All of them are semantically equivalent: they update the content of the reference \mathbf{r} , storing in it the negation of the boolean \mathbf{y} . Hence, this produces some information flow from \mathbf{y} to \mathbf{r} . However, depending on the cases, it is of a different nature. In the two first examples, the flow is said to be *direct*: a value depending from \mathbf{y} is computed and then stored in \mathbf{r} ; this is very similar to what we have encountered up to now. On the contrary, in the last two expressions, the value in every right-hand-side of the := operator does not involve \mathbf{y} : it is even given explicitly in the source code. However, the reference's update is performed in a branch of the program whose execution is conditioned by the value of \mathbf{y} . In this situation, we say there is an *indirect* flow form \mathbf{y} to \mathbf{r} . The last example calls for an additional comment: in the case where the boolean \mathbf{y} is false, the reference \mathbf{r} is never updated in a context conditioned by \mathbf{y} . However, the information flow from the latter to the former still exists: it is indeed possible to leak information through the *absence* of a certain effect. (This last example shows that it would be very difficult to detect information flow at run time.)

2.3.2 References

In Flow Caml, the type constructor for references, ref, has two arguments:

```
#lookup_type "ref";;
type (='a:type, +'b:level) ref = ...
```

The first one is the type of the value stored in the reference. Because the content of a reference is accessible in reading and writing, it must be at the same time covariant and invariant, i.e. it is *invariant*. The second argument of **ref** is a security level, which is guarded and covariant. It describes how much information is attached to the *identity* of the reference, in other words its memory address.

Let us now illustrate how information flow with mutable structures is traced by some examples of Flow Caml code. We first define two references r1 and r2 whose contents are declared to be booleans of levels !alice and !bob, respectively.

```
let r1 : (!alice bool, 'a) ref = ref true;;
val r1 : (!alice bool, 'a) ref
let r2 : (!bob bool, 'a) ref = ref true;;
val r2 : (!bob bool, 'a) ref
```

In the above example, the content of reference r1 has type !alice bool. This means it may receive any boolean whose security level is less than or equal to !alice, i.e. a boolean *Alice* is allowed to read. The boolean y1 (defined in section 2.1) has level !alice. Hence it can legally be stored in r1:

```
r1 := y1;;
- : unit
```

This expression only produces a side-effect, so it has type unit. Because there is only one value of this type, the constant (), the value of a unit expression yields no information. At a result, the unit type constructor does not carry any security annotation. On the contrary, the boolean y2 has been declared with the level !bob. Because information flow from !bob to !alice is not allowed (see section 2.6), assigning it to r1 raises a typing error:

```
r1 := y2;;
This expression generates the following information flow:
  from !bob to !alice
which is not legal.
```

Similarly, the reference r1 can be updated in a context whose execution depends on y1 but not y2:

```
if y1 then r1 := false else r1 := true;;
- : unit
if y2 then r1 := false else r1 := true;;
This expression generates the following information flow:
  from !bob to !alice
which is not legal.
```

Lastly, reading the content of r1 naturally yields a boolean of level !alice:

!r1;;
- : !alice bool

Let us explain in a few words how the type system is able to trace indirect information flow in the above examples. Flow Caml associates to every context of an expression (i.e. every point of the program) a security level telling how much information the given sub-expression gains when it is executed. (In the literature, this security level is generally written pc, in reference to program counter.) Basically, each time a conditional construct is traversed, this level is augmented with the annotation of the condition, as illustrated in this example:

```
if y1 (* y1 has type !alice bool *) then
    ... (* this branch is typechecked at level !alice *)
else
    if y2 (* y2 has type !bob bool *) then
    ... (* this branch is typechecked at level [> !alice, !bob] *)
else
    ... (* this branch is typechecked at level [> !alice, !bob] *)
```

Moreover, when some data is written in a reference, the system constrains the level of its content to be greater than or equal to the security level attached to the context, reflecting the fact that, because of the update, the content of the reference is liable to carry information about all the tests traversed to reach this point of the program.

The purpose of the second argument of the type constructor **ref** appears when a reference is used as first class value, e.g. if it is the result of some function. For instance, let us define a version of the function **choose** specialized for references by a type constraint:

The security level of the reference returned by this function must be greater than or equal to that of the boolean given as argument. Indeed, revealing which reference is returned by the function may leak information about the value of the condition, y. Such an observation can be performed, for instance, by updating its content.

Some additional difficulty arises when one defines a function performing side-effects: because the body of a function is executed at the point of the program where it is applied —and not the one where it is defined— it must be type-checked at the level of the former rather than the latter. This is the purpose of the first security annotation appearing in function types (see section 2.2.5). For instance, consider a function which sets the content of r1 to false:

```
let reset_r1 () =
    r1 := false
;;
val reset_r1 : unit -{!alice ||}-> unit
```

This function can only be executed in a context whose level is less than or equal to <code>!alice</code>. This is reflected by the annotation <code>!alice</code> printed "inside" the arrow symbol of the above type: this security level is a lower bound on the effects performed by the function and an upper bound on the contexts where it can be applied. In many cases, it is a variable related to (parts of) the type of the function's argument:

```
let reset r =
    r := false
;;
val reset : ('a bool, 'a) ref -{'a //}-> unit
```

The function **reset** takes a reference as argument and sets its content to **false**. The type system constrains the level of the content of the reference to be equal to or greater than (1) the level attached to the reference's identity and (2) the level attached to the context where the function is applied.

The identity of the reference returned by this function carries information about those of the references given as argument, but also about the boolean y. This is reflected in the inferred scheme by the fact that all of them are annotated by the same security level, 'a.

We now re-implement the function calculating the length of a list, length, in imperative style:

```
let length' list =
  let counter = ref 0 in
  let rec loop = function
    [] -> ()
    | _ :: tl ->
    incr counter;
    loop tl
  in
  loop list;
  !counter
;;
  val length' : ('a, 'b) list -{'b ||}-> 'b int
```

The obtained scheme appears more restrictive than length's type:

val length: ('a, 'b) list -> 'b int

Indeed, with length', the result's security level must be greater than or equal to the function's *pc* parameter. However, the difference is only superficial; it can be checked that both types in fact have the same expressive power.

2.3.3 Arrays, strings and loops

We conclude this section by a few words about arrays and (mutable) strings. In Flow Caml, the type constructor for arrays (array) carries two arguments:

[|0; 1; 2|];;
- : ('a int, 'b) array

The respective roles of these arguments are similar to those of **ref**: the former is the type of the content of the cells of the array, and the latter is a security level, related to the array identity. A slight novelty is that this comprises information attached to the length of the array. Indeed, the function returning the length of an array has the following type:

Array.length;;
- : ('a, 'b) array -> 'b int

(which is similar to that of the function calculating the length of a list.)

In the Caml language, the only difference between a mutable string and an array of characters concerns its representation in the runtime system. Hence, the type of mutable strings is isomorphic to that of an array of characters:

```
[|'a'; 'b'; 'c'|];;
- : ('a char, 'b) array
"abc";;
- : ('a, 'b) charray
```

Indeed, the type constructor **charray** expects two security levels as arguments. The first one describes information attached to the characters stored in the string while the second one is related to the identity of the string (including its length).

2.4 Dealing with exceptions

In this section, we explain how Flow Caml deals with exceptions. For the programmer, exceptions are a powerful mechanism for signaling and handling exceptional conditions. As in Objective Caml, *exceptions names* are declared with the **exception** construct and signaled with the **raise** operator:

```
exception X;;
exception X
exception Y;;
exception Y
raise X;;
- : 'a
```

However, the exception machinery provided by Flow Caml is slightly restricted in comparison with that of Objective Caml, mostly because exceptions are not first class values. Basically, an exception name (such as X in the above example) is not a value, and hence cannot be bound to a variable or passed as argument to a function (while in Objective Caml, it is a legal value of type exn). Similarly, in Objective Caml, **raise** is a regular function which accepts an arbitrary argument (of type exn), but, in Flow Caml, it is a built-in construct which requires the name of the raised exception to be statically specified. For instance, the following Objective Caml piece of code cannot be written in Flow Caml:

```
let f x =
  raise (if x then X else Y)
;;
```

but, in this particular case, it may be rewritten into:

```
let f x =
    if x then raise X else raise Y
;;
```

Although it should theoretically be possible to deal with exceptions as first class citizens in Flow Caml [PS02], we believe our design choice to be a good balance between expressiveness and simplicity: having first class exceptions would generate complex typings (which involve *conditional* constraints), whereas, according to our experience, the use of exceptions as values in *real* programs seems to be rather limited. To mitigate the loss in expressiveness and provide alternatives for the most common usages of exceptions as first class values made in Caml programs, Flow Caml provides two additional constructs for handling exceptions: **try** ... **finally** and **try** ... **propagate** (see section 2.4.3).

2.4.1 Rows

The exceptions that an expression is likely to raise are traced in Flow Caml's type system using a *row*. A row is a mapping from exception names to security levels: for every exception name, it tells how much information is leaked if the related expression effectively raises an exception of this name. Because the set of exception names is open (in the sense that the programmer can incrementally define an arbitrary number of them), rows must range over all potentially definable exceptions names; hence they are infinite objects. So, in order to allow denoting them in a finite concrete syntax, Flow Caml uses *row variables* and adopts Rémy's row syntax. For instance, the (row) expression X: 'a; Y: 'b; 'c stands for the row which maps the exception name X to 'a, Y to 'b and whose other entries are given by 'c. Here, 'a and 'b are levels while 'c is a row variable of *domain* {X, Y}: it stands for a row ranging over all exception names except X and Y. The order in which fields appear is not significant: the above row is equal to Y: 'b; X: 'a; 'c. Row variables

can appear in constraints: the subtyping order is extended point-wise to rows. Indeed, if 'c1 and 'c2 are two row variables of the same co-domain, the constraint 'c1 < 'c2 means that every entry of 'c1 must be less than or equal to the corresponding one in 'c2. Hence, constraints involving expanded row terms may be decomposed: X: 'a1; Y: 'b1; 'c1 < X: 'a2; Y: 'b2; 'c2 is equivalent to 'a1 < 'a2 and 'b1 < 'b2 and 'c1 < 'c2. Lastly, for the sake of conciseness, when it prints a type scheme, Flow Caml omits unconstrained universally quantified row variables: for instance, A: 'a; Y: 'b stands for A: 'a; Y: 'b; 'c where 'c is a fresh row variable.

Because exceptions constitute an observable form of result for functions, they must be taken in account in their types. Let us for instance define a simple function which raises the exception X:

```
let raise_X () =
   raise X
;;
val raise_X : unit -{'a | X: 'a |}-> 'b
```

The second annotation appearing on the arrow is a row describing the exceptions that the function is likely to raise when it is called. Here, X: `a, tells that the given function may raise an exception of name X: catching this exception leaks information about the context where the function is called, so the security level associated to X is constrained to be at least that of the context where the function is applied (which appears as usual in first place in the arrow). In the following example,

```
let raise_X' y =
    if y then raise X
;;
val raise_X' : 'a bool -{'a | X: 'a |}-> unit
```

catching the exception X gives information about both the context where raise_X' has been applied *and* the boolean argument given to the function. Thus, the annotation associated to the entry X in the row of this function must be greater than or equal to the security levels of both.

When a function is likely to raise exceptions of different names, its row comprises one entry for each of them:

```
let raise_X_or_Y x y =
    if x then raise X;
    if y then raise Y
;;
val raise_X_or_y : 'a bool -> 'b bool -{'a | X: 'a; Y: 'b |}-> unit
        with 'a < 'b</pre>
```

The type scheme inferred by the system distinguishes one security level for each exception name: handling X yields information only about the first argument, x; while handling Y about both.

Let us now define a function which takes an integer as argument, raises X if it is zero and returns false otherwise:

```
let test_zero x =
    if x = 0 then raise X;
    false
;;
val test_zero: 'a int -> {'a | X: 'a |}-> 'b bool
```

The inferred type schemes states that the boolean returned by the function does not depend on its argument. Indeed, if the function effectively produces a value, it is invariably false. However, this function can reveal information about its argument through its effect. This is reflected by the security level associated to the exception X in its type: it must be greater than or equal to the levels of the context where the function is applied and the integer argument.

Exceptions can be trapped with the try ... with construct.

```
try
   test_zero x1
with
   X -> true
;;
- : !alice bool
```

In this example, test_zero x1 is liable to raise an exception X with the level !alice, which will be catched by the handler try ... with X ->. Thus, the value produced by the whole construct must be guarded by the level of the handled exception, i.e. !alice. Let us embed this piece of code in a function:

```
let f5 x =
    try
        test_zero x
    with
        X -> true
;;
    - : 'a int -{'a //}-> 'a bool
```

The type scheme output by the system reflects that the output of f carries information about its argument, but also about the context where the function is called although it does not. However, we witness the same phenomenon as for side effects: once again this is only a superficial difference with the typing obtained for the function written in a direct style:

```
let f6 x =
    if x = 0 then true else false
;;
val f6 : 'a int -> 'a bool
```

The **with** part is actually a kind of pattern-matching on exception names (however, this is not a regular pattern matching since exceptions are not values). In particular, one **try** .. **with** construct can catch several exceptions names (or even all of them using the _ pattern), as illustrated by the following example:

```
let f7 x y =
    try
    raise_X_or_Y x y;
    0
    with
        X -> 1
        | Y -> 2
;;
val f7 : 'a bool -> 'a bool -{'a //}-> 'a int
```

Many functions in the standard library raise an exception when they cannot complete normally. For instance, the integer division yields Division_by_zero when its second argument is zero, as reflected by its type:

It is noticeable that there is no relationship between the level of the first argument and that of the exception Division_by_zero. This reflects that this operator does not need to match its first argument before raising the exception.

For the purpose of obtaining a precise information flow analysis, the evaluation order of expressions must be specified. As a result, the right-to-left evaluation order of the current implementation
of the Objective Caml language is made part of the specification of the Flow Caml core language. For instance, Flow Caml type system takes into account that the arguments passed to a function are evaluated from left to right:

The inferred type scheme reflects that the condition y = 0 is considered before x = 0, so the exception X carries information about x and y while Y only about y. Assuming a left-to-right evaluation order, one would obtain the following scheme, where the roles of the variables 'a and 'b are exchanged:

```
'a int -> 'b int -{'c | X: 'd; Y: 'e |}-> 'f int
with 'b < 'd, 'f
and 'c < 'd, 'e
and 'a < 'd, 'e, 'f</pre>
```

Lastly, if the evaluation order were not specified, the type system would have to consider every possible strategy. In this case, the type scheme for f8 would be much less informative about the function:

```
'a int -> 'a int -{'b | X: 'b; Y: 'b |}-> 'a int with 'a < 'b
```

Indeed, the security levels associated to the two exceptions are no longer differenced and must both be greater than or equal to those of the two integer arguments.

2.4.2 Exceptions and side-effects

When, in a toplevel phrase, an exception is raised outside of any handler, it will not be trapped and hence the program must terminate. As a consequence, if they gain control, the next phrases of the program observe that the exception was not raised; and this must be taken in account in the type system.

```
test_zero x1;;
- : 'a bool
Current evaluation context has level !alice
```

In this example, if x1 is zero, evaluating this toplevel phrase causes the program to terminate. Hence, if this does not happen and execution continues, the remaining expressions receive some information about x1 when they are evaluated. Therefore, they must be type-checked in a context augmented with the security level of the information carried by x1, i.e. !alice. This point is expressed by the second line output by the system. Thus, all side-effects performed afterward by the program must affect data of levels greater than or equal to !alice. For instance, the reference r1 (whose content has level !alice) can be updated while r2 (whose content has level !bob) cannot:

```
r1 := false;;
- : unit
r2 := false;;
```

```
This expression is executed in a context of level !alice
but has an effect at level !bob.
This yields the following information flow:
from !alice to !bob
which is not legal.
```

Similarly, if one feeds test_zero with x2, the level of the toplevel context is increased by !bob:

```
test_zero x2;;
- : 'a bool
Current evaluation context has level !bob, !alice
```

and now, the reference r1 can no longer be updated, because information flow from !alice to !bob is not allowed (i.e. !alice is not inferior to !bob).

In a regular program, any increase of the security level associated with the topmost evaluation context is naturally irremediable. However, in the interactive toplevel, for the convenience of the user, it is possible to reset it to its initial value—as if a new program was started—by the simple directive:

```
#reset_context;;
Level of evaluation context reset
```

The previous examples illustrate how the security level attached to the evaluation context increases through a sequence of toplevel phrases. Sequencing of statements is also possible inside expressions, thanks in particular to the ; operator. Let us for instance consider the following function:

```
let f9 x r =
    if x = 0 then raise X;
    r := false
;;
val f9 : 'a int -> ('b bool, 'b) ref -{'a | X: 'a |}-> unit
        with 'a < 'b</pre>
```

f9 takes two arguments: an integer x and a boolean reference r. If the integer is 0 then the exception X is raised, and the following statement is not performed. Otherwise, execution continues and the reference r is set to false. We now explain the typing inferred by the system, which reflects the two possible observable effects of the function. First of all, it may raise the exception X. The security level attached to this effect, 'a, must be greater than or equal to that attached to the context where the function is applied and that of the integer argument, because the exception raising is conditioned by a test on x. The second effect that the function is liable to have is the update of the reference r. Observing it gives information naturally about the context where f has been called, but also reveals whether the exception X has been raised, and, as a consequence, about the integer x. That is the reason why, the security level of the content of the reference, 'b, must be greater than the levels of the context where the expression is applied and the first argument, as reflected by the constraint 'a < 'b.

Similarly, every side-effect performed in an exception handler must have a level greater than or equal to that of the trapped exception. If one rewrites **f9** into the following, the inferred type scheme is similar:

```
let f10 x r =
    try
    if x <> 0 then raise X;
        ()
    with
        X -> r := false
;;
```

val f10 : 'a int -> ('a bool, 'a) ref -{'a ||}-> unit

Indeed the reference update, r := false is increased by the security level associated to X in the expression between try and with.

2.4.3 The special constructs: try ... finally and try ... propagate

In addition to the traditional **try** ... **with**, Flow Caml features two other ways of handling expressions. Two reasons have motivated their introduction: firstly, they partially counterbalance the loss of expressiveness resulting of our decision to make exception names second-class citizens; secondly, they allow a more precise typing (w.r.t. information flow) of common idioms.

Each clause appearing in the **with** part of a **try** ... **with** may be terminated by the keyword **propagate**. In this case, the exception trapped by the handler is re-raised at the end of its execution. For instance:

try e with X | Y -> e'; propagate

evaluates e. If it raises X or Y then e' is executed and, then, the trapped exception, X or Y, is raised again. In Objective Caml, this can be implemented by binding the exception to an identifier:

try
 e
with
 X | Y as x -> e'; raise x

In addition to the fact that this is not possible with the second-class exceptions of Flow Caml, providing a dedicated idiom for this idiom allows a fine-grained typing: the exception catched by the handler is propagated with exactly the same level than it was in \mathbf{e} . In particular, two different levels may be associated to \mathbf{X} and \mathbf{Y} .

The **try** ... **finally** construct of Flow Caml is a translation of the Java's construct for the Caml language. Indeed

try e1 finally e2

first, evaluates e1, which yields either a regular value or an exception. In both cases, e2 is executed, and the result produced by e1 is returned. Once again, this can be encoded in regular Caml (without even using exception values):

```
try
  let r = e1 in e2; r
with
  exn -> e2; raise exn
```

(assuming e2 does not raise any exception). However, using the dedicated construct try ... finally allows better typings (w.r.t. information flow): this makes explicit that the expression e2 is *always* executed (whether e1 raises an exception or not). Thus, the type system is able to take this in account and type-checks e2 in a context whose level is not altered by those of the exceptions possibly raised by e1, whereas, in the proposed encoding, it is. For instance the following piece of code is accepted by the type system:

```
try
  if y1 then raise X
finally
  r2 := false
;;
```

while its expansion is rejected

```
try
   if y1 then raise X;
   r2 := false;
with
   _ -> r2 := false; propagate
;;
```

2.4.4 Parameterized exception names

In Objective Caml, it is possible to declare an exceptions name which takes some argument when it is raised. The type of the argument is given when the exception is defined, this may be for instance an integer corresponding to some error code:

```
exception Error of int;;
```

In Flow Caml, such a definition is also possible. However, the type of integers is parameterized by a security level which must in consequence appear in the declaration of the exception. For instance, one may introduce the exception ErrorAlice which is parameterized by an integer of level !alice:

```
exception ErrorAlice of !alice int;;
```

and then this exception can be raised with different integers of level less than or equal to **!alice** as argument:

```
raise (ErrorAlice 0);;
raise (ErrorAlice x1);;
```

However, this has two limitations: first, it is not possible to raise the exception ErrorAlice with an argument whose level is, for instance, !bob. Obviously, a workaround may consist in defining another exception name:

```
raise (ErrorAlice x2);;
This expression generates the following information flow:
  from !bob to !alice
which is not legal.
exception ErrorBob of !bob int
;;
raise (ErrorBob x2);;
```

However, this does not seem practical. That is the reason why, in Flow Caml, the type of exceptions arguments may be parameterized by some security level:

exception Error : 'a of 'a int;;

To explain how the security level of the argument given to an exception is traced, let us define the following function:

```
let error code =
  raise (Error code)
;;
```

val error: 'a int -{'a | Error: 'a |}-> 'b

The security level associated to the exception Error in the row of this function combines two pieces of information: first, the security level of the context where the exception is raised and, that of the integer argument. Merging these two annotations into a single one is relatively *ad hoc*; however, this allows keeping concise typings, and works well with most common usage of exceptions with arguments. It should be possible to provide a more flexible mechanism for parameterizing types of exceptions arguments, for instance by allowing several security levels as arguments, which will also appear in rows. However, this would increase the complexity of the system, as well as the verbosity of function types.

To conclude this section about exceptions, let us mention that some of the built-in exceptions of Objective Caml are not defined in the Flow Caml library. This is the case for instance of Out_of_memory and Stack_overflow which are respectively raised by the garbage collector when there is insufficient memory to complete the computation and the bytecode interpreter when the evaluation stack reaches its maximal size. Indeed, analyzing them with Flow Caml would be of little sense, because, in absence of sophisticated memory and stack analyzes, one must assume them to be possibly raised at almost every point of the program. That is the reason why they are not provided in Flow Caml library: thus, they cannot be catched by programs and become fatal errors.

Before reading further this tutorial, please reset the security level of the evaluation context in your toplevel by entering:

#reset_context;;

2.5 Defining new types

In Flow Caml, the programmer can introduce types thanks to the **type** declaration. First and foremost, this allows to define new data structures using records and variants. The mechanism used to define types in Flow Caml is similar to that of Objective Caml. However, type declarations involve additional information, in order to deal with the extra features of the type system related to the security analysis.

2.5.1 Variants

We begin this introduction with some examples of variant datatypes. Let us first define a type whose values are the four cardinal points:

```
type 'a cardinal =
    North
    West
    South
    East
    # 'a
;;
type (#'a:level) cardinal = North | West | South | East # 'a
```

In the Flow Caml type system, information carried by a value of type cardinal (which is one of the four symbolic constants listed in the declaration) is described by one security level, similarly to the built-in enumerated types, such as integers or characters. Indeed, the type constructor cardinal has one argument which is a security level. In the above definition, this argument, 'a, is declared to be the information level related to the sum by the clause **#** 'a.

The answer produced by the system when a type is defined gives its signature, which is automatically inferred. This gives the kind and the variance of every argument: **#**'a:level means that 'a is a parameter of kind level, is covariant and must be guarded.

```
let p0 = North;;
val p0 : 'a cardinal
let p1 : !alice cardinal = North;;
val p1 : !alice cardinal
let p2 = if y2 then North else South;;
val p2 : !bob cardinal
```

We now define the function rotate, which takes as argument a cardinal point and returns its successor in the clockwise order:

```
let rotate = function
    North -> East
    West -> North
    South -> West
    East -> South
;;
val rotate: 'a cardinal -> 'a cardinal
```

As reflected by the inferred type scheme, this function takes a cardinal point of any level as argument and returns another cardinal point of the same level as a result.

In sections 2.1.3 and 2.1.4, we have encountered two examples of variant datatypes, which are predefined in the system. Obviously, there is nothing magic with them and, now, we can give their regular definition:

```
type ('a, 'b) option =
    None
    Some of 'a
    # 'b
;;
type (+'a:type, #'b:level) option = None | Some of 'a # 'b
```

The definition lists all the possible forms of a value of type ('a, 'b) option: it is either the constant None or the constructor Some with some argument of type 'a. The fourth line of the declaration, **#** 'b tells that 'b is the security level attached to the knowledge of the form of the option, i.e. whether it is None or Some. (Let us recall that, in the second case, information carried by Some's argument is reflected by the security levels appearing in the type 'a itself.)

The output produced by the system after the definition of this type gives the signature of the type option: +'a:type means that the first argument is covariant and is a type; while **#**'b:level means that the second argument is a level, and is covariant and guarded.

The definition of the type list is naturally recursive; but this has no particular consequence and the declaration is therefore similar to the previous one:

```
type ('a, 'b) list =
   []
   | :: of 'a * ('a, 'b) list
   # 'b
;;
type (+'a:type, #'b:level) list = [] | (::) of 'a * ('a, 'b) list # 'b
```

Following the same principle, one can also define binary trees as follows:

```
type ('a, 'b) tree =
   Leaf
   | Node of ('a, 'b) tree * 'a * ('a, 'b) tree
   # 'b
;;
```

and define the function which computes the height of a tree:

```
let rec height = function
   Leaf -> 0
   | Node (tl, _, tr) -> max (height tl) (height tr)
;;
val height: ('a, 'b) tree -> 'b int
```

As for the function which calculates the length of a list, the inferred type scheme reflects that the height of a tree depends on its structure (i.e. the embedding of constructors Leaf and Node) but not on the values stored inside the nodes.

Equipping a type definition taken from an Objective Caml program in order to obtain a Flow Caml one which includes security annotations, generally requires to make some design choices, related to the security properties one wants to enforce. For instance, let us consider the definition, in Objective Caml, of binary trees whose nodes are labeled by integers:

```
type int_tree =
    ILeaf
    INode of int_tree * int * int_tree
;;
```

There are at least two relevant ways to "decorate" this definition in order to obtain a Flow Caml type. A first solution consists in specializing the definition of the type **tree** given above:

```
type ('a, 'b) int_tree =
    ILeaf
    INode of ('a, 'b) int_tree * 'a int * ('a, 'b) int_tree
    # 'b
;;
type (+'a:level, #'b:level) int_tree =
    ILeaf
    INode of ('a, 'b) int_tree * 'a int * ('a, 'b) int_tree
    # 'b
;;
```

In this case, the type of a integer tree carries two security annotations, 'a and 'b: the former describes information attached to the integers stored in the tree while is related to the structure of the tree. In fact, the type ('a, 'b) int_tree is isomorphic to ('a int, 'b) tree. This allows distinguishing the knowledge of the structure of a tree from that of its labels. To illustrate this point, let us define two functions: size which calculates the number of nodes of a tree and sum which calculates the sum of its labels:

```
let rec size = function
    ILeaf -> 0
    | INode (tl, x, tr) -> size tl + 1 + size tr
;;
val size : ('a, 'b) int_tree -> 'b int
let rec sum = function
    ILeaf -> 0
    | INode (tl, x, tr) -> sum tl + x + sum tr
;;
```

val sum : ('a, 'a) int_tree -> 'a int

The result of the first function depends only on the structure of the tree, and not on its content. As a result its security level is related only to the second annotation of the tree given as argument. On the other hand, the sum of the labels of a tree carries information about the structure of the tree and about its labels, so in the type of sum, the security level of the returned integer must be greater than or equal to the two ones of the tree.

A possible alternative consists in equipping the type of trees labeled by integers with only one security level:

```
type 'a int_tree1 =
    ILeaf1
    INode1 of 'a int_tree1 * 'a int * 'a int_tree1
    # 'a
;;
type (#'a:level) int_tree1 =
    ILeaf1
    / INode1 of 'a int_tree1 * 'a int * 'a int_tree1
    # 'a
;;
```

Information carried by the labels is no longer distinguished from the knowledge of the structure of the tree. This choice allows more concise and simpler but less precise typings. For instance, with this definition, both functions computing respectively the size of a tree and the sum of its labels have the same types:

```
let rec size1 = function
    ILeaf1 -> 0
    INode1 (tl, x, tr) -> size1 tl + 1 + size1 tr
;;
val size1 : 'a int_tree1 -> 'a int
let rec sum1 = function
    ILeaf1 -> 0
    INode1 (tl, x, tr) -> sum1 tl + x + sum1 tr
;;
val sum1 : 'a int_tree1 -> 'a int
```

The type obtained for **size1** gives a less precise description of the behavior of the function w.r.t. information flow than that of **size**: it does not reflect that the size of a tree does not depend on the value of its labels, as reflected by these computations:

```
size (INode (ILeaf, x1, ILeaf));;
- : 'a int
size1 (INode1 (ILeaf1, x1, ILeaf1));;
- : !alice int
```

2.5.2 Records

Records as tuples Records are an improved form of tuples, with named fields. Here, we declare a record type to represent points or vectors in a two-dimensional space.

```
type 'a vector =
   { x: 'a int;
      y: 'a int
   }
;;
```

type (#'a:level) vector = { x: 'a int; y: 'a int }

As reflected by the output of the toplevel, the type constructor **vector** has one argument which is the common level of the two integers it is made of. This argument is covariant and guarded. As for tuples, there is no particular security level attached to the record structure itself, since it is not really observable in the language.

So, when a vector is built from two integers, its security level is nothing but the union of those of the given integers:

let v = { x = x1; y = x2 };; val v : [> !alice, !bob] vector

One can also define some of the classical functions operating on vectors:

```
let add_vector v1 v2 =
    { x = v1.x + v2.x;
    y = v1.y + v2.y
    }
;;
val add_vector: 'a vector -> 'a vector -> 'a vector
let rot_vector v =
    { x = - v.y;
    y = v.x
    }
;;
val rot_vector: 'a vector -> 'a vector
```

The manner we equip the type **vector** with security annotations is somehow arbitrary. Indeed, it is also possible to distinguish the information carried by each of its components and hence have two security levels:

```
type ('a, 'b) vector2 =
  { x2: 'a int;
    y2: 'b int
  }
;;
type (#'a:level, #'b:level) vector = { x2: 'a int; y2: 'b int }
```

Such a declaration allows in some cases more precise, but also more verbose, typings.

```
let add_vector2 v1 v2 =
  { x2 = v1.x2 + v2.x2;
    y2 = v1.y2 + v2.y2
  }
;;
val add_vector2: ('a, 'b) vector -> ('a, 'b) vector -> ('a, 'b) vector
let rot_vector2 v =
  { x2 = - v.y2;
    y2 = v.x2
  }
;;
val rot_vector2: ('a, 'b) vector2 -> ('b, 'a) vector2
```

In particular, the type obtained for the function rot_vector2 clearly shows that the function performs some permutation of the two components of the vector.

Mutable records As in Objective Caml, records can also have *mutable* fields whose content may be modified in place. They are declared with the **mutable** keyword:

```
type ('a, 'b) mvector =
  { mutable mx: 'a int; mutable my: 'a int } # 'b
;;
type (='a:level, #'b:level) mvector = {
  mutable mx : 'a int;
  mutable my : 'a int;
} # 'b
```

This defines a type for mutable vectors. This declaration calls for two comments. Firstly, a mutable field is at the same time an input and an output channel of a value: it contents can be written and read. Because it is described by an only type, it must be *invariant*. Hence, in the above definition, the parameter 'a is *invariant*, as reflected in the signature by the =. Secondly, a record involving some mutable field is no longer a simple tuple: the information it carries is not entirely contained by its components because its identity (i.e. its address in memory) can be observed in the language. Hence, its type must carry an additional security level which tells how much information is attached to the knowledge of its identity. In our example, this role is played by the argument 'b, which is specified by the clause **#** 'b at the end of the definition. To illustrate the use of such a datatype, let us define the function rot_mvector which rotates in place a vector:

```
let rot_mvector v =
    let x = v.mx in
    v.mx <- v.my;
    v.my <- x
;;
val rot_mvector : ('a, 'a) mvector -{'a //}-> unit
```

Because of their update, the integers of the vector given as argument contain information about both the context where the function is applied and the identity of the record given as argument.

In section 2.3, we have introduced *references*. However, they are only a particular case of mutable records and can be defined as follows:

```
type ('a, 'b) ref =
  { mutable contents: 'a } # 'b
;;
type (='a:type, #'b:level) ref = { mutable contents: 'a } # 'b
```

What is more, the three primitives operations **ref**, **:=** and **!** on references are regular functions which can be implemented from the record representation of references:

2.6 Interacting with the outside world

A whole Flow Caml program may be viewed as a process that receives information from one or several sources, performs some computation and sends its results to one or several receivers. Then, the final purpose of the Flow Caml type system is to check that every information flow from a source to a receiver generated by the execution is legal w.r.t. the security policy of the system. In this section, we describe how such external entities are modeled in Flow Caml and how the desired security policy may be specified by the programmer.

In the literature, holders of information are generally referred to as *principals* (from the program's viewpoint, each of them can be a source, a receiver, or both). Depending on the context, principals may stand for a variety of concepts: (groups of) human beings, security classes (e.g. *public* or *secret*), subsets of the system's memory, communication channels through some peripheral or network interface. However Flow Caml is not concerned with the real existence of such entities, and provides a general and uniform manner to deal with them: in its type system, principals are represented by constant security levels. In the beginning of this tutorial, *Alice*, *Bob* and *Charlie* were examples of principals and represented by the security levels <code>!alice</code>, <code>!bob</code> and <code>!charlie</code>, respectively. However, they remained relatively abstract, because we just declared a series of values to have these levels—thanks to some type constraint—but we did not say how a program can really interact with them.

2.6.1 The example of the standard input and output

More concrete examples of external communication channels for a program consist in its standard input and output. Both can be viewed as principals and we therefore decide to represent them by the two security levels !stdin and !stdout, respectively. A program can interact with them using the usual functions of the standard library. For instance, print_int outputs an integer on the standard output:

```
print_int;;
- : !stdout int -{!stdout ||}-> unit
```

Because the integer provided as argument is sent to the standard output, its security level must be less than or equal to !stdout. To print the integer 1, one writes:

print_int 1;;
- : unit

The literal constant 1 has type 'a int for every 'a; hence one can instantiate 'a < !stdout and the call to the function is possible. However, printing the integer x1 (which comes from the principal Alice and hence has the security level !alice) is not, in the default security policy, legal:

```
print_int x1;;
This expression generates the following information flow:
    from !alice to !stdout
which is not legal.
```

Indeed, this piece of code generates a flow from Alice to the standard output and hence requires the inequality <code>!alice < !stdout</code>. This is not satisfied in the default security policy which is the *empty* one: it never allows any communication from one principal to another. It can be refined using declarations introduced by the keyword **flow**:

```
flow !alice < !stdout;;</pre>
```

This makes the security level !alice less than or equal to !stdout. In other words, this allows information flow from the principal represented by !alice (Alice) to that of !stdout (the standard output). These declarations are naturally "transitive". For instance, if one declares:

```
flow !bob < !alice;;</pre>
```

then Bob is allowed to send information to Alice, but also, by transitivity, to the standard output:

```
print_int x2;;
- : unit
```

It is worth noting that the constant security levels are *global* as well as the declarations that relate them. This is natural because the principals and the security policy they represent are so. However, for convenience, the interactive toplevel allows the programmer to refine the security policy incrementally. This is always safe because a piece of code that is legal in some security policy is still allowed in another one where more information flow is possible.

Similarly, the security level !stdin intends to represent the standard input in the type system. For instance, the function read_line has the following type:

```
read_line;;
- : unit -{[< !stdout, !stdin] | End_of_file: !stdin |}-> !stdin string
```

Quoting the documentation of the standard library, read_line "flushes standard output, then reads characters from standard input until a newline character is encountered [and] returns the string of all characters read, without the newline character at the end". Thus, invoking read_line affects both the standard input and output, which explains the first annotation in the arrow of its type. Furthermore, if the user sent the "end-of-file" sequence (e.g. by typing ^D), the function raises the exception End_of_file, hence the second annotation on the arrow. Lastly, a string obtained by reading on the standard input must have the level !stdin:

```
let s1 = read_line ();;
val s1 : !stdin string
Current evaluation context has level !stdin
```

Let us note that, if one wants to print on the standard output a string read on the standard input, the security policy of the program must allow information flow from the latter to the former. This is declared by the following statement:

```
flow !stdin < !stdout;;</pre>
```

and then, it is possible to write a function **echo** which "pipes" the standard input to the standard output:

```
let echo () =
  try
  while true do
    let s = read_line () in
    print_string s
    done
  with
    End_of_file -> ();;
val echo : unit -{[< !stdout, !stdin] //}-> unit
```

2.6.2 Modeling principals

Real programs are liable to communicate with external entities through other channels than the simple standard input and output, e.g. the file system, network interfaces or display devices. However, the Flow Caml library does not provide functions allowing such communications: analyzing these low-level operations with its type system would not yield any relevant information about their behavior w.r.t. the security policy, because fine-grained considerations are in general mandatory to prove they are safe. Then, the interaction with external entities must be modeled in Flow Caml at a higher level.

That is the reason why a program written and verified with the Flow Caml system must generally be divided in two parts. The purpose of the first one is to provide a high level model of the external principals considered by the program. This should consist in a series of functions for interacting with them, which are implemented in one or several regular Caml modules, using for instance the standard i/o interface, the Unix library or some graphical toolkit. This part of the code cannot be verified by the Flow Caml system: the programmer must supply itself an interface for these "high level" functions which specifies their behavior w.r.t. the security policy. The second part consists in the body of the program, which interacts with the outside world only with the model of principals provided by the previous modules. This part can be written and type-checked in Flow Caml, which automates its verification. In section 2.8, we will give more details about this programming scheme: because this requires dividing the program at hand into several *compilation units*. Because they are roughly a particular case of *top-level* modules, we first say a few words about the module layer of the Flow Caml language.

2.7 The module language

The broad outline of the Flow Caml module language is the same as that of Objective Caml: it provides *structures*, which are sequences of definitions, and *signatures* which are interfaces for structures. Besides *functors* are "functions" from structures to structures, which allow expressing parameterized structures.

Although, there is no major novelty compared with Objective Caml, this section describes how its module language has been extended to handle the type system of Flow Caml and its information flow analysis; and illustrates with some examples its basic usage.

2.7.1 Structures and signatures

module IntSet = struct

A structure consists in an arbitrary sequence of definitions, which are packaged together. It is introduced by the **struct** ... **end** construct, and is usually given a name with the **module** binding. For instance, one may define a structure implementing sets of integers (with binary trees):

```
type 'a t =
   Empty
   Node of 'a t * 'a int * 'a t
   # 'a
let empty = Empty
let rec add x = function
   Empty -> Node (Empty, x, Empty)
   Node (1, y, r) ->
        if x < y then Node (add x 1, y, r)</pre>
```

```
else Node (l, y, add x r)
let rec mem x = function
   Empty -> false
   | Node (l, y, r) ->
        (x = y) || mem x (if x < y then l else r)
end;;
module IntSet : sig
  type (#'a:level) t = Empty | Node of 'a t * 'a int * 'a t # 'a
  val empty : 'a t
  val add : 'a int -> 'a t -> 'a t
  val mem : 'a int -> 'a t -> 'a bool
end
```

This structure comprises one type definition (the type of sets of integers, t), and three values: the empty set, empty, and two functions operating on sets, add (to add an integer to a set) and mem (to test whether an integer belongs to a set). The system outputs the *signature* of the structure, which is a list of its components with their declaration. Outside the structure, its components can be referred to using the "dot notation", that is, identifiers qualified by a structure name. For instance, IntSet.add refers to the function add of this structure.

```
IntSet.add x1 (IntSet.add x2 IntSet.empty);;
- : [> !alice, !bob] IntSet.t
```

Signatures allows to abstract some characteristics of the implementation of a structure by hiding some components or exporting them with a restricted declaration or type. For instance, one may hide the concrete representation of integer sets:

```
module type INTSET = sig
  type (#'a:level) t
  val empty: 'a t
  val add: 'a int -> 'a t -> 'a t
  val mem: 'a int -> 'a t -> 'a bool
end;;
module AbstractIntSet = (IntSet : INTSET);;
module AbstractIntSet : INTSET
```

It is worth noting that parameters in declarations of abstract types must be annotated with their kind and variance in signatures: because the representation of the type is not given, they can no longer be inferred by the system. However, they are required to properly type-check the rest of the program.

2.7.2 Functors

Functors are "functions" from structures to structures. They are used to express parameterized structures. A common example is a definition of a generic set library, parameterized by a structure giving the type of the elements of the set and a function **compare** defining a total order between them:

```
module type ORDERED_TYPE = sig
  type (#'a:level) t
  val compare : 'a t -> 'a t -> 'a int
end;;
```

(As usual in Caml, compare x y is expected to return 0 if x is equal to y, a negative integer if x is less than y and a positive integer otherwise.) In this signature, the type of the elements, t, is parameterized by one security level which describes all the information leaked by a comparison (as reflected by the type of compare). However, this does not prevent to instantiate it with more complex data types, which are originally parameterized by several security levels:

```
module IntList : ORDERED_TYPE = struct
  type 'a t = ('a int, 'a) list
 let rec compare 11 12 =
   match 11, 12 with
      [], [] -> 0
    | [], _ :: _ -> -1
    | _ :: _, [] -> 1
    | hd1 :: tl1, hd2 :: tl2 ->
      let c = Pervasives.compare hd1 hd2 in
      if c = 0 then compare tl1 tl2
      else c
end;;
module IntList : sig
  type (#'a:level) t
  val compare : 'a t -> 'a t -> 'a int
end
```

We now define the functor implementing sets of arbitrary type. This functor takes the structure Elt as argument which must have the signature ORDERED_TYPE:

```
module Set (Elt: ORDERED_TYPE) = struct
  type 'a element =
    'a Elt.t
  type 'at =
      Empty
    | Node of 'a t * 'a element * 'a t
    #'a
  let empty = Empty
  let rec add x = function
      Empty -> Node (Empty, x, Empty)
    | Node (1, y, r) ->
        if Elt.compare x y < 0 then Node (add x 1, y, r)</pre>
        else Node (1, y, add x r)
  let rec mem x = function
      Empty -> false
    | Node (1, y, r) ->
        let c = Elt.compare x y in
        (c = 0) || mem x (if c < 0 then 1 else r)
end;;
module Set : functor (Elt : ORDERED_TYPE) -> sig
  type (#'a:level) element = 'a Elt.t
```

```
type (#'a:level) t = Empty | Node of 'a t * 'a Elt.t * 'a t # 'a
val empty : 'a t
val add : 'a Elt.t -> 'a t -> 'a t
val mem : 'a Elt.t -> 'a t -> 'a bool
end
```

As in the IntSet example, it would be good style to hide the actual implementation of the type of sets. This can be achieved by restricting Set by a suitable functor signature. Firstly, let us define the type of a module implementing a set structure:

```
module type SET = sig
```

```
type (#'a:level) element
type (#'a:level) t
val empty: 'a t
val add: 'a element -> 'a t -> 'a t
val mem: 'a element -> 'a t -> 'a bool
```

end;;

This signature lists the type of elements and sets as well as the functions operating on them. Then, the Set functor takes a structure of signature ORDERED_TYPE and returns one of signature SET, so it may be declared with the following type:

```
module Set (Elt: ORDERED_TYPE)
            : (SET with type 'a element = 'a Elt.t) = struct
            ...
end
```

The type constraint with type 'a element = 'a Elt.t has the same purpose as in Objective Caml: it points out the fact that the sets contain elements of type Elt.t, i.e. that the functions add and mem can be applied with arguments of this type. To conclude with this example, one can retrieve our first implementation of integer sets, the module IntSet, as an instance of the functor Set:

```
module IntSet' = Set (struct
  type 'a t = 'a int
  let compare = Pervasives.compare
end);;
module IntSet' : sig
  type 'a element = 'a int
  type 'a t
  val empty : 'a t
  val add : 'a element -> 'a t -> 'a t
  val mem : 'a element -> 'a t -> 'a bool
end
```

In the previous examples, the interaction between the module language and the security analysis featured by the type system of Flow Caml remains relatively basic: roughly speaking, it simply consists in including the relevant security annotations in value and type declarations in signatures. However, it is sometimes necessary to parameterize a signature with some security level. For instance, one may define a module type for a structure implementing some input channel:

```
module type IN = sig
level Data
level Prompt
val read : unit -{[< Prompt] ||}-> Data string
end;;
```

This signature involves two abstract levels: Data is the security level of data read on the input channel; and Prompt represents the information leaked on the channel when one starts listening on it. At the time being, nothing is known about these levels, so they remain "abstract". The function read is intended to read one line on the underlying channel. It naturally produces a string whose level is Data (let us remark that, in this model, reading can never fail). An implementation of this signature using the standard input would be as follows:

```
module Stdin = struct
level Data = !stdin
level Prompt less than !stdin, !stdout
let read () =
   try read_line ()
   with End_of_file -> ''
end;;
module Stdin : sig
   level Data = !stdin
   level Prompt less than !stdout, !stdin
   val read : unit -{[< !stdout, !stdin] ||}-> !stdin string
end
```

Strings read on the standard input have level !stdin, so Data is declared to equal to it in Stdin. Invoking read_line affects the standard input and the standard output (because it is flushed), so Prompt must be less than or equal to !stdin and !stdout. Then, the module Stdin implements the signature IN, which may be immediately verified by a type constraint:

```
module AbstractStdin = (Stdin : IN);;
module AbstractStdin : IN
```

Similarly, we declare the module type for output channels, and implement an instance of it devoted to the standard output:

```
module type OUT = sig
level Data
val print : Data string -{Data ||}-> unit
end;;
```

In this case, we only need one security level **Data** which represents the information which may be sent on the channel. (We do not consider the possibility of *receiving* information from an output channel, for instance because of a buffer overflow.) The module **Stdout** implements this signature for the standard output:

```
module Stdout = struct
  level Data = !stdout
  let print = print_endline
end;;
module Stdout : sig
  level Data = !stdout
  val print : !stdout string -{!stdout ||}-> unit
end;;
```

Now, we aim at writing a functor which takes as argument two structures, defining an input channel and an output channel, respectively. The body of the functor will define a function copy whose purpose is simply to read one line on the input channel and print it on the output channel. However, it is not enough to require the two structures parameterizing the functor to have the respective signature INPUT and OUTPUT: indeed, the function copy implemented by the functor generates an information flow from the channel represented by the former to that of the latter. Hence the security level Data of the input channel, must be declared to be less than or equal to that of the output channel.

That is the purpose of the constraint with level appearing in the type of the second argument of the function. Its semantics is similar to that of with type or with module in Objective Caml: it refines the definition of the level Data in the signature of the module O. The clause greater than I.Data declares that this security level must be that of a principal allowed to "receive" information *from* the channel implemented by the structure I whose level is I.Data.

It is worth noting that the order in which parameters appear in the functor definition generates some asymmetry, because the constraint is applied on the second structure. Obviously, it is also possible to permute the two arguments:

```
module Copier' (0 : OUT)
               (I : IN with level Data less than O.Data) = struct
  let copy () =
    O.print (I.read ())
end::
module Copier' :
  functor (0 : OUT) ->
    functor
      (I : sig
             level Data less than O.Data
             level Prompt
             val read : unit -{Prompt ||}-> Data string
           end) ->
      sig
        val copy : unit -{[< I.Prompt, O.Data] ||}-> unit
      end
```

The constraint now states that the principal represented by the level **source** in I must be allowed to send information to **O.Data**.

To conclude with this example, let us build an instance of **Copier** dedicated to the standard input and output. This requires to allow information flow from the former to the latter, which can be done by the toplevel declaration:

flow !stdin < !stdout;;</pre>

Now, the security level Stdin.Data is less than or equal to Stdout.Data, so Stdin and Stdout are legal arguments for Copier:

```
module StdCopier = Copier (Stdin) (Stdout);;
module StdCopier :
    sig val copy : unit -{[< Stdout.Data, Stdin.Prompt] ||}-> unit
end
```

2.7.3 Side-effects, exceptions and the module language

Let us briefly explain how the type system of Flow Caml traces information flow due to side-effects and exceptions throughout the evaluation of module expressions. Evaluating a structure consists in considering successively each of its definitions; computation really arises only at **let** definitions and their evaluation may have side-effects or raise exceptions. It is worth noting that an exception which escapes the scope of a top-level **let** definition cannot be trapped further, so it terminates the program.

In fact, Flow Caml's type system associates not only a type to the body of a **let** definition but also two lists of security levels, or *bounds* written **from** ... **to** ... where each ... stands for a list of security levels. The *lower bound* (appearing after the **from** keyword) describes the side-effects performed by the evaluation of the definition, roughly speaking it includes the security level(s) of data structures the definition may affect. The *upper bound* (appearing after the **to** keyword) tells how much information is attached to the exceptions the definition may raise. This process is generalized to the whole module language by associating to every definition and module expression a pair of bounds. Because they have no computational content, the bounds of **external**,

type, level, exception, module type, open and include definitions are always empty. The bounds of a module definition are obtained by considering recursively the module expression which appears in the right-hand-side.

For instance, the evaluation of a structure **struct** $def_1 \ldots def_n$ end consists in evaluating successively each of the definitions, then the bounds associated to the whole module expression are naturally obtained by merging those of the definitions def_1 to def_n . Moreover, while considering this sequence of definitions, def_i is evaluated if and only if none of def_1 to def_{i-1} raised an exception. As a result, to prevent any illegal information flow, the upper bounds of the former must be less than or equal to the lower bound of the latter.

However, the toplevel system does not output the bounds of the definitions the user enters. Instead, at every prompt, it considers all definitions entered so far as members of a single structure, whose upper bound corresponds to the evaluation context's security level introduced in section 2.4.2. When one enters a new definition, the system checks that its lower bound is less than or equal to that of the current evaluation context's security level, and then it is extended with the upper bound of the new definition. A message giving the new evaluation context's security level is output in the case it is different from the previous one.

A functor definition does not perform any computation, so it has empty bounds. Indeed, the body of the functor is executed only when the functor is applied. In order to trace bounds from functors definitions to functors applications, the arrow symbols of functors types are annotated—when necessary—by bounds:

```
module type S = sig
  val x : 'a int
end;;
```

```
module F (X: S) = struct
    let _ =
        r1 := X.x;
        if X.x = x2 then raise Exit
end;;
module F : functor (X : S) -{!alice | !bob}-> sig end
```

This module type means that any application of the functor F may generate a side-effect on cells of level !alice and may raise an exception at level !bob. Then, an application of F inserts !bob in the evaluation context's security level:

```
module F0 = F (struct let x = 1 end);;
Current evaluation context has level !bob
```

Moreover, it is only possible to instantiate F if the evaluation context's security level is less than or equal to <code>!alice</code>, which is no longer the case after a first application of F:

```
module F1 = F (struct let x = 1 end);;
This expression is executed in a context of level !bob
but has an effect at level !alice.
This yields the following information flow:
   from !bob to !alice
which is not legal.
```

2.8 Standalone programs

All examples given so far were entered under the interactive system. However, Flow Caml code can also be written in separate files: the batch "compiler" flowcamlc allows to type-check them, and also translates them into regular Objective Caml source code files, so that they can be compiled using the compilers ocamlc or ocamlopt, yielding a standard executable.

In this section, we aim at explaining how it is possible to write such programs in Flow Caml. To illustrate our discussion, we consider as example the complete program whose source code is given pages 59 to 60. Let us briefly introduce the operation this example program performs: on Unix systems with *shadow* passwords, information about user accounts is stored in two files. The file /etc/passwd registers the list of logins, with, for each of them, a password and some administrative information such as a numeric id, the user's home directory and shell. Besides, the file /etc/shadow associates to every login a password stored in a encrypted form (with some optional aging information), which is used in place of that in /etc/passwd. Our program aims at synchronizing these two files, i.e. generating an entry in /etc/shadow for every account which is listed only in /etc/passwd. In the forthcoming subsections, we will explain step by step how the source code is organized, how it is verified and compiled thanks to the Flow Caml system. The type system will allow us to check that running the program cannot reveal to the user which invokes the command any information about the passwords stored in the two files.

2.8.1 Compilation units and batch compilation

The source code of a program is generally split into several files, called *compilation units*, that can be compiled separately. In Flow Caml, a compilation unit A comprises one or two files, among:

• the implementation file *a.fml*, which contains a sequence of definitions, analogous to the inside of a **struct...end** construct;

• the interface file *a.fmli*, which contains a sequence of specifications, analogous to the inside of a **sig...end** construct.

(In addition to definitions and specifications, the implementation and the interface may include two particular "*headers*", made of a **flow** declaration and optional **affects** and **raises** statements, whose respective purposes will be explained in the sections 2.8.2 and 2.8.3.) Both files define a structure named A (same name as the base name of the two files, with the first letter capitalized), as if the following definition was entered at top-level:

module A : sig (* specifications of file a.fmli *) end = struct (* definitions of file a.fml *) end;;

The files defining a set of compilation units can be handled separately using flowcamlc, following for each unit A one of the three above schemes:

- 1. The compilation procedure of a unit A defined in files *a*.fmli and *a*.fml is described in figure 2.1. First, the Flow Caml interface *a*.fmli is fed to flowcamlc, which checks its well-formedness and produces a compiled version of it, *a*.fcmi. It also translates the interface file into a regular Objective Caml one, namely *a*.mli. Second, the implementation *a*.fml can be type-checked by flowcamlc. The compiler computes the most general interface for the implementation, and checks it fulfills the declared one (i.e. that stored in *a*.fcmi). Furthermore, the source code of the unit in *a*.fml is translated into a Objective Caml implementation file, *a*.ml. Then, *a*.mli and *a*.ml can be compiled with ocamlc to produce a compiled interface *a*.cmi and a bytecode object file *a*.cmo.
- 2. However, as in Objective Caml, it is possible to build a unit A by providing only an implementation file *a.fml* but no interface file. This yields the compilation scheme of figure 2.2: the implementation *a.fml* can be directly passed through flowcamlc and the interface computed by type inference is stored itself in *a.fcmi*.
- 3. Lastly, as we have explained in section 2.6.2, some pieces of code cannot be satisfyingly typed with Flow Caml's system. Such definitions may be provided by a compilation unit with a Flow Caml interface a.fmli but only an Objective Caml implementation a.ml, as illustrated by the compilation scheme of figure 2.3. Then, the system will not check that the code in a.ml fulfills the interface a.fmli w.r.t. the security policy—this is left to the programmer's responsibility—but the definitions exported by the unit (and registered in a.fcmi) will be available to the rest of the program.

Our example program is made of four compilation units. Passwd and Shadow are low-level modules which implement functions for accessing the /etc/passwd and /etc/shadows files: their implementations are directly written in Objective Caml (files passwd.ml and shadow.ml), and only interfaces are provided in Flow Caml (files passwd.fmli and shadow.fmli). These interfaces assign security levels to the information manipulated by the units: data stored in /etc/passwd has the level !passwd_file, except the passwords, which have level !password. Similarly, information from /etc/shadow receives the level ! shadow_file and ! shadow_password. The unit Verbose provides a verbose mode: if the user runs the program with the -v option, then the execution is traced on the standard output. The body of the program is in Main. These last two units are fully implemented in Flow Caml: implementation (verbose.fml and main.fml) and interface (verbose.fmli and main.fmli) files are provided for each of them.

2.8.2 flow declarations in implementations and interfaces

In section 2.6.1, we explained how **flow** declarations allow specifying the security policy by setting inequalities between principals. We have seen that the toplevel system allows the programmer



Figure 2.1: Compilation scheme of a unit defined in a.fmli and a.fml



Figure 2.2: Compilation scheme of a unit defined in a.fml



Figure 2.3: Compilation scheme of a unit defined in *a.fmli* and *a.ml*

to refine the security policy incrementally, by entering new **flow** declarations which remain valid until the end of the interactive session.

In programs written for the batch compiler flowcamlc, every compilation unit must come with its own security policy, i.e. a **flow** declaration which specifies sufficient assumptions on principals for its source code to be well-typed. This declaration must be provided at the beginning of the implementation and interface files. For instance, the compilation unit Verbose of our example begins with the following declaration:

flow !arg < !stderr, !stdout</pre>

By convention, the principals !stderr and !stdout represent the standard error and the standard output of the program, respectively. !arg is the security level of the command-line arguments. The declaration is a shorthand for

flow !arg < !stderr
and !arg < !stdout</pre>

It means that the unit is well-typed under every security policy which enforces at least the two inequalities !arg < !stdout and !arg < !stderr. When a compilation unit includes no flow declaration—as Passwd and Shadow in the example—this simply means it is well-typed in every security policy.

The security policy under which a program made of several compilation units can be considered to be verified is the *union* of those declared in the units, i.e. the least one which satisfies the assumptions made by every unit. This is safe because if a piece of code is well-typed in a given policy, it remains so under a refinement of it, i.e. a lattice which declares more information flow. However, the possibility to provide a different **flow** declaration in every compilation unit of a program is of main importance for modularity of programming and re-usability of code, in the context of separate compilation. Indeed, this allows for instance having libraries (such as the standard one) used in programs which have different security policies. Otherwise, one would have to write or compile a specialized version of these libraries for each program which expects a different policy.

The Flow Caml system provides a tool, flowcamlpol, to compute the (minimal) security policy under which a program is (checked to be) safe. The usage of flowcamlpol is—to some extent similar to that of a *linker* of object files: it expects as argument the name of the compiled interfaces of the program's units, in the same order as the corresponding object files will be linked. For our example, one must run the command:

flowcamlpol passwd.fcmi shadow.fcmi debug.fcmi main.fcmi

which leads the system to sum up all information flow the example program is liable to perform:



The graphical representation can be obtained if the command is run with the **-graph** option. It shows some interesting properties of the information flow graph of the program, which have been established automatically by the type system. For instance, the standard output is not related to sensitive data stored in the /etc/passwd and /etc/shadow files, i.e. the user passwords.

Lastly, you may wonder why the minimal assumptions necessary for a compilation unit are not inferred by the system while it type-checks the source code. Indeed, doing so is possible for expressions of the core language. For instance, the following piece of code print_string Sys.argv.(0);;

is well-typed if and only if the inequality <code>!arg < !stdout</code> is enforced. However, the existence of a "minimal" solution to this problem is no longer ensured when considering the module language. Indeed, typing module expressions requires *comparing* type schemes, i.e. verifying that a given scheme is an instance of another one, which cannot yield principal **flow** declarations. For instance, the comparison of [< !alice, !bob] int with !charlie int requires the least upper bound of the levels !alice and !bob to be less than or equal to !charlie, which is not expressible in a **flow** statement.

2.8.3 affects and raises statements in interfaces

The execution of a program made of one or several compilation units consists in evaluating successively each top-level structure, in the order specified at linking. For instance, our example program is linked with the following command:

```
ocamlc -o passwd2shadow passwd.cmo shadow.cmo verbose.cmo main.cmo
```

then, when running the compiled executable passwd2shadow, the definitions of Passwd, Shadow, Verbose and Main are successively evaluated, until an uncaught exception is raised or the end is reached. Then, when gaining control, each unit acquires the information that the previous ones normally terminated. So, in order to trace this possible information flow, one has to consider the *bounds* of the underlying structures, as if the program where defined in a single unit such as:

```
module Passwd = struct
...
end
module Shadow = struct
...
end
module Verbose = struct
...
end
module Main = struct
...
end
```

For this purpose, every interface file can mention the lower and upper *bounds* of the underlying structure thanks to the **affects** and **raises** statements, respectively, which appears between the **flow** declaration and the signature (as usual, omitted bounds are supposed to be empty). For instance, the interface of **Verbose** declares the following bounds

```
affects !arg
raises !arg
```

which means that the unit Verbose has side-effect of level ! arg and can raise an exception at this level. This statement appears only in the interface: when type-checking the implementation, the bounds are inferred from the source code and compared to those provided in the interface.

Then, when linking a series of compilation units $\text{Unit}_1, \ldots, \text{Unit}_n$ to produce an executable, one has to check that, for every *i*, the upper bounds of Unit_1 to Unit_{i-1} are less than or equal to the lower bound of Unit_i , which is in fact achieved by the flowcamlpol command, at the same time it computes the security policy:

```
flowcamlpol passwd.fcmi shadow.fcmi debug.fcmi main.fcmi
```

A complete example

_ passwd.fmli _

(* An entry of "/etc/passwd" is represented by a record of type [(!passwd_file, !password) entry] *) type ('a, 'b) entry = { login: 'a string; password: 'b string } (* Input from "/etc/passwd" *) type noneq in_channel val open_in: unit -{!passwd_file ||}-> in_channel val input_entry: in_channel -{!passwd_file | End_of_file: !passwd_file |}-> (!passwd_file, !password) entry val close_in: in_channel -{!passwd_file ||}-> unit

__ passwd.ml __

```
password: string
}
type in_channel = Pervasives.in_channel
let open_in () =
Pervasives.open_in "/etc/passwd"
let rec input_entry chan =
let line = input_line chan in
try
let i1 = String.index_from line (i1 + 1) ':' in
{ login = String.sub line 0 i1;
    password = String.sub line (i1 + 1) (i2 - i1 - 1)
}
with
Not_found -> input_entry chan
```

let close_in chan =
 Pervasives.close_in chan

type entry =

{ login: string;

_ shadow.fmli _

```
(* An entry of "/etc/shadow" is represented by a record of
   type [(!shadow_file, !shadow_password) entry] *)
type ('a, 'b) entry =
    { login: 'a string;
     password: 'b string;
      rem: 'b string;
    }
(* Input from "/etc/shadow" *)
type noneq in_channel
val open_in: unit -{!shadow_file ||}-> in_channel
val input_entry: in_channel
   -{!shadow_file | End_of_file: !shadow_file |}->
(!shadow_file, !shadow_password) entry
val close_in: in_channel -{!shadow_file ||}-> unit
(* Output to "/etc/shadow" *)
type noneq out_channel
val open_out: unit -{!shadow_file ||}-> out_channel
val output_entry:
```

```
out_channel -> (!shadow_file, !shadow_password) entry
-{!shadow_file ||}-> unit
```

val close_out: out_channel -{!shadow_file ||}-> unit

```
_ shadow.ml .
type entry =
    { login: string;
      password: string;
      rem: string;
    }
type in_channel = Pervasives.in_channel
let open_in () =
  Pervasives.open_in "/etc/shadow"
let rec input_entry chan =
  try
    let line = input_line chan in
let i1 = String.index line ':' in
let i2 = String.index_from line (i1 + 1) ':' in
    let ln = String.length line in
    { login = String.sub line 0 i1;
      password = String.sub line (i1 + 1) (i2 - i1 - 1);
      rem = String.sub line (i2 + 1) (ln - i2 - 1)
    l
  with
    Not_found -> input_entry chan
let close_in chan =
  Pervasives.close_in chan
type out_channel = Pervasives.out_channel
let open_out () =
  Pervasives.open_out "/etc/shadow"
let output_entry chan e =
  Printf.fprintf chan "%s:%s:%s\n" e.login e.password e.rem
let close_out chan =
  Pervasives.close_out chan
```

_ verbose.fmli _

flow !arg < !stderr
and !arg < !stdout</pre>

affects !arg raises !arg

val message : !stdout string -{!stdout ||}-> unit

_ verbose.fml _

flow !arg < !stderr, !stdout</pre>

- (** [!verbose_mode] is true if the verbose mode is
 active. *)
- let verbose_mode : (!arg bool, _) ref = ref false
- (** Parse command-line arguments. If the option "-v" if found then [verbose_mode] is set to true. If any other option is encountered then an error message is printed and the exception [Exit] is raised. *)

```
let _ =
  for i = 1 to Array.length Sys.argv - 1 do
    match Sys.argv.(i) with
      "-v" -> verbose_mode := true
    | option ->
        prerr_string "Invalid option ";
        prerr_endline option;
        raise Exit
```

done

```
(** [print message] print a message on the standard output
    if the verbose mode is enabled. Otherwise, it does
   nothing. *)
let message s =
```

if !verbose_mode then print_endline s


```
flow !passwd_file < !shadow_file</pre>
     !passwd_file, !shadow_file < !stdout
!passwd_file, !shadow_file < !stdout</pre>
and
and
and !password < !shadow_password</pre>
(** The module [StringMap] implements association tables
    indexed by strings. *)
module StringMap = Map.Make (struct
  type 'a t = 'a string
  let compare = Pervasives.compare
end)
(** [read_shadow ()] reads the content of /etc/passwd
```

and returns a map associating each login to its entry. *) let read_shadow () =

```
let in_chan = Shadow.open_in () in
```

let rec loop accu =

```
try
 let entry = Shadow.input_entry in_chan in
 loop (StringMap.add entry.Shadow.login entry accu)
with End_of_file ->
 Shadow.close_in in_chan;
 accu
```

```
in
```

loop StringMap.empty

```
(** [read_passwd shadow_map] generates /etc/shadow from
     /etc/passwd and the entries in [shadow_map] *)
let read_passwd shadow_map =
  let in_chan = Passwd.open_in ()
and out_chan = Shadow.open_out () in
  let rec loop () =
    trv
```

let passwd_entry = Passwd.input_entry in_chan in Verbose.message passwd_entry.Passwd.login;

```
let shadow_entry =
  try
StringMap.find passwd_entry.Passwd.login shadow_map
    Not_found ->
       Verbose.message " creating an entry";
{ Shadow.login = passwd_entry.Passwd.login;
         Shadow.password = passwd_entry.Passwd.password;
         Shadow.rem = ""
      }
in
```

Shadow.output_entry out_chan shadow_entry

with

```
End_of_file -> ()
```

in

```
loop ();
```

```
Passwd.close_in in_chan;
Shadow.close_out out_chan
```

```
let _ =
    let shadow_map = read_shadow () in
  read_passwd shadow_map
```

PART

Reference manual

The Flow Caml language

This chapter is a brief description of the Flow Caml language. It lists the language constructs, and gives their syntax and semantics. However, this description is only *informal*, without the attempt to provide a mathematical formalization of the language. For a more detailed description of the core language and its type system, the reader is referred to [PS03]. Moreover, many aspects of the Flow Caml language are directly derived from Objective Caml, so they are described only succinctly, the reader is referred to the Objective Caml's documentation [LDG⁺02a] for further details.

Notations The syntax of the language is given in BNF-like notation. Terminal symbols are set in typewriter font (like this). Non-terminal symbols are set in italic font (like that). Square brackets [...] denote optional components. Curly brackets {...} denotes zero, one or several repetitions of the enclosed components. Curly bracket with a trailing plus sign {...}⁺ denote one or several repetitions of the enclosed components. Parentheses (...) denote grouping.

3.1 Lexical conventions

Flow Caml adopts the same lexical conventions as Objective Caml.

```
ident ::= (letter | _) {letter | 0...9 | _ | '}
letter ::= A...Z | a...z
infix-symbol ::= (= | < | > | @ | ^ | | | & | + | - | * | / | $ | %) {operator-char}
```

prefix-symbol ::= $(! | ? | ~) \{ operator-char \}$

operator-char ::= ! | \$ | % | & | ⁺ | - | . | / | : < | = | > | ? | @ | ^ | | | ~

Flow Caml integer, float and character literals are identical to those of Objective Caml. There are two flavors of string literals in Flow Caml: mutable ones (*charray-literal*), introduced between backquotes (') and immutable ones (*string-literal*), between double quotes ("). It is worth noting that escape sequences are the same for both. In particular, $\$ is not a valid escape sequence, even between ', so one has to write 096.

integer-literal ::= [-]
$$\{0...9\}^+$$

| [-] $(0x | 0X) \{0...9 | A...F | a...f\}^+$
| [-] $(0o | 00) \{0...7\}^+$
| [-] $(0b | 0B) \{0...1\}^+$

float-literal ::= [-] $\{0...9\}^+$ [. $\{0...9\}$] [(e | E) [⁺ | -] $\{0...9\}^+$] char-literal ::= ' regular-char ' | ' escape-sequence ' escape-sequence ::= \ (\ | " | ' | n | t | b | t) | \ (0...9) (0...9) (0...9) string-literal ::= " {string-character} " charray-literal ::= ' {charray-character} ' string-character ::= regular-char-string | escape-sequence charray-character ::= regular-char-charray | escape-sequence

The identifiers below are reserved as keywords, and cannot be employed otherwise. The first groups include all Objective Caml keywords while the second group lists Flow Caml additional ones.

and	as	assert	asr	begin	class
closed	constraint	do	done	downto	else
end	exception	external	false	for	fun
function	functor	if	in	include	inherit
land	lazy	let	lor	lsl	lsr
lxor	match	method	mod	module	mutable
new	of	open	or	parser	private
rec	sig	struct	then	to	true
try	type	val	virtual	when	while
with					
affects	content	finally	flow	greater	less
level	noneq	propagate	raise	raises	row
than	-				

The following character sequences are also keywords:

#	&	,	()	*	,	->	?
??			. (.[:	::	:=	;
;;	<-	=	Γ	[]	[<	{<]	1]
>]	>}	-	٢	{	Ι	}	~	
-{	}->	={	}=>					

3.2 The core language

$\textbf{3.2.1} \hspace{0.1in} \text{Values}$

Values of Flow Caml are those of Objective Caml: integer numbers, floating-point numbers, characters, character strings, tuples, arrays, variant values and functions. (Polymorphic variants and

objects are not supported.)

3.2.2 Names

Naming objects Identifiers are used to give names to several classes of language objects and refer to these objects by name later:

• Value names:	value-name operator-name	<pre>::= lowercase-ident (operator-name) ::= prefix-symbol infix-symbol * = or & :=</pre>
• Value constructors:	constr-name	::= capitalized-ident false true [] () ::
• Record fields:	field-name	::= lowercase-ident
• Type constructors:	typeconstr-name	::= lowercase-ident
• Level names:	level-name	::= uppercase-ident
• Principals:	principal	::= ! lowercase-ident
• Exception names:	exception-name	::= capitalized-ident
• Module name:	module-name	::= capitalized-ident
• Module type names:	modtype-name	::= ident

These nine name-spaces are distinguished both by the context and by the capitalization of the identifier. In comparison with Objective Caml, we have three additional classes: level names and principals (see section 3.2.3), and exception names. Exception names are syntactically distinguished from value constructors because exceptions are not first class values in Flow Caml.

Referring to named objects A named object can be referred to either by its name (following the usual static scoping rules for names) or by an access path *prefix.name*, where *prefix* designates a module and *name* is the name of an object defined in that module. The first component of the path, *prefix* is either a simple module name or an access path $name_1.name_2...$, in case the defining module is itself nested inside other modules. For referring to type constructors, levels, exceptions (in type expressions) or module types, the *prefix* can also contain simple functor applications (as in the syntactic class *ext-module-path*), in case the defining module is the result of a functor application.

value-path ::= value-name | module-path . lowercase-ident constr ::= constr-name | module-path . capitalized-ident typeconstr ::= typeconstr-name | extended-module-path . lowercase-ident level ::= level-name | extended-module-path . capitalized-ident exception ::= exception-name | extended-module-path . capitalized-ident field ::= field-name | module-path . lowercase-ident module-path ::= module-name | module-path . capitalized-ident

```
ext-module-path ::= module-name
| ext-module-path . capitalized-ident
| ext-module-path ( ext-module-path )
```

 $modtype-path ::= modtype-name \mid module-path . ident$

Principal names need not be qualified because they are global labels.

3.2.3 Security levels

Flow Caml types are annotated by *security levels*, which are supposed to belong to a lattice (the corresponding partial order is denoted by <, although it is not strict). The lattice must include principals (*principal*) and levels introduced by **level** declarations (*level*), which form "(*constant*) security levels":

security-level ::= principal | level

However, in order to preserve the lattice structure, it may also contains other security levels, which are not representable in Flow Caml syntax: they never will appear in type expressions; however, assuming their existence is necessary to interpret type schemes. Principals are intended to represent external entities or channels which programs may interact with.

The partial order on security levels is the smallest order which satisfies:

- The inequalities between principals provided in flow declarations (see section 3.3.3),
- and the assumptions made in level definitions (see section 3.2.4).

Information flow is allowed from a source labeled by the security level security-level₁ to a sink labeled by security-level₂ if and only if security-level₁ < security-level₂ holds.

3.2.4 Level definitions

Level names can be bound to security levels of the lattice thanks to level definitions.

```
level-definition ::= level level-name level-repr
level-repr ::= [greater than security-level-list] [less than security-level-list]
| = security-level
```

```
security-level-list ::= security-level {, security-level}
```

A level definition is introduced by the **level** keyword. It consists in a capitalized identifier followed by two optional sets of assumptions or *bounds*. The identifier is the name of the level being defined. The assumptions relate this new level with existing ones:

- If a clause greater than security-level₁, ..., security-level_n is provided, then the new level is made greater than or equal to $security-level_i$, for all *i*.
- If a clause less than security-level₁, ..., security-level_n is provided, then the new level is made less than or equal to security-level_i, for all i.

The representation = security-level is a shorthand for greater than security-level less than security-level. The definition cannot introduce new relationships about levels listed in these assumptions: every level which appears in the former must be known to be less than or equal to each of those appearing in the latter.

3.2.5 Type expressions

Type expressions denote types, security levels and rows in types schemes, definitions of data-types as well as in type constraints over patterns and expressions. A type expression is of one of the three kinds: *level*, *type* or *row* (this last kind is parametrized with a (possibly empty) set of exceptions which is the complementary of its domain).

```
kind ::= level | type | row [ [exception {, exception}] ]
```

A row of kind row [exception₁, ..., exception_n] is a mapping from exceptions distinct of exception₁, ..., exception_n to security levels.

Type expressions involve **type variables** '*ident*. A type variable can be used with any kind (i.e. there is a not a distinguished name-space for every kind of variables); however in a given context (i.e. a type scheme, a data-type definition or a type constraint), every occurrence of a given type variable must be used with the same kind. In type definitions, type variables are names for the type parameters. In type schemes, they are implicitly universally quantified.

A function type comprises 5 type expressions: $typexpr_1 - \{typexpr_2 \mid typexpr_3 \mid typexpr_4\} \rightarrow typexpr_5$. $typexpr_1$ and $typexpr_5$ have the kind type; the former is the type of the argument of the function and the latter that of its result. $typexpr_2$ and $typexpr_4$ are levels (of kind level). The former is the security level of the context (generally written pc in the literature) where the function is called while the latter is the annotation attached to the function's identity. Lastly, $typexpr_3$ is the row (of kind row []) describing the exceptions raised by the function.

Tuples types $typexpr_1 * ... * typexpr_n$ is the type of tuples whose elements belong to types $typexpr_1, ..., typexpr_n$ respectively.

Constructed types consists either of a type constructor with no parameter (*typeconstr*) or with one (*typecanstr*) or several ((*typecxpr* {, *typecxpr*})) arguments. Every type constructor is supposed to have a *signature* which gives the number of parameters it expects and their respective kind, see section 3.2.7.

The row term exception : $typexpr_1$; $typexpr_2$ stands for the row whose entry at index exception is $typexpr_1$ and whose other entries are given by the row $typexpr_2$.

3.2.6 Type schemes

Type schemes intend to describe the set of admissible types for some expression or value. Because of the presence of subtyping, this set cannot be represented by a single type expression with (universally quantified) free variables but must involve *constraints*.

```
type-scheme ::= typexpr [with constraint {and constraint}]
```

left-hand-side ::= typexpr | content (typexpr)

right-hand-side ::= typexpr | level (typexpr)

A type scheme consists in a type expression (the body) and an optional list of constraints, stating assumptions between the variables appearing in the body. Type variables which appear in a type scheme are implicitly universally quantified: intuitively, the type scheme stands for the set of all instances of the body which satisfy then constraints. A constraint is either an *inequality*, i.e. a pair of a left-hand-side and a right-hand-side, separated by the symbol <, or a *same-skeleton* constraint, i.e. a $\tilde{}$ -separated list of type expressions.

Some abbreviations are allowed in type expressions which appear as the body of a type scheme:

- A simple constant level security-level stands for a fresh level variable 'a with the two constraints security-level < 'a and 'a < security-level.
- [< security-level₁ , ... , security-level_n] is a shorthand for a fresh level variable 'a with the constraint 'a < security-level₁ , ... , security-level_n. Similarly, [> security-level₁ , ... , security-level_n] is a shorthand for a fresh level variable 'a with the constraint security-level₁ , ... , security-level_n < 'a. Lastly, [< security-level₁ , ... , security-level_n |> security-level_{n+1} , ... , security-level_{n+k}] is a shorthand for a fresh level variable 'a with the constraints security-level_{n+k}] is a shorthand for a fresh level variable 'a with the constraints security-level_{n+k}] is a shorthand for a fresh level variable 'a with the constraints security-level_n < 'a and 'a < security-level_{n+1} , ... , security-level_{n+k}.
- _ stands for an anonymous fresh variable of any kind.
- On functions arrows, anonymous variables can be omitted. For instance, -{| typexpr1 | typexpr2 }-> is a shorthand for -{ 'a | typexpr1 | typexpr2 }-> where 'a is a fresh variable. Furthermore, an arrow whose three annotations are omitted, -{ | | }->, can be written ->.

We do not give here a precise description of the interpretation of type schemes and constraints. The reader is referred to section 2.2 for an informal presentation and to [PS03] for a formal definition of the type system.

3.2.7 Type definitions

Type definitions bind type constructors to data types: either variant types, record types, type abbreviations, or abstract data types. They also bind the value constructors and record fields associated with the definition.

constr-decl ::= constr-name | constr-name of typexpr

field-decl ::= [mutable] field-name : typexpr

Type definitions are introduced by the **type** keyword, and consist in one or several simple definitions, possibly mutually recursive, separated by the **and** keyword. Each simple definition defines one type constructor. A simple definition consists in a lowercase identifier, possibly preceded by a **noneq** flag and one or several type parameters, and followed by an optional type equation, and then an optional type representation. The identifier is the name of the type constructor being defined.

The optional type parameters are either one type variable '*ident* or a list of type variables ('*ident*₁, ..., *ident*_n) for type constructors with several parameters. Each parameter may be annotated by its variance and its kind. In the case where the type definition introduces an abstract type (i.e. no type equation is provided), these annotations are mandatory and reproduced in the signature of the type constructor. In other cases, the signature computed for the type constructor is the minimal one which fits the type equation, the type representation and these annotations.

The optional type equation = *typexpr* makes the defined type equivalent to the type expression *typexpr* on the right of the = sign: one can be substituted for the other during typing. If no type equation is given, a new type is generated which is incompatible with any other type.

The optional type representation describes the data structure representing the defined type, by giving the list of associated constructors (if it is a variant type) or associated fields (if it is a record type):

- The type representation = constr-decl {| constr-decl} [# ' ident] describes a variant type. The optional annotation [# ' ident] declares the security level attached to variant values. It must be one of the parameters of the type constructor and it is required if the variant type comprises several constructors.
- The type representation = { *field-decl* {; *field-decl* } } describes a record type. The optional annotation [# ' *ident*] declares the security level attached to records values. It must be one of the parameter of the type constructor and it is required if the record type comprises one or several mutable fields.

If no type representation is given, nothing is assumed on the structure of the type besides what is stated in the optional type equation.

3.2.8 Exception definitions

Exception definitions introduce new exception names.

exception-definition ::= exception exception-name [exception-argument] [= exception-name]

exception-argument ::= [: 'ident] of exntypexpr

They consist in an upper case identifier, followed by an optional argument declaration, then an optional equation.

If no argument declaration is provided, then the exception name is constant. Otherwise, the argument declaration consists in an optional parameter and a type expression. The parameter is a level variable '*ident* which can appear in the type expression. The type expression *exntypexpr* gives the type of the exception's argument, it is a type expression which may involve constant security levels.

If no equation is provided, then the definition generates a new exception, distinct from all other exceptions in the system. Otherwise, it only gives an alternate name to an existing exception. In this case, the optional argument declaration must be identical to that of the re-binded definition.

3.2.9 Constants

The syntactic class of constants comprises literals from the five base types (integers, floating-point numbers, characters, mutable characters strings and immutable characters strings) and constant constructors.

constant ::= integer-literal | float-literal | char-literal | string-literal | charray-literal | constr

3.2.10 Patterns

Flow Caml provides the same patterns as Objective Caml (at the exception of the forms dealing with polymorphic variants). Their respective semantics is naturally preserved.

Because exceptions are not first class values and exception names are not regular value constructors, Flow Caml has a class of patterns dedicated to exceptions:

exception-pattern ::= _ | exception [pattern] {| exception [pattern]}

The first kind of pattern matches every exception. The second matches the exceptions of the given names, with an argument value matching the additional pattern (if any).
3.2.11 Expressions

```
expr ::= value-path
                      constant
                      (expr)
                      begin expr end
                      (expr: type-scheme)
                      expr, expr {, expr }
                      ncconstr expr
                      expr :: expr
                      [expr {; expr }]
                      [| expr {; expr} |]
                      { field = expr {; field = expr } }
                      { expr with field = expr {; field = expr } }
                      expr {expr}^+
                      prefix-symbol expr
                      expr (infix-symbol | * | = | or | &) expr
                      expr. field
                      expr . field <- expr
                      expr .( expr )
                      expr .( expr ) <- expr
                      expr . [expr]
                      expr . [ expr ] <- expr
                      if expr then expr [else expr]
                      while expr do expr done
                      for ident = expr (to | downto) expr do expr done
                      expr; expr
                      match expr with pattern-matching
                      function pattern-matching
                      fun multiple-matching
                      raise (exception | ( exception expr ))
                      try expr with [] handler {| handler }
                      try expr finally expr
                      let [rec] let-binding {and let-binding} in expr
pattern-matching ::= []] pattern [when expr] \rightarrow expr {| pattern [when expr] \rightarrow expr}
multiple-matching ::= \{pattern\}^+ [when expr] -> expr
       let-binding ::= pattern [: type-scheme] = expr
                   | value-name {pattern}<sup>+</sup> [: type-scheme] = expr
          handler ::= exception-pattern -> expr [propagate]
```

The only differences between the syntax of Flow Caml expressions and those of Objective Caml rely in the fact that exceptions are first class values in the former while they are not in the latter. Thus, **raise** is no longer a regular function but a construct of the language. Two exceptions handlers are provided: the expression

```
try
expr
with
pattern1 -> expr1 [propagate]
...
```

 $| pattern_n \rightarrow expr_n [propagate]$ evaluates the expression expr and returns its value if the evaluation does not raise any exception. If it raises an exception, it is matched against the patterns $pattern_1$ to $pattern_n$. If the matching against $pattern_i$ is the first which succeeds, the associated expression $expr_i$ is evaluated (in an environment enriched by the bindings performed during the matching). If the handler is terminated with the keyword **propagate**, the trapped exception is propagated (in this case, exceptions raised by $expr_i$ are not thrown), otherwise the value produced by the evaluation of $expr_i$ becomes that of the whole try expression. If none of the patterns matches the exception raised by expr, the exception is raised again, thereby transparently "passing through" the try construct.

The expression $try expr_1$ finally $expr_2$ evaluates the expression $expr_1$. This produces a result which is either a value of a raised exception. In both cases, the expression $expr_2$ is evaluated and its result (value or exception) discarded. Finally, the result produced by $expr_1$ becomes the result of the whole expression.

Evaluation order For the purpose of obtaining a precise information flow analysis, the evaluation order of expressions must be specified. As a result, the right-to-left evaluation order of the current implementation of the Objective Caml language is made part of the specification of the Flow Caml core language.

3.3 The module language

3.3.1 Module types (module specifications)

Module types are the module-level equivalent of type expressions: they specify the general shape and type properties of modules.

```
module-type ::= modtype-path
                sig {specification [;;]} end
                  functor ( module-name : module-type ) functor-arrow module-type
                module-type with mod-constraint {and mod-constraint}
               ( module-type )
  specification ::= val value-name : type-scheme
                  external value-name : type-scheme = external-declaration
                  type-definition
                  level-definition
                  exception-definition
                  module module-name module-args : module-type
                  module type modtype-name = [module-type]
                  open module-path
                  include module-type
  module-args ::= \{(module-name : module-type)\}
mod-constraint ::= type [type-parameters] typeconstr = typexpr
                  level level level-repr
                  module module-path = ext-module-path
```

functor-arrow ::= \rightarrow | -{ [security-level-list] | [security-level-list] }->

This language of module types is largely identical to that of Objective Caml, so we only mention the significant differences:

- A new for of specifications, level definitions, may appear in signatures.
- In Flow Caml signatures, exception declarations (introduced by the keyword exception) may mention an equality between exceptions (as in structures). This is made necessary because exceptions appears in types for values.
- Functors arrows may mention two lists of security levels, a pair of bounds whose purpose is explained in section 2.7.3 of the tutorial.

3.3.2 Module expressions (module implementations)

Module expressions are the module-level equivalent of value expressions: they evaluate to modules, thus providing implementations for the specifications expressed in module types. There is no difference with Objective Caml to be mentioned.

module-expr ::= module-path
 struct {definition [;;]} end
 functor (module-name : module-type) -> module-expr
 module-expr (module-expr)
 (module-expr)
 (module-expr : module-type)

definition ::= let [rec] let-binding {and let-binding}
 external value-name : type-scheme = external-declaration
 type-definition
 level-definition
 module module-name module-args = module-expr
 module type modtype-name = module-type
 open module-path
 include module-expr

Evaluation order The remark about the evaluation order made for the core language also applies to the module language. As a result, the right-to-left evaluation order of the current implementation of the Objective Caml language is made part of the specification of the Flow Caml module language.

3.3.3 Compilation units

```
flows-declaration [affects security-level-list] [raises security-level-list]
interface ::= {specification}
```

implementation ::= flows-declaration {specification}
flows-declaration ::= flow principal-list < principal-list {and principal-list < principal-list}
principal-list ::= principal {, principal}</pre>

A Flow Caml program can be made of one or several compilation units. Each compilation is made of an interface and an implementation. It also has a name *unit-name*, derived from the names of the files containing the interface and the implementation (see section 4.2 for details).

An implementation consists in two parts: a list of flow declarations and a specification. The flow declarations define the partial order < between principals which is used throughout the type-checking of the specification: it is the smallest one which satisfies all the listed inequalities. The specification is the body of a structure which lists the values, types, levels, exceptions, modules and module types implemented by the unit.

An interface is made of three sections: a list of flow declarations, the affects and raises statements and a specification. The flow declarations define the partial order < between principals which has been used throughout the type-checking of the unit: in short, it provides a description of the possible information flow generated by the code of the unit. The inequality between principals provided in the interface of a unit must imply (possibly by transitivity) all those mentioned in the implementation of the unit. Lastly, the statements affects and raises mentions respectively the lower and upper *bounds* of the module expression underlying the compilation unit. They are omitted when the bound is empty.

Tools

4.1 The interactive toplevel (flowcaml)

The toplevel tool for Flow Caml, flowcaml, permits interactive use of the Flow Caml system through a read-type-check loop. In this mode, the system repeatedly reads Flow Caml phrases from the input, type-checks them and outputs the inferred type, if any. The system prints a **#** (sharp) prompt before reading each phrase.

Input to the toplevel can span several lines. It is terminated by ;; (a double-semicolon). The toplevel input has the following syntax.

toplevel-phrase ::= definition | expr

directive-argument ::= string-literal | integer-literal | value-path | level | typeconstr | exception

A toplevel input can consists in a series of definition, similar to those found in implementations of compilation units or in **struct** ... **end** module expressions. It can also consist in a flow declaration, which extend the current security policy, or in a toplevel directive, starting with **#** (the sharp sign). These directives control the behavior of the toplevel; they are listed below in section 4.1.3.

4.1.1 Graphical output

The toplevel offers a graphical output of inferred type schemes. It is enabled by the **-graph** command line option or the directive **#open_graph**. For a description of the graphical output of type schemes, see section 2.2.6 of the tutorial.

4.1.2 Options

The following command-line options are recognized by the **flowcaml** command.

```
-display host: display
```

Specify the host and screen to be used for displaying the graphic window (see the option -graph). By default this is obtained from the environment variable DISPLAY.

-font fontname

Set the font used on the graphical window.

-geometry width height+x-offset+y-offset

Specify the initial geometry of the graphical window. The four parameters are numbers giving (in pixels) the width, height, horizontal offset and vertical offset respectively. Partial specifications of the form *widthxheight* or +x-offset+y-offset are also allowed.

-graph

Enable the graphic window. The toplevel may produce a graphical representation of inferred type schemes (in addition to the textual standard one), displayed a X graphical window. The display and geometry of this window may be controlled by the options -display and -geometry.

-I directory

Add the given directory to the list of directories searched for source and compiled files. By default, the current directory is searched first, the standard library directory. Directories added with -I are searched after the current directory, in the order in which they were given on the command line, but before the standard library directory.

-linewidth width

Sets the number of columns of the terminal used for printing messages. Default is 78.

-nopervasives

Does not initially open then Pervasives module.

-pprint flags

Configure the pretty-print of types. The argument is a string of one or several characters, with the following meaning for each character:

C/c	enable/disable use of colors	for	displaying	polarities	of	type	variables	(on
	VT100 compatible terminals)							

- 0/1/2 Set which universally quantified and unconstrained type variables are hidden. In mode 0, all are hidden or replaced by _, in mode 1, only those which appear on functions arrows are hidden, in mode 2, all are displayed.
- H/h enable/disable the hiding of universally quantified and unconstrained type variables (h implies g).
- V/v enable/disable printing of the polarities of type variables (with the symbols +, and =)

Default is Cv1.

4.1.3 Toplevel directives

The following directives control the toplevel behavior.

#close_graph

Close the graphical window (see section 4.1.1).

#lookup_exception "ident"

Lookup for an exception in the environment and print its declaration.

#lookup_level "ident"

Lookup for a level in the environment and print its declaration.

#lookup_type "ident"

Lookup for a type in the environment and print its declaration.

#lookup_value "ident"

Lookup for a value in the environment and print its description.

#open_graph

Open the graphical window (see section 4.1.1).

#pprint "flags"

Set flags for pretty-print (see option **-pprint** above for a description of available flags).

#reset_context

Reset the security level associated to the toplevel evaluation context to its initial value, as if a new program were started.

#quit

Exit the toplevel loop and terminate the flowcaml command.

4.2 The batch compiler (flowcamlc)

This section is interested with the Flow Caml batch compiler flowcamlc. To describe in a few words its working, let us say that it reads Flow Caml files as input, type-checks their content and produces regular Objective Caml code as output. These may be later compiled using the standard ocamlc or ocamlopt compilers to obtain executables.

4.2.1 Overview

The flowcamlc command has a command-line interface similar to that of the Objective Caml compilers. It accepts several types of arguments:

- Arguments ending in .fmli are taken to be source files for compilation unit interfaces. From the file x.fmli, the flowcamlc compiler produces a compiled interface in the file x.fcmi and an Objective Caml compilation unit interface in the file x.mli.
- Arguments ending in .fml are taken to be source files for compilation unit implementations. From the file *x*.fml, the flowcamlc compiler produces a Objective Caml compilation unit implementation in the file *x*.ml.

If the interface file x.fmli exists, the implementation x.fml is checked against the corresponding compiled interface x.fcmi, which is assumed to exist. If no interface x.fmli is provided, the compilation of x.ml produces a compiled interface file x.fcmi in addition to x.ml. The file x.fcmi produced corresponds to an interface that exports everything that is defined in the implementation x.fml.

4.2.2 Options

The following command-line options are recognized by the flowcamlc command:

-dump

Produce dumped abstract syntax tree for Objective Caml as output, instead of literal source code file. These dumped tree can be used as input for the Objective Caml compiler, which won't need to parse again the source code. However, the format of the abstract syntax tree depends on the version of the Objective Caml compiler, so it may be not compatible with yours. -i

Cause the compiler to print the inferred interface when compiling an implementation (.fml file). This can be useful to check the types inferred by the compiler. Also, since the output follows the syntax of interface files, it can help in writing an explicit interface (.fmli file) for a file: just redirect the standard output of the compiler to a .fmli file, and edit that file to remove all declarations of unexported names.

-I directory

Add the given directory to the list of directories searched for source and compiled files. By default, the current directory is searched first, the the standard library directory. Directories added with -I are searched after the current directory, in the order in which they were given on the command line, but before the standard library directory.

-nostdlib

Do not add the standard library directory in the default search path.

-pprint flags

Configure the pretty-print of types. See the documentation of the command flowcaml for a description of available flags.

-runlib

Print the location of the run-time library and exit.

-stdlib

Print the location of the standard library and exit.

- v

Print the compiler version and location of libraries and exit.

-version

Print the compiler version and exit.

-nopervasives

Does not initially open the Pervasives module.

4.3 The security policy displayer (flowcamlpol)

4.3.1 Overview

The flowcamlpol tool aims at verifying that a series of compilation units can be linked together in order to produce a secure program. It moreover computes the minimal security policy under which the program can be safely run (which is the "union" of the security policies declared in the compilation units).

The flowcamlpol command takes as argument the list of the compiled interface files of the units which form the program, in the order they should be passed to the linker. For instance, for a program made of the compilation units $unit_1$, $unit_2$, ..., $unit_n$, which is linked with the command ocamlc $unit_1.cmo$ $unit_2.cmo$... $unit_n.cmo$, one have to run:

flowcamlpol unit_1.fcmi unit_2.fcmi ... unit_n.fcmi

This outputs the smallest set of assumptions between principals under which the whole program is type safe, i.e. the smallest security policy which allow its execution. (The tool can give a graphical representation of this set of inequalities with the -graph option.) flowcamlpol also checks that the bounds of the compilation units fit together, i.e. that for every i, the lower bound of unit_i is greater than or equal to the upper bounds of unit1, ..., unit_{i-1}, in the printed security policy.

A concrete example of use of flowcamlpol is given in sections 2.8.2 and 2.8.3 of the tutorial.

4.3.2 Options

The following command-line options are recognized by the flowcamlpol command.

-display host: display

Specifies the host and screen to be used for displaying the graphic window (see the option -graph). By default this is obtained from the environment variable DISPLAY.

-font fontname

Sets the font used on the graphical window.

-geometry widthxheight+x-offset+y-offset

Specify the initial geometry of the graphical window. The four parameters are numbers giving (in pixels) the width, height, horizontal offset and vertical offset respectively. Partial specifications of the form *widthxheight* or +x-offset+y-offset are also allowed.

-graph

Gives a graphical representation of the security lattice. The display and geometry of this windows may be controlled by the options -display and -geometry.

-linewidth width

Sets the number of columns of the terminal used for printing messages. Default is 78.

4.4 The dependency generator (flowcamldop)

The flowcamldep command scans a set of Flow Caml source files (.fml and .fmli files) for references to external compilation units, and outputs dependency lines in a format suitable for the make utility. This ensures that make will compile the source file in the correct order, and recompile those files that need to when a source file is modified.

The typical usage is

flowcamldep *.fmli *.fml > Depend.flowcaml

where *.fmli *.fml expands to all source files in the current directory and Depend.flowcaml is the file that should contain the dependencies. (See below for a typical Makefile.)

Let us note that flowcamldep generates only the dependencies needed to the Flow Caml compiler, that is those relating .fmli and .fml files to .mli and .ml. To compile the files generated by Flow Caml with one of the Objective Caml compilers, you will need to run ocamldep on the intermediate files .mli and .mli.

4.4.1 Options

The following command-line options are recognized by flowcamldep:

-I directory

Add the given directory to the list of directories searched for source files. If a source file foo.fml mentions an external compilation unit Bar, a dependency on that unit's interface bar.mli is generated only if the source for bar is found in the current directory or in one of the directories specified with -I. Otherwise, Bar is assumed to be a module from the standard library, and no dependencies are generated.

4.4.2 A typical Makefile

Here is a template Makefile for a Flow Caml program.

```
# Compilers
OCAMLC=ocamlc -I +flowcamlrun
OCAMLOPT=ocamlopt -I +flowcamlrun
FLOWCAMLC=flowcamlc
OCAMLDEP=ocamldep
FLOWCAMLDEP=flowcamldep
# The list of object files for the program
OBJECTS=mod1.cmo mod2.cmo mod3.cmo
# To check the security policy
pol: $(OBJECTS.cmo=.fcmi)
        flowcamlpol $^
# To build the program
prog: $(OBJECTS)
        $(OCAMLC) -o $@ flowcamlrun.cma $(OBJECTS)
# Common rules
.SUFFIXES: .ml .mli .fml .fmli .cmi .cmo .cmx .fcmi
.ml.cmo:
        $(OCAMLC) -c $<
.mli.cmi:
        (OCAMLC) -c 
.ml.cmx:
        $(OCAMLOPT) -c $<
.fmli.mli:
        $(FLOWCAMLC) -c $<</pre>
.fml.ml:
        $(FLOWCAMLC) -c $<</pre>
# Clean up
        rm -f prog
        rm -f *.cm[iox] *.fcmi
        for i in ∗.mli; do \
        if test -f 'basename $$i .mli'.fmli; then rm -f $$i; fi \
        done
        for i in ∗.ml; do \
        if test -f 'basename $$i .ml'.fml; then rm -f $$i; fi \
        done
# Dependencies
depend-flowcaml:
```

```
$(FLOWCAMLDEP) *.fml *.fmli > Depend.flowcaml
depend-ocaml:
    $(OCAMLDEP) *.ml *.mli > Depend.ocaml
# Dependencies
depend-flowcaml:
    $(FLOWCAMLDEP) *.fml *.fmli > Depend.flowcaml
depend-ocaml: $(patsubst %.fml,%.ml,$(wildcard *.fml))\
    $(patsubst %.fml,%.ml,$(wildcard *.fml))\
    $(OCAMLDEP) *.ml *.mli > Depend.ocaml
include Depend.flowcaml
include Depend.ocaml
```

The Flow Caml library

This chapter describes the Flow Caml library. This library is a translation of (a subset of) the Objective Caml standard library for the Flow Caml language, which includes all the necessary security annotations. As in Objective Caml, the **Pervasives** module is automatically "opened" when a compilation starts or when the toplevel is launched.

5.1 Built-in types and predefined exceptions

The following built-in types and predefined exceptions are always defined in the compilation environment, but are not part of any module. As a consequence, they can only be referred by their short names.

5.1.1 Predefined types

```
These are predefined types :
type (#'a:level) int
The type of integer numbers.
```

type (#'a:level) int32

The type of 32 bits integer numbers.

type (#'a:level) int64

The type of 64 bits integer numbers.

type (#'a:level) nativeint

The type of processor-native integer numbers.

type (#'a:level) char

The type of characters.

type (#'a:level) string

The type of immutable strings.

type (='a:level, #'b:level) charray

The type of mutable character strings.

```
type (#'a:level) float
```

The type of floating-point numbers.

```
type (#'a:level) bool
```

The type of booleans (truth values).

```
type noneq unit = ()
```

The type of the unit value.

```
type (+'a:type, #'b:level) array
```

The type of arrays whose elements have type 'a.

```
type (+'a:type, #'b:level) list = [] | :: of 'a * ('a, 'b) list # 'b
```

The type of lists whose elements have type 'a.

type (+'a:type, #'b:level) option = None | Some of 'a # 'b

The type of optional values.

5.1.2 Exceptions

These are predefined exceptions : exception Invalid_argument : 'a of 'a stg

Exception raised by library functions to signal that the given arguments do not make sense. (For compatibility reasons with the Objective Caml library, this exception is uncatchable for some particular values of its string argument.)

```
exception Failure : 'a of 'a stg
```

Exception raised by library functions to signal that they are undefined on the given arguments. (For compatibility reasons with the Objective Caml library, this exception is uncatchable for some particular values of its string argument.)

exception Not_found

Exception raised by search functions when the desired object could not be found.

```
exception End_of_file
```

Exception raised by input functions to signal that the end of file has been reached.

```
exception Division_by_zero
```

Exception raised by division and remainder operations when their second argument is null.

5.2 Module Array

Array operations.

```
val length : ('a, 'b) array -> 'b int
```

(_____, •) array -> • int

Return the length (number of elements) of the given array.

```
val get : ('a, 'b) array -> 'b int -> 'c
    with 'a < 'c
    and 'b < level('c)
    (_____, •) array -> • int -> ==
```

Array.get a n returns the element number n of array a. The first element has number 0. The last element has number Array.length a -1.

Terminate the program if n is outside the range 0 to (Array.length a - 1). You can also write a. (n) instead of Array.get a n.

```
val set : ('a, 'b) array -> 'b int -> 'a -{'b ||}-> unit
    with 'b < level('a)
</pre>
```

(a. •) arrav -> • int -> a -{• ||}-> unit

Array.set a n x modifies array a in place, replacing element number n with x.

Terminate the program if n is outside the range 0 to Array.length a - 1. You can also write a. (n) <- x instead of Array.set a n x.

val make : 'a int -> 'b -> ('b, 'a) array

• int -> 🛃 -> (🚾, •) array

Array.make n x returns a fresh array of length n, initialized with x. All the elements of this new array are initially physically equal to x (in the sense of the == predicate). Consequently, if x is mutable, it is shared among all elements of the array, and modifying x through one of the array entries will modify all other entries at the same time.

Terminate the program if n < 0 or $n > Sys.max_array_length$. If the value of x is a floating-point number, then the maximum size is divided by 2.

```
val create : 'a int -> 'b -> ('b, 'a) array
```

```
• int -> 🔄 -> (🔄 , •) array
```

Deprecated. Array.create is an alias for Array.make.

```
val init : 'a int ->
    ('a int -{'b | 'c | 'd}-> 'e) -{'d | 'c |}-> ('e, 'a) array
    with 'a, content('c), 'd < 'b
    and 'a, content('c), 'd < level('e)</pre>
```



Array.init n f returns a fresh array of length n, with element number i initialized to the result of f i. In other terms, Array.init n f tabulates the results of f applied to the integers 0 to n-1.

• int -> • int -> 🔚 -{• ||}-> ((🔄 , •) array. •) array

Array.make_matrix dimx dimy e returns a two-dimensional array (an array of arrays) with first dimension dimx and second dimension dimy. All the elements of this new matrix are initially physically equal to e. The element (x, y) of a matrix m is accessed with the notation m.(x).(y).

Terminate the program if dimx or dimy is less than 1 or greater than Sys.max_array_length. If the value of e is a floating-point number, then the maximum size is only Sys.max_array_length / 2.

Deprecated. Array.create_matrix is an alias for Array.make_matrix.



Array.append v1 v2 returns a fresh array containing the concatenation of the arrays v1 and v2.

Same as Array.append, but concatenates a list of arrays.

Array.sub a start len returns a fresh array of length len, containing the elements number start to start + len - 1 of array a.

Terminate the program if start and len do not designate a valid subarray of a; that is, if start < 0, or len < 0, or start + len > Array.length a.

Array.copy a returns a copy of a, that is, a fresh array containing the same elements as a.

(ma. •) arrav -> • int -> • int -> ma -{• ||}-> unit

Array.fill a ofs len x modifies the array a in place, storing x in elements number ofs to ofs + len - 1.

Terminate the program if ofs and len do not designate a valid subarray of a.

Array.blit v1 o1 v2 o2 len copies len elements from array v1, starting at element number o1, to array v2, starting at element number o2. It works correctly even if v1 and v2 are the same array, and the source and destination chunks overlap.

Terminate the program if o1 and len do not designate a valid subarray of v1, or if o2 and len do not designate a valid subarray of v2.

```
val to_list : ('a, 'b) array -> ('c, 'b) list
    with 'a < 'c
    and 'b < level('c)</pre>
```

Array.to_list a returns the list of all the elements of a.



Array.of_list 1 returns a fresh array containing the elements of 1.

```
val iter : ('a -{'b | 'c | 'b}-> 'd) -> ('e, 'f) array -{'b | 'c |}-> unit
              with 'e < 'a
              and 'f < level('a), 'b
               and content('c) < 'b
                          •
        ( \boxed{a} - \{ \bullet \mid \bullet \mid \bullet \} \rightarrow \boxed{a} ) \rightarrow ( \boxed{a} , \bullet ) \text{ array } - \{ \bullet \mid \bullet \mid \} \rightarrow \text{ unit }
     Array.iter f a applies function f in turn to all the elements of a. It is equivalent to
     f a.(0); f a.(1); ...; f a.(Array.length a - 1); ().
val map : ('a -{'b | 'c | 'd}-> 'e) ->
             ('f, 'g) array -{'d | 'c |}-> ('e, 'g) array
             with 'f < 'a
             and 'g < level('a), 'b, level('e)
             and 'd < 'b, level('e)
             and content('c) < 'b, level('e)
                           •
                                                    -
                                  -•
        (\boxed{\boxed{\_\_}} - \{\bullet \mid \bullet \mid \bullet\} \rightarrow \boxed{\_\_}) \rightarrow (\boxed{\boxed{\_\_}} \bullet) \text{ arrav } - \{\bullet \mid \bullet \mid\} \rightarrow (\boxed{\_\_} \bullet) \text{ arrav}
     Array.map f a applies function f to all the elements of a, and builds an array with the results
     returned by f: [| f a.(0); f a.(1); ...; f a.(Array.length a - 1) |].
val iteri : ('a int -{'b | 'c | 'd}-> 'e -{'f | 'c | 'f}-> 'g) ->
                ('h, 'a) array -{'d | 'c |}-> unit
                with 'd < 'b, 'f
                and 'a < 'b, level('e), 'f
                and content('c) < 'b, 'f
                and 'h < 'e
                         )----->4---
4---4--
                  -----
                             -
       (• int -{• | • | •}-> 🔜 -{• | • | •}-> 🔜) -> (🔜, •) array -{• | • |}-> unit
```

Same as Array.iter, but the function is applied to the index of the element as first argument, and the element itself as second argument.

Same as Array.map, but the function is applied to the index of the element as first argument, and the element itself as second argument.

Array.fold_left f x a computes f (... (f (f x a.(0)) a.(1)) ...) a.(n-1), where n is the length of the array a.



 $(\boxed{\underline{a}}_{\underline{a}} - \{\bullet \mid \bullet \mid \bullet\} \rightarrow \boxed{\underline{b}}_{\underline{a}} - \{\bullet \mid \bullet \mid \bullet\} \rightarrow \boxed{\underline{b}}_{\underline{b}}) \rightarrow (\boxed{\underline{a}}_{\underline{a}}, \bullet) \text{ array } \rightarrow \boxed{\underline{b}}_{\underline{a}} - \{\bullet \mid \bullet \mid\} \rightarrow \boxed{\underline{b}}_{\underline{b}}$

Array.fold_right f a x computes f a.(0) (f a.(1) (... (f a.(n-1) x) ...)), where n is the length of the array a.

5.3 Module Buffer

Extensible string buffers.

This module implements string buffers that automatically expand as necessary. It provides accumulative concatenation of strings in quasi-linear time (instead of quadratic time when strings are concatenated pairwise).

```
type (='a:level, #'b:level) t
```

The abstract type of buffers.

```
val create : 'a int -> ('a, 'b) t
```

• int -> (•, •) t

create n returns a fresh buffer, initially empty. The n parameter is the initial size of the internal string that holds the buffer contents. That string is automatically reallocated when more than n characters are stored in the buffer, but shrinks back to n characters when reset is called. For best performance, n should be of the same order of magnitude as the number of characters that are expected to be stored in the buffer (for instance, 80 for a buffer that holds one output line). Nothing bad will happen if the buffer grows beyond that limit, however. In doubt, take n = 16 for instance. If n is not between 1 and Sys.max_string_length, it will be clipped to that interval.

(●, ●) t → ● string

Return a copy of the current contents of the buffer. The buffer itself is unchanged.

val length : ('a, 'b) t -> 'b int
 with 'a < 'b</pre>

(●, ●) t → ● int

Return the number of characters currently contained in the buffer.

val clear : ('a, 'a) t -{'a ||}-> unit

 $(\bullet, \bullet) t - \{\bullet \mid \} \rightarrow \text{unit}$ Empty the buffer.

val reset : ('a, 'a) t -{'a ||}-> unit

(●, ●) t -{● ||}-> unit

Empty the buffer and deallocate the internal string holding the buffer contents, replacing it with the initial internal string of length n that was allocated by Buffer.create n. For long-lived buffers that may have grown a lot, reset allows faster reclamation of the space used by the buffer.

val add_char : ('a, 'a) t -> 'a char -{'a ||}-> unit

(•. •) t -> • char -{• ||}-> unit

add_char b c appends the character c at the end of the buffer b.

(•, •) t -> • string -> • int -> • int -{• ||}-> unit

add_substring b s ofs len takes len characters from offset ofs in string s and appends them at the end of the buffer b.

val add_string : ('a, 'a) t -> 'a string -{'a ||}-> unit

(•, •) t -> • string -{• ||}-> unit

add_string b s appends the string s at the end of the buffer b.

(•. •) t -> (•. •) t -{• ||}-> unit

add_buffer b1 b2 appends the current contents of buffer b2 at the end of buffer b1. b2 is not modified.

5.4 Module Char

Character operations.

• int -{• | Invalid argument: • |}-> • char

Return the character with the given ASCII code. Raise Invalid_argument "Char.chr" if the argument is outside the range 0-255.

```
val escaped : 'a char -> 'a string
```

```
• char -> • string
```

Return a string representing the given character, with special characters escaped following the lexical conventions of Objective Caml.

```
val lowercase : 'a char -> 'a char
```

• char -> • char

Convert the given character to its equivalent lowercase character.

val uppercase : 'a char -> 'a char

• char -> • char

Convert the given character to its equivalent uppercase character.

5.5 Module Charray

String operations.

type (='a:level, #'b:level) t = ('a, 'b) charray

val length : ('a, 'b) t \rightarrow 'b int

Return the length (number of characters) of the given string.

Charray.get s n returns character number n in string s. The first character is character number 0. The last character is character number Charray.length s - 1.

Terminate the program if n is outside the range 0 to (Charray.length s - 1). You can also write s.[n] instead of Charray.get s n.

val set : ('a, 'a) t \rightarrow 'a int \rightarrow 'a char -{'a ||} \rightarrow unit

(•, •) t -> • int -> • char -{• ||}-> unit

Charray.set s n c modifies string s in place, replacing the character number n by c. Terminate the program if n is outside the range 0 to (Charray.length s - 1). You can also write s. [n] <- c instead of Charray.set s n c.

val make : 'a int -> 'b char -> ('b, 'a) t

Charray.make n c returns a fresh string of length n, filled with the character c. Terminate the program if n < 0 or $n > Sys.max_string_length$.

Return a copy of the given string.



Charray.sub s start len returns a fresh string of length len, containing the characters number start to start + len - 1 of string s.

Terminate the program if start and len do not designate a valid substring of s; that is, if start < 0, or len < 0, or start + len > Charray.length s.

val fill : ('a, 'a) t -> 'a int -> 'a int -> 'a char -{'a ||}-> unit

(•, •) t -> • int -> • char -{• ||}-> unit

Charray.fill s start len c modifies string s in place, replacing the characters number start to start + len - 1 by c.

Terminate the program if start and len do not designate a valid substring of s.

Charray.blit src srcoff dst dstoff len copies len characters from string src, starting at character number srcoff, to string dst, starting at character number dstoff. It works correctly even if src and dst are the same string, and the source and destination chunks overlap.

Terminate the program if srcoff and len do not designate a valid substring of src, or if dstoff and len do not designate a valid substring of dst.

(•. •) t → ((•. •) t. •) list → (•. •) t

Charray.concat sep sl concatenates the list of strings sl, inserting the separator string sep between each.

val iter : ('a char -{'b | 'c | 'b}-> unit) -> ('d, 'e) t -{'b | 'c |}-> unit with content('c), 'e < 'b and 'd, 'e < 'a</pre>

(• char -{• | • | •}-> unit) -> (•, •) t -{• | • | +> unit

Charray.iter f s applies function f in turn to all the characters of s. It is equivalent to f s.(0); f s.(1); ...; f s.(Charray.length s - 1); ().

Return a copy of the argument, with special characters represented by escape sequences, following the lexical conventions of Objective Caml. If there is no special character in the argument, return the original string itself, not a copy.

Charray.index s c returns the position of the leftmost occurrence of character c in string s. Raise Not_found if c does not occur in s.

Charray.rindex s c returns the position of the rightmost occurrence of character c in string s. Raise Not_found if c does not occur in s.

(•. •) t -> • int -> • char -{• | Not found: • |}-> • int

 (\bullet, \bullet) t -> • int -> • char -{• | Not found: • |}-> • int

Same as Charray.index, but start searching at the character position given as second argument. Charray.index s c is equivalent to Charray.index_from s 0 c.

Same as Charray.rindex, but start searching at the character position given as second argument.

Charray.rindex s c is equivalent to Charray.rindex_from s (Charray.length s - 1) c.

val contains : ('a, 'b) t -> 'b char -> 'b bool with 'a < 'b</pre>

(•, •) t -> • char -> • bool

Charray.contains s c tests if character c appears in the string s.

(•, •) t -> • int -> • char -> • bool

Charray.contains_from s start c tests if character c appears in the substring of s starting from start to the end of s. Terminate the program if start is not a valid index of s.

val rcontains_from : ('a, 'b) t -> 'b int -> 'b char -> 'b bool
 with 'a < 'b</pre>

(•, •) t -> • int -> • char -> • bool

Charray.rcontains_from s stop c tests if character c appears in the substring of s starting from the beginning of s to index stop.

Terminate the program if stop is not a valid index of s.

val uppercase : ('a, 'b) t \rightarrow ('a, 'b) t

●------•● (●, ●) t →> (●, ●) t

Return a copy of the argument, with all lowercase letters translated to uppercase, including accented letters of the ISO Latin-1 (8859-1) character set.

val lowercase : ('a, 'b) t \rightarrow ('a, 'b) t

Return a copy of the argument, with all uppercase letters translated to lowercase, including accented letters of the ISO Latin-1 (8859-1) character set.

```
val capitalize : ('a, 'b) t -> ('a, 'b) t
```

Return a copy of the argument, with the first letter set to uppercase.

```
val uncapitalize : ('a, 'b) t -> ('a, 'b) t
```

•-----• •----•• (•. •) t -> (•. •) t

Return a copy of the argument, with the first letter set to lowercase.

5.6 Module Complex

Complex numbers.

This module provides arithmetic operations on complex numbers. Complex numbers are represented by their real and imaginary parts (cartesian representation). Each part is represented by a double-precision floating-point number (type float).

```
type (#'a:level) t = { re : 'a float; im : 'a float; }
```

The type of complex numbers. re is the real part and im the imaginary part.

```
val zero : 'a t
```

The complex number 0.

```
val one : 'a t
```

• t

• t The complex number 1.

```
val i : 'a t
```

• t The complex number i.

```
val neg : 'a t -> 'a t
```

 $\begin{array}{c} \bullet \\ \bullet \\ t \rightarrow \bullet \\ t \end{array}$ Unary negation.

val conj : 'a t -> 'a t

●-----► ● t -> ● t Conjugate: given the complex x + i.y, returns x - i.y.

val add : 'a t -> 'a t -> 'a t

• t -> • t -> • t Addition

val sub : 'a t -> 'a t -> 'a t

• t -> • t -> • t Subtraction

val mul : 'a t -> 'a t -> 'a t

• t -> • t -> • t Multiplication

val inv : 'a t -> 'a t

• t -> • t

Multiplicative inverse (1/z).

val div : 'a t -> 'a t -> 'a t

• t -> • t -> • t Division

val sqrt : 'a t -> 'a t

•----• • t -> • t

Square root. The result x + i.y is such that x > 0 or x = 0 and $y \ge 0$. This function has a discontinuity along the negative real axis.

val norm2 : 'a t -> 'a float

• t -> • float Norm squared: given x + i.y, returns $x^2 + y^2$.

val norm : 'a t -> 'a float

• t -> • float Norm: given x + i.y, returns $sqrt(x^2 + y^2)$.

val arg : 'a t -> 'a float

•----• float

Argument. The argument of a complex number is the angle in the complex plane between the positive real axis and a line passing through zero and the number. This angle ranges from -pi to pi. This function has a discontinuity along the negative real axis.

```
val polar : 'a float -> 'a float -> 'a t
```

```
• float -> • float -> • t
```

polar norm arg returns the complex having norm norm and argument arg.

```
val exp : 'a t -> 'a t
```

•----• • t -> • t

Exponentiation. $exp \ z$ returns e to the z power.

```
val log : 'a t -> 'a t
```

•----• • t -> • t

Natural logarithm (in base e).

```
val pow : 'a t -> 'a t -> 'a t
```

• t -> • t -> • t

Power function. pow z1 z2 returns z1 to the z2 power.

5.7 Module Digest

MD5 message digest.

This module provides functions to compute 128-bit "digests" of arbitrary-length strings or files. The digests are of cryptographic quality: it is very hard, given a digest, to forge a string having that digest. The algorithm used is MD5.

```
type (#'a:level) t = 'a string
```

The type of digests: 16-character strings.

val string : 'a string -> 'a t

• string -> • t

Return the digest of the given string.

val to_hex : 'a t -> 'a string

• t -> • string

Return the printable hexadecimal representation of the given digest.

5.8 Module Filename

Operations on file names.

```
val current_dir_name : 'a string
```

string

The conventional name for the current directory (e.g. . in Unix).

```
val parent_dir_name : 'a string
```

string

The conventional name for the parent of the current directory (e.g. .. in Unix).

```
val concat : 'a string -> 'a string -> 'a string
```

```
• string -> • string -> • string
```

concat dir file returns a file name that designates file file in directory dir.

val is_relative : 'a string -> 'a bool

• string -> • bool

Return true if the file name is relative to the current directory, false if it is absolute (i.e. in Unix, starts with /).

val is_implicit : 'a string -> 'a bool

• string -> • bool

Return true if the file name is relative and does not start with an explicit reference to the current directory (./ or ../ in Unix), false if it starts with an explicit reference to the root directory or the current directory.

val check_suffix : 'a string -> 'a string -> 'a bool

• string -> • string -> • bool

check_suffix name suff returns true if the filename name ends with the suffix suff.

• string -> • string -{• | Invalid argument: • |}-> • string chop_suffix name suff removes the suffix suff from the filename name. The behavior is undefined if name does not end with the suffix suff.

val chop_extension : 'a string -{'b | Invalid_argument: 'b |}-> 'a string with 'a < 'b

• string -{• | Invalid argument: • |}-> • string

Return the given file name without its extension. The extension is the shortest suffix starting with a period, .xyz for instance.

Raise Invalid_argument if the given name does not contain a period.

```
val basename : 'a string -> 'a string
```

```
string -> • string
```

Split a file name into directory name / base file name.

concat (dirname name) (basename name) returns a file name which is equivalent to name.

```
val dirname : 'a string -> 'a string
```

• string -> • string See Filename.basename.

```
val quote : 'a string -> 'a string
```

• string -> • string

Return a quoted version of a file name, suitable for use as one argument in a shell command line, escaping all shell meta-characters.

5.9 Module Fmarshal

Marshaling of data structures.

This module provides functions to encode arbitrary data structures as sequences of bytes, which can then be written on a file or sent over a pipe or network connection. The bytes can then be read back later, possibly in another process, and decoded back into a data structure. The format for the byte sequences is compatible across all machines for a given version of Objective Caml.

```
val to_string : 'a -> 'b string
    with content('a) < 'b</pre>
```



<code>Fmarshal.to_string v flags</code> returns a string containing the representation of v as a sequence of bytes.

val from_string : 'a string -> 'b
 with 'a < level('b)</pre>

• string -> -a

Fmarshal.from_string buff ofs unmarshals a structured value stored in stg.

5.10 Module Hashtbl

Hash tables and hash functions.

Hash tables are hashed association tables, with in-place modification.

Generic interface

```
type (='a:type, ='b:type, ='c:level, #'d:level) t
```

The type of hash tables from type 'a to type 'b.

val create : 'a int -> ('b, 'c, 'a, 'd) t

Hashtbl.create n creates a new, empty hash table, with initial size n. For best results, n should be on the order of the expected number of elements that will be in the table. The table grows as needed, so n is just an initial guess.

val clear : ('a, 'b, 'c, 'c) t -{'c ||}-> unit

Empty a hash table.

```
val add : ('a, 'b, 'c, 'c) t -> 'd -> 'b -{'c ||}-> unit
    with 'd < 'a
    and content('a), content('d) < 'c
(a, b, e, e) t -> a -> b -{e ||}-> unit
```

Hashtbl.add tbl x y adds a binding of x to y in table tbl. Previous bindings for x are not removed, but simply hidden. That is, after performing Hashtbl.remove tbl x, the previous binding for x, if any, is restored. (Same behavior as with association lists.)

Return a copy of the given hashtable.

```
val find : ('a, 'b, 'c, 'd) t -> 'e -{'f | Not_found: 'f |}-> 'g
    with 'e ~ 'a
    and content('a), 'c, 'd, content('e) < level('g)
    and 'b < 'g
    and content('a), 'c, 'd, content('e) < 'f
</pre>
```

Hashtbl.find tbl x returns the current binding of x in tbl, or raises Not_found if no such binding exists.

Hashtbl.find_all tbl x returns the list of all data associated with x in tbl. The current binding is returned first, then the previous bindings, in reverse order of introduction in the table.

```
val mem : ('a, 'b, 'c, 'd) t -> 'e -> 'd bool
    with 'a ~ 'e
    and content('a), 'c, content('e) < 'd
    (a, b, e, e) t -> a > bool
```

Hashtbl.mem tbl x checks if x is bound in tbl.

Hashtbl.remove tbl x removes the current binding of x in tbl, restoring the previous binding if it exists. It does nothing if x is not bound in tbl.

Hashtbl.replace tbl x y replaces the current binding of x in tbl by a binding of x to y. If x is unbound in tbl, a binding of x to y is added to tbl. This is functionally equivalent to Hashtbl.remove tbl x followed by Hashtbl.add tbl x y.

Hashtbl.iter f tbl applies f to all bindings in table tbl. f receives the key as first argument, and the associated value as second argument. The order in which the bindings are passed to f is unspecified. Each binding is presented exactly once to f.



Hashtbl.fold f tbl init computes (f kN dN ... (f k1 d1 init)...), where k1 ... kN are the keys of all bindings in tbl, and d1 ... dN are the associated values. The order in which the bindings are passed to f is unspecified. Each binding is presented exactly once to f.

Module type HashedType

The input signature of the functor Hashtbl.Make. module type HashedType = sig

```
type (#'a:level) t
    The type of the hashtable keys.
```

val equal : 'a t -> 'a t -> 'a bool

• t -> • t -> • bool

The equality predicate used to compare keys.

val hash : 'a t -> 'a int

•----• int

A hashing function on keys. It must be such that if two keys are equal according to equal, then they have identical hash values as computed by hash. Examples: suitable (equal, hash) pairs for arbitrary key types include ((=), Hashtbl.hash) for comparing objects by structure, and ((==), Hashtbl.hash) for comparing objects by addresses (e.g. for mutable or cyclic keys).

end

Module type S

The output signature of the functor Hashtbl.Make. module type S = sig

val clear : ('a, 'b, 'c, 'c) t -{'c ||}-> unit **4**--• (•. 🛃 . •.) t -{• ||}-> unit val copy : ('a, 'b, 'c, 'd) t -> ('e, 'f, 'd, 'g) t with 'c < 'd and 'a < 'e and 'b < 'f val add : ('a, 'b, 'c, 'c) t -> 'a key -> 'b -{'c ||}-> unit with 'a < 'c •----• (●, ➡, ●, ●) t → ● kev → ➡ -{● ||}→ unit val remove : ('a, 'b, 'c, 'c) t -> 'c key -{'c ||}-> unit with 'a < 'c •-----• (●, ➡, ●, ●) t -> ● kev -{● ||}-> unit val find : ('a, 'b, 'c, 'd) t -> 'd key -{'e | Not_found: 'e |}-> 'f with 'a, 'c, 'd < 'e and 'a, 'c, 'd < level('f) and 'b < 'f (•, •, •) t -> • kev -{• | Not found: • |}-> val find_all : ('a, 'b, 'c, 'd) t -> 'd key -> ('b, 'd) list with 'a, 'c < 'd ····•• (•, <a>, •, •) t -> • key -> (<a>, •) list val replace : ('a, 'b, 'c, 'c) t -> 'a key -> 'b -{'c ||}-> unit with 'a < 'c •-----• (●, ➡, ●, ●) t → ● kev → ➡ -{● ||}→ unit val mem : ('a, 'b, 'c, 'd) t -> 'd key -> 'd bool with 'a, 'c < 'd •-----•

(•, 🛃, •, •) t -> • kev -> • bool



end

module Make : functor (H : HashedType) -> S with type 'a key = 'a H.t

Functor building an implementation of the hashtable structure. The operations perform similarly to those of the generic interface, but use the hashing and equality functions specified in the functor argument H instead of generic equality and hashing.

The polymorphic hash primitive

Hashtbl.hash x associates a positive integer to any value of any type. It is guaranteed that if x = y, then hash x = hash y. Moreover, hash always terminates, even on cyclic structures.

```
• int -> • int -> 🛃 -> • int
```

Hashtbl.hash_param n m x computes a hash value for x, with the same properties as for hash. The two extra parameters n and m give more precise control over hashing. Hashing performs a depth-first, right-to-left traversal of the structure x, stopping after n meaningful nodes were encountered, or m nodes, meaningful or not, were encountered. Meaningful nodes are: integers; floating-point numbers; strings; characters; booleans; and constant constructors. Larger values of m and n means that more nodes are taken into account to compute the final hash value, and therefore collisions are less likely to happen. However, hashing takes longer. The parameters m and n govern the tradeoff between accuracy and speed.

5.11 Module Int32

32-bit integers.

This module provides operations on the type int32 of signed 32-bit integers. Unlike the built-in int type, the type int32 is guaranteed to be exactly 32-bit wide on all platforms. All arithmetic operations over int32 are taken modulo 2^{32} .

Performance notice: values of type int32 occupy more memory space than values of type int, and arithmetic operations on int32 are generally slower than those on int. Use int32 only when the application requires exact 32-bit arithmetic.

```
val zero : 'a int32
      int32
    The 32-bit integer 0.
val one : 'a int32
      int32
    The 32-bit integer 1.
val minus_one : 'a int32
      • int32
    The 32-bit integer -1.
val neg : 'a int32 -> 'a int32
      •-----
      • int32 -> • int32
    Unary negation.
val add : 'a int32 -> 'a int32 -> 'a int32
      •-----
      • int32 -> • int32 -> • int32
    Addition.
val sub : 'a int32 -> 'a int32 -> 'a int32
      •-----
      • int32 -> • int32 -> • int32
    Subtraction.
val mul : 'a int32 -> 'a int32 -> 'a int32
      •-----
      • int32 -> • int32 -> • int32
    Multiplication.
val div : 'a int32 -> 'b int32 -{'c | Division_by_zero: 'c |}-> 'a int32
          with 'b < 'a, 'c
        •-----•
      • int32 -> • int32 -{• | Division by zero: • |}-> • int32
```

```
rounds the real quotient of its arguments towards zero, as specified for Pervasives. (/).
val rem : 'a int32 -> 'b int32 -{'c | Division_by_zero: 'c |}-> 'a int32
          with 'b < 'a, 'c
      • int32 -> • int32 -{• | Division by zero: • |}-> • int32
    Integer remainder.
val succ : 'a int32 -> 'a int32
      • int32 -> • int32
    Successor. Int32.succ x is Int32.add x Int32.one.
val pred : 'a int32 -> 'a int32
      •-----
      • int32 -> • int32
    Predecessor. Int32.pred x is Int32.sub x Int32.one.
val abs : 'a int32 -> 'a int32
      •-----
      • int32 -> • int32
    Return the absolute value of its argument.
val max_int : 'a int32
      • int32
    The greatest representable 32-bit integer, 2^{31} - 1.
val min_int : 'a int32
      • int32
    The smallest representable 32-bit integer, -2^{31}.
val logand : 'a int32 -> 'a int32 -> 'a int32
      •----•
      • int32 -> • int32 -> • int32
    Bitwise logical and.
val logor : 'a int32 -> 'a int32 -> 'a int32
      •-----•
      • int32 -> • int32 -> • int32
    Bitwise logical or.
val logxor : 'a int32 -> 'a int32 -> 'a int32
      •-----
      • int32 -> • int32 -> • int32
    Bitwise logical exclusive or.
```

Integer division. Raise Division_by_zero if the second argument is zero. This division
val shift_right : 'a int32 -> 'a int -> 'a int32

• int32 -> • int -> • int32

Int32.shift_right x y shifts x to the right by y bits. This is an arithmetic shift: the sign bit of x is replicated and inserted in the vacated bits. The result is unspecified if y < 0 or $y \ge 32$.

val shift_right_logical : 'a int32 -> 'a int -> 'a int32

```
• int32 -> • int -> • int32
```

Int32.shift_right_logical x y shifts x to the right by y bits. This is a logical shift: zeroes are inserted in the vacated bits regardless of the sign of x. The result is unspecified if y < 0 or $y \ge 32$.

val of_int : 'a int -> 'a int32

• int -> • int32

Convert the given integer (type int) to a 32-bit integer (type int32).

val to_int : 'a int32 -> 'a int

• int32 -> • int

Convert the given 32-bit integer (type int32) to an integer (type int). On 32-bit platforms, the 32-bit integer is taken modulo 2^{31} , i.e. the high-order bit is lost during the conversion. On 64-bit platforms, the conversion is exact.

val of_float : 'a float -> 'a int32

• float -> • int32

Convert the given floating-point number to a 32-bit integer, discarding the fractional part (truncate towards 0). The result of the conversion is undefined if, after truncation, the number is outside the range [Int32.min_int, Int32.max_int].

val to_float : 'a int32 -> 'a float

• int32 -> • float

Convert the given 32-bit integer to a floating-point number.

```
val of_string : 'a string -{'b | Failure: 'b |}-> 'a int64
with 'a < 'b</pre>
```

```
•-----•
```

• string -{• | Failure: • |}-> • int64

Convert the given string to a 32-bit integer. The string is read in decimal (by default) or in hexadecimal, octal or binary if the string begins with 0x, 0o or 0b respectively. Raise Failure "int_of_string" if the given string is not a valid representation of an integer.

val to_string : 'a int32 -> 'a string

• int32 -> • string

Return the string representation of its argument, in signed decimal.

```
type (#'a:level) t = 'a int32
```

An alias for the type of 32-bit integers.

```
val compare : 'a t -> 'a t -> 'a int
```

• t -> • t -> • int

The comparison function for 32-bit integers, with the same specification as Pervasives.compare. Along with the type t, this function compare allows the module Int32 to be passed as argument to the functors Set.Make and Map.Make.

5.12 Module Int64

64-bit integers.

This module provides operations on the type int64 of signed 64-bit integers. Unlike the built-in int type, the type int64 is guaranteed to be exactly 64-bit wide on all platforms. All arithmetic operations over int64 are taken modulo 2^{64}

Performance notice: values of type int64 occupy more memory space than values of type int, and arithmetic operations on int64 are generally slower than those on int. Use int64 only when the application requires exact 64-bit arithmetic.

```
val zero : 'a int64
• int64
The 64-bit integer 0.
```

```
val one : 'a int64
```

```
• int64
The 64-bit integer 1.
```

```
val minus_one : 'a int64
```

• int64 The 64-bit integer -1.

```
val neg : 'a int64 -> 'a int64
      •-----•<sub>1</sub>
      • int64 -> • int64
    Unary negation.
val add : 'a int64 \rightarrow 'a int64 \rightarrow 'a int64
      •----•
      • int64 -> • int64 -> • int64
    Addition.
val sub : 'a int64 -> 'a int64 -> 'a int64
      •-----•
      • int64 -> • int64 -> • int64
    Subtraction.
val mul : 'a int64 \rightarrow 'a int64 \rightarrow 'a int64
      •-----
      • int64 -> • int64 -> • int64
    Multiplication.
val div : 'a int32 -> 'b int32 -{'c | Division_by_zero: 'c |}-> 'a int32
          with 'b < 'a, 'c
      ●------
●------
      • int32 -> • int32 -{• | Division by zero: • |}-> • int32
    Integer division. Raise Division_by_zero if the second argument is zero. This division
    rounds the real quotient of its arguments towards zero, as specified for Pervasives. (/).
val rem : 'a int32 -> 'b int32 -{'c | Division_by_zero: 'c |}-> 'a int32
          with 'b < 'a, 'c
      • int32 -> • int32 -{• | Division by zero: • |}-> • int32
    Integer remainder.
val succ : 'a int64 -> 'a int64
      •-----
      • int64 -> • int64
    Successor. Int64.succ x is Int64.add x Int64.one.
val pred : 'a int64 -> 'a int64
      •-----
      • int64 -> • int64
    Predecessor. Int64.pred x is Int64.sub x Int64.one.
val abs : 'a int64 -> 'a int64
```

• int64 -> • int64

Return the absolute value of its argument.

```
val max_int : 'a int64
```

• int64

The greatest representable 64-bit integer, 2^{63} - 1.

val min_int : 'a int64

• int64 The smallest representable 64-bit integer, -2⁶³.

val logand : 'a int64 -> 'a int64 -> 'a int64

• int64 -> • int64 -> • int64 Bitwise logical and.

val logor : 'a int64 -> 'a int64 -> 'a int64

• int64 -> • int64 -> • int64 Bitwise logical or.

val logxor : 'a int64 -> 'a int64 -> 'a int64

• int64 -> • int64 -> • int64 Bitwise logical exclusive or.

val lognot : 'a int64 -> 'a int64

• int64 -> • int64 Bitwise logical negation

val shift_left : 'a int64 -> 'a int -> 'a int64

• int64 -> • int -> • int64

•-----•

Int64.shift_left x y shifts x to the left by y bits. The result is unspecified if y < 0 or y >= 64.

val shift_right : 'a int64 -> 'a int -> 'a int64

• $int64 \rightarrow int \rightarrow int64$ Int64.shift_right x y shifts x to the right by y bits. This is an arithmetic shift: the sign bit of x is replicated and inserted in the vacated bits. The result is unspecified if y < 0 or y >= 64.

val shift_right_logical : 'a int64 -> 'a int -> 'a int64

• int64 -> • int -> • int64

Int64.shift_right_logical x y shifts x to the right by y bits. This is a logical shift: zeroes are inserted in the vacated bits regardless of the sign of x. The result is unspecified if y < 0 or $y \ge 64$.

```
val of_int : 'a int -> 'a int64
```

• int -> • int64

Convert the given integer (type int) to a 64-bit integer (type int64).

val to_int : 'a int64 -> 'a int

• int64 -> • int

Convert the given 64-bit integer (type int64) to an integer (type int). On 64-bit platforms, the 64-bit integer is taken modulo 2^{63} , i.e. the high-order bit is lost during the conversion. On 32-bit platforms, the 64-bit integer is taken modulo 2^{31} , i.e. the top 33 bits are lost during the conversion.

val of_float : 'a float -> 'a int64

```
•----•
```

• float -> • int64

Convert the given floating-point number to a 64-bit integer, discarding the fractional part (truncate towards 0). The result of the conversion is undefined if, after truncation, the number is outside the range [Int64.min_int, Int64.max_int].

```
val to_float : 'a int64 -> 'a float
```

• int64 -> • float

Convert the given 64-bit integer to a floating-point number.

```
val of_int32 : 'a int32 -> 'a int64
```

```
• int32 -> • int64
```

Convert the given 32-bit integer (type int32) to a 64-bit integer (type int64).

```
val to_int32 : 'a int64 -> 'a int32
```

```
• int64 -> • int32
```

Convert the given 64-bit integer (type int64) to a 32-bit integer (type int32). The 64-bit integer is taken modulo 2^{32} , i.e. the top 32 bits are lost during the conversion.

val of_nativeint : 'a nativeint -> 'a int64

```
•----•
```

• nativeint -> • int64

Convert the given native integer (type nativeint) to a 64-bit integer (type int64).

val to_nativeint : 'a int64 -> 'a nativeint

• int64 -> • nativeint

Convert the given 64-bit integer (type int64) to a native integer. On 32-bit platforms, the 64-bit integer is taken modulo 2^{32} . On 64-bit platforms, the conversion is exact.

• string -{• | Failure: • |}-> • int64

Convert the given string to a 64-bit integer. The string is read in decimal (by default) or in hexadecimal, octal or binary if the string begins with 0x, 0o or 0b respectively. Raise Failure "int_of_string" if the given string is not a valid representation of an integer.

val to_string : 'a int64 -> 'a string

• int64 -> • string

Return the string representation of its argument, in decimal.

val bits_of_float : 'a float -> 'a int64

• float -> • int64

Return the internal representation of the given float according to the IEEE 754 floating-point "double format" bit layout. Bit 63 of the result represents the sign of the float; bits 62 to 52 represent the (biased) exponent; bits 51 to 0 represent the mantissa.

val float_of_bits : 'a int64 -> 'a float

• int64 -> • float

Return the floating-point number whose internal representation, according to the IEEE 754 floating-point "double format" bit layout, is the given int64.

type (#'a:level) t = 'a int64

An alias for the type of 64-bit integers.

val compare : 'a t -> 'a t -> 'a int

• t -> • t -> • int

The comparison function for 64-bit integers, with the same specification as Pervasives.compare. Along with the type t, this function compare allows the module Int64 to be passed as argument to the functors Set.Make and Map.Make.

5.13 Module List

List operations.

Some functions are flagged as not tail-recursive. A tail-recursive function uses constant stack space, while a non-tail-recursive function uses stack space proportional to the length of its list argument, which can be a problem with very long lists. When the function takes several list arguments, an approximate formula giving stack usage (in some unspecified constant unit) is shown in parentheses.

The above considerations can usually be ignored if your lists are not longer than about 10000 elements.

val length : ('a, 'b) list -> 'b int

Return the length (number of elements) of the given list.

val hd : ('a, 'b) list -{'c | Failure: 'c |}-> 'a
 with 'b < level('a), 'c
</pre>



Return the first element of the given list. Raise Failure "hd" if the list is empty.



Return the given list without its first element. Raise Failure "tl" if the list is empty.

```
val nth : ('a, 'b) list ->
    'b int -{'c | Invalid_argument: 'c; Failure: 'c |}-> 'a
    with 'b < level('a), 'c
    (a, 0) list -> 0 int -{0 | Invalid argument: 0; Failure: 0 |}-> a
```

Return the n-th element of the given list. The first element (head of the list) is at position 0. Raise Failure "nth" if the list is too short.

val rev : ('a, 'b) list -> ('a, 'b) list

```
(E, ) list -> (E, ) list
List reversal.
```

val append : ('a, 'b) list -> ('a, 'b) list -> ('a, 'b) list

Catenate two lists. Same function as the infix operator Q. Not tail-recursive (length of the first argument). The Q operator is not tail-recursive either.

val rev_append : ('a, 'b) list -> ('a, 'b) list -> ('a, 'b) list

```
( . •) list -> ( . •) list -> ( . •) list
```

List.rev_append 11 12 reverses 11 and concatenates it to 12.

This is equivalent to List.rev 11 @ 12, but rev_append is tail-recursive and more efficient.

val concat : (('a, 'b) list, 'b) list -> ('a, 'b) list



Concatenate a list of lists. Not tail-recursive (length of the argument + length of the longest sub-list).

val flatten : (('a, 'b) list, 'b) list -> ('a, 'b) list



Flatten a list of lists. Not tail-recursive (length of the argument + length of the longest sub-list).

5.13.1 Iterators





List.map f [a1; ...; an] applies function f to a1, ..., an, and builds the list [f a1; ...; f an] with the results returned by f. Not tail-recursive.



List.rev_map f l gives the same result as List.rev (List.map f l), but is tail-recursive and more efficient.



5.13.2 Iterators on two lists



List.iter2 f [a1; ...; an] [b1; ...; bn] calls in turn f a1 b1; ...; f an bn. Raise Invalid_argument if the two lists have different lengths.

5.13.3 List scanning



Same as List.mem, but uses physical equality instead of structural equality to compare list elements.

5.13.4 List searching

find p l returns the first element of the list l that satisfies the predicate p. Raise Not_found if there is no value that satisfies p in the list l.

filter p l returns all the elements of the list l that satisfy the predicate p. The order of the elements in the input list is preserved.



 $([a] - \{ \bullet \mid \bullet \mid \bullet \} \rightarrow \bullet bool) \rightarrow ([a] , \bullet) list - \{ \bullet \mid \bullet \mid \} \rightarrow ([a] , \bullet) list$

find_all is another name for List.filter.

partition p l returns a pair of lists (11, 12), where 11 is the list of all the elements of l that satisfy the predicate p, and 12 is the list of all the elements of l that do not satisfy p. The order of the elements in the input list is preserved.

5.13.5 Association lists

assoc a 1 returns the value associated with key a in the list of pairs 1.

That is, assoc a [...; (a,b); ...] = b if (a,b) is the leftmost binding of a in list 1. Raise Not_found if there is no value associated with a in the list 1.

Same as List.assoc, but simply return true if a binding exists, and false if no bindings exist for the given key.



remove_assoc a 1 returns the list of pairs 1 without the first pair with key a, if any. Not tail-recursive.

5.13.6 Lists of pairs

val split : ('a * 'b, 'c) list -> ('a, 'c) list * ('b, 'c) list

(Ima * E3. •) list -> (Ima. •) list * (Ima. •) list

Transform a list of pairs into a pair of lists: split [(a1,b1); ...; (an,bn)] is ([a1; ...; an], [b1; ...; bn]). Not tail-recursive.

5.13.7 Sorting

Sort a list in increasing order according to a comparison function. The comparison function must return 0 if it arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller. For example, the compare function is a suitable comparison function. The resulting list is sorted in increasing order. List.sort is guaranteed to run in constant heap space (in addition to the size of the result list) and logarithmic stack space.

The current implementation uses Merge Sort and is the same as List.stable_sort.



Same as List.sort, but the sorting algorithm is stable.

The current implementation is Merge Sort. It runs in constant heap space and logarithmic stack space.

5.14 Module Map

Module type OrderedType

Input signature of the functor Map.Make.
module type OrderedType = sig

type (#'a:level) t
The type of the map keys.

val compare : 'a t -> 'a t -> 'a int

• t -> • t -> • int

A total ordering function over the keys. This is a two-argument function f such that f e1 e2 is zero if the keys e1 and e2 are equal, f e1 e2 is strictly negative if e1 is smaller than e2, and f e1 e2 is strictly positive if e1 is greater than e2. Example: a suitable ordering function is the generic structural comparison function Pervasives.compare.

end

```
Module type S
```

Output signature of the functor Map.Make. module type S = sig

```
type (#'a:level) key
The type of the map keys.
```

type (+'a:type, #'b:level) t

The type of maps from type key to type 'a.

```
val empty : ('a, 'b) t
```

(Ea, •) t The empty map.

val add : 'a key -> 'b -> ('b, 'a) t -> ('b, 'a) t

• key -> 🔄 -> (🔄 , •) t -> (🔄 , •) t

add x y m returns a map containing the same bindings as m, plus a binding of x to y. If x was already bound in m, its previous binding disappears.

• key -> (2, •) t -{• | Not found: • |}-> ~

find x m returns the current binding of x in m, or raises Not_found if no such binding exists.

val remove : 'a key -> ('b, 'a) t -> ('b, 'a) t

remove x m returns a map containing the same bindings as m, except for x which is unbound in the returned map.

val mem : 'a key \rightarrow ('b, 'a) t \rightarrow 'a bool

mem x m returns true if m contains a binding for x, and false otherwise.

iter f m applies f to all bindings in map m. f receives the key as first argument, and the associated value as second argument. The order in which the bindings are passed to f is unspecified. Only current bindings are presented to f: bindings hidden by more recent bindings are not passed to f.

```
val map : ('a -{'b | 'c | 'd}-> 'e) -> ('a, 'f) t -{'b | 'c |}-> ('e, 'f) t
with content('c), 'd, 'f < 'b
and 'd < level('e)
</pre>
```

map f m returns a map with same domain as m, where the associated value a of all bindings of m has been replaced by the result of the application of f to a. The order in which the associated values are passed to f is unspecified.

(🔜 -{• | • | • }-> 둸) -> (🔜 , •) t -{• | • | }-> (🔜 , •) t



Same as Map.S.map, but the function receives as arguments both the key and the associated value for each binding of the map.



end

module Make : functor (Ord : OrderedType) -> S with type 'a key = 'a Ord.t

Functor building an implementation of the map structure given a totally ordered type.

5.15 Module Nativeint

Processor-native integers.

This module provides operations on the type nativeint of signed 32-bit integers (on 32-bit platforms) or signed 64-bit integers (on 64-bit platforms). This integer type has exactly the same width as that of a long integer type in the C compiler. All arithmetic operations over nativeint are taken modulo 2^{32} or 2^{64} depending on the word size of the architecture.

Performance notice: values of type nativeint occupy more memory space than values of type int, and arithmetic operations on nativeint are generally slower than those on int. Use nativeint only when the application requires the extra bit of precision over the int type.

```
val zero : 'a nativeint
```

• nativeint The native integer 0.

```
val one : 'a nativeint
```

• nativeint The native integer 1.

```
val minus_one : 'a nativeint
     • nativeint
    The native integer -1.
val neg : 'a nativeint -> 'a nativeint
     •-----
     • nativeint -> • nativeint
    Unary negation.
val add : 'a nativeint -> 'a nativeint -> 'a nativeint
     •-----•
     • nativeint -> • nativeint -> • nativeint
    Addition.
val sub : 'a nativeint -> 'a nativeint -> 'a nativeint
     •-----

    nativeint -> 
    nativeint -> 
    nativeint

    Subtraction.
val mul : 'a nativeint -> 'a nativeint -> 'a nativeint
     •-----•
     • nativeint -> • nativeint -> • nativeint
    Multiplication.
val div : 'a nativeint ->
         'b nativeint -{'c | Division_by_zero: 'c |}-> 'a nativeint
         with 'b < 'a, 'c
     6------
       •-----•
     • nativeint -> • nativeint -{• | Division by zero: • |}-> • nativeint
    Integer division. Raise Division_by_zero if the second argument is zero. This division
    rounds the real quotient of its arguments towards zero, as specified for Pervasives. (/).
val rem : 'a nativeint ->
         'b nativeint -{'c | Division_by_zero: 'c |}-> 'a nativeint
         with 'b < 'a, 'c
     •-----•
     • nativeint -> • nativeint -{• | Division by zero: • |}-> • nativeint
    Integer remainder.
val succ : 'a nativeint -> 'a nativeint
     •-----
     • nativeint -> • nativeint
```

Successor. Nativeint.succ x is Nativeint.add x Nativeint.one.

val pred : 'a nativeint -> 'a nativeint

nativeint -> • nativeint

Predecessor. Nativeint.pred x is Nativeint.sub x Nativeint.one.

val abs : 'a nativeint -> 'a nativeint

nativeint -> • nativeint

Return the absolute value of its argument.

```
val size : 'a int
```

• int

The size in bits of a native integer. This is equal to 32 on a 32-bit platform and to 64 on a 64-bit platform.

val max_int : 'a nativeint

nativeint

The greatest representable native integer, either 2^{31} - 1 on a 32-bit platform, or 2^{63} - 1 on a 64-bit platform.

val min_int : 'a nativeint

• nativeint

The greatest representable native integer, either $\mbox{-}2^{31}$ on a 32-bit platform, or $\mbox{-}2^{63}$ on a 64-bit platform.

val logand : 'a nativeint -> 'a nativeint -> 'a nativeint

• nativeint -> • nativeint -> • nativeint

Bitwise logical and.

val logor : 'a nativeint -> 'a nativeint -> 'a nativeint

```
• nativeint -> • nativeint -> • nativeint
Bitwise logical or.
```

val logxor : 'a nativeint -> 'a nativeint -> 'a nativeint

• nativeint -> • nativeint -> • nativeint Bitwise logical exclusive or.

val lognot : 'a nativeint -> 'a nativeint

• nativeint -> • nativeint Bitwise logical negation val shift_left : 'a nativeint -> 'a int -> 'a nativeint

nativeint -> • int -> • nativeint

Nativeint.shift_left x y shifts x to the left by y bits. The result is unspecified if y < 0 or $y \ge$ bitsize, where bitsize is 32 on a 32-bit platform and 64 on a 64-bit platform.

val shift_right : 'a nativeint -> 'a int -> 'a nativeint

nativeint -> • int -> • nativeint

Nativeint.shift_right x y shifts x to the right by y bits. This is an arithmetic shift: the sign bit of x is replicated and inserted in the vacated bits. The result is unspecified if y < 0 or $y \ge$ bitsize.

val shift_right_logical : 'a nativeint -> 'a int -> 'a nativeint

nativeint -> • int -> • nativeint

Nativeint.shift_right_logical x y shifts x to the right by y bits. This is a logical shift: zeroes are inserted in the vacated bits regardless of the sign of x. The result is unspecified if y < 0 or $y \ge$ bitsize.

val of_int : 'a int -> 'a nativeint

•-----• • int -> • nativeint

Convert the given integer (type int) to a native integer (type nativeint).

val to_int : 'a nativeint -> 'a int

• nativeint -> • int

Convert the given native integer (type **nativeint**) to an integer (type **int**). The high-order bit is lost during the conversion.

val of_float : 'a float -> 'a nativeint

float -> • nativeint

Convert the given floating-point number to a native integer, discarding the fractional part (truncate towards 0). The result of the conversion is undefined if, after truncation, the number is outside the range [Nativeint.min_int, Nativeint.max_int].

val to_float : 'a nativeint -> 'a float

nativeint -> • float

Convert the given native integer to a floating-point number.

val of_int32 : 'a int32 -> 'a nativeint

• int32 -> • nativeint

Convert the given 32-bit integer (type int32) to a native integer.

```
val to_int32 : 'a nativeint -> 'a int32
```

nativeint -> • int32

Convert the given native integer to a 32-bit integer (type int32). On 64-bit platforms, the 64-bit native integer is taken modulo 2^{32} , i.e. the top 32 bits are lost. On 32-bit platforms, the conversion is exact.

val of_string : 'a string -{'b | Failure: 'b |}-> 'a nativeint
 with 'a < 'b</pre>

₽-----**▶**

• string -{• | Failure: • |}-> • nativeint

Convert the given string to a native integer. The string is read in decimal (by default) or in hexadecimal, octal or binary if the string begins with 0x, 0o or 0b respectively. Raise Failure "int_of_string" if the given string is not a valid representation of an integer.

```
val to_string : 'a nativeint -> 'a string
```

```
    nativeint -> • string
```

Return the string representation of its argument, in decimal.

type (#'a:level) t = 'a nativeint

An alias for the type of native integers.

```
val compare : 'a t -> 'a t -> 'a int
```

• t -> • t -> • int

The comparison function for native integers, with the same specification as Pervasives.compare. Along with the type t, this function compare allows the module Nativeint to be passed as argument to the functors Set.Make and Map.Make.

5.16 Module Pervasives

The initially opened module.

This module provides the built-in types (numbers, booleans, strings, exceptions, references, lists, arrays, input-output channels, ...) and the basic operations over these types.

This module is automatically opened at the beginning of each compilation. All components of this module can therefore be referred by their short name, without prefixing them by **Pervasives**.

```
exception Exit
```

The Exit exception is not raised by any library function. It is provided for use in your programs.

val invalid_arg : 'a string -{'a | Invalid_argument: 'a |}-> 'b

• string -{• | Invalid argument: • |}-> == Raise exception Invalid_argument with the given string.

val failwith : 'a string -{'a | Failure: 'a |}-> 'b

Raise exception Failure with the given string.

5.16.1 Comparisons

-> -> bool

e1 = e2 tests for structural equality of e1 and e2. Mutable structures (e.g. references and arrays) are equal if and only if their current contents are structurally equal, even if the two mutable objects are not the same physical object. Equality between cyclic data structures may not terminate.

val (<>) : 'a -> 'a -> 'b bool with content('a) < 'b •------> -> bool Negation of Pervasives.=. val (<) : 'a -> 'a -> 'b bool with content('a) < 'b •------> -> • bool See Pervasives.>=. val (>) : 'a -> 'a -> 'b bool with content('a) < 'b •----• -> -> • bool See Pervasives.>=. val (<=) : 'a -> 'a -> 'b bool with content('a) < 'b •-----• -> -> • bool See Pervasives.>=. val (>=) : 'a -> 'a -> 'b bool with content('a) < 'b •------> -> bool

Structural ordering functions. These functions coincide with the usual orderings over integers, characters, strings and floating-point numbers, and extend them to a total ordering over all types. The ordering is compatible with (=). As in the case of (=), mutable structures are compared by contents. Comparison between cyclic structures may not terminate.

compare x y returns 0 if x=y, a negative integer if x<y, and a positive integer if x>y. The same restrictions as for = apply. compare can be used as the comparison function required by the Set and Map modules.

```
val min : 'a -> 'a -> 'b
    with 'a < 'b
    and content('a) < level('b)</pre>
```

Return the smaller of the two arguments.

```
val max : 'a -> 'a -> 'b
    with 'a < 'b
    and content('a) < level('b)</pre>
```

Return the greater of the two arguments.

• -> • bool

e1 == e2 tests for physical equality of e1 and e2. On integers and characters, it is the same as structural equality. On mutable structures, e1 == e2 is true if and only if physical modification of e1 also affects e2. On non-mutable structures, the behavior of (==) is implementation-dependent, except that e1 == e2 implies e1 = e2.

```
val ( != ) : 'a -> 'a -> 'b bool
    with content('a) < 'b
    @ -> @ -> • bool
    Negation of Pervasives.==.
```

5.16.2 Boolean operations

val not : 'a bool -> 'a bool

• $bool \rightarrow bool$ The boolean negation.

val (&&) : 'a bool -> 'a bool -> 'a bool

• bool -> • bool -> • bool

The boolean "and". Evaluation is sequential, left-to-right: in e1 && e2, e1 is evaluated first, and if it returns false, e2 is not evaluated at all.

val (&) : 'a bool -> 'a bool -> 'a bool

• bool -> • bool -> • bool

Deprecated. Pervasives.&& should be used instead.

val (||) : 'a bool -> 'a bool -> 'a bool

• bool -> • bool -> • bool See Pervasives.or.

val (or) : 'a bool -> 'a bool -> 'a bool

• bool -> • bool -> • bool

The boolean "or". Evaluation is sequential, left-to-right: in e1 || e2, e1 is evaluated first, and if it returns true, e2 is not evaluated at all.

5.16.3 Integer arithmetic

Integers are 31 bits wide (or 63 bits on 64-bit processors). All operations are taken modulo 2^{31} (or 2^{63}). They do not fail on overflow.

val (~-) : 'a int -> 'a int

• int -> • int

Unary negation. You can also write -e instead of ~-e.

val succ : 'a int -> 'a int

• int -> • int succ x is x+1.

val pred : 'a int -> 'a int

• int -> • int pred x is x-1.

val (+) : 'a int -> 'a int -> 'a int

• int -> • int -> • int

Integer addition.

val (-) : 'a int -> 'a int -> 'a int

• int -> • int -> • int Integer subtraction.

val (*) : 'a int -> 'a int -> 'a int



Integer multiplication.



Integer division. Raise Division_by_zero if the second argument is 0. Integer division rounds the real quotient of its arguments towards zero. More precisely, if $x \ge 0$ and $y \ge 0$, x / y is the greatest integer less than or equal to the real quotient of x by y. Moreover, (-x) / y = x / (-y) = -(x / y).

val (mod) : 'a int -> 'b int -{'c | Division_by_zero: 'c |}-> 'a int with 'b < 'a, 'c



Integer remainder. If y is not zero, the result of x mod y satisfies the following properties: $x = (x / y) * y + x \mod y$ and $abs(x \mod y) < abs(y)$. If y = 0, x mod y raises Division_by_zero. Notice that x mod y is negative if x < 0.

val abs : 'a int -> 'a int

• int -> • int

Return the absolute value of the argument.

```
val max_int : 'a int
```

• int

The greatest representable integer.

```
val min_int : 'a int
```

• int

The smallest representable integer.

Bitwise operations

```
val ( land ) : 'a int -> 'a int -> 'a int

• int -> • int -> • int

Bitwise logical and.

val ( lor ) : 'a int -> 'a int -> 'a int

• int -> • int -> • int

Bitwise logical or.
```

val (lxor) : 'a int -> 'a int -> 'a int

• int -> • int -> • int

Bitwise logical exclusive or.

val lnot : 'a int -> 'a int

• $int \rightarrow •$ intBitwise logical negation.

val (lsl) : 'a int -> 'a int -> 'a int

• int -> • int -> • int

n lsl m shifts n to the left by m bits. The result is unspecified if m < 0 or $m \ge bitsize$, where bitsize is 32 on a 32-bit platform and 64 on a 64-bit platform.

val (lsr) : 'a int -> 'a int -> 'a int

• int -> • int -> • int

n lsr m shifts n to the right by m bits. This is a logical shift: zeroes are inserted regardless of the sign of n. The result is unspecified if m < 0 or $m \ge bitsize$.

val (asr) : 'a int -> 'a int -> 'a int

• int -> • int -> • int

n asr m shifts n to the right by m bits. This is an arithmetic shift: the sign bit of n is replicated. The result is unspecified if m < 0 or $m \ge bitsize$.

5.16.4 Floating-point arithmetic

Caml's floating-point numbers follow the IEEE 754 standard, using double precision (64 bits) numbers. Floating-point operations never raise an exception on overflow, underflow, division by zero, etc. Instead, special IEEE numbers are returned as appropriate, such as infinity for 1.0 / . 0.0, neg_infinity for -1.0 / . 0.0, and nan ("not a number") for 0.0 / . 0.0. These special numbers then propagate through floating-point computations as expected: for instance, 1.0 / . infinity is 0.0, and any operation with nan as argument returns nan as result.

val (~-.) : 'a float -> 'a float

• float -> • float

Unary negation. You can also write -.e instead of ~-.e.

val (+.) : 'a float -> 'a float -> 'a float

• float -> • float -> • float Floating-point addition val (-.) : 'a float -> 'a float -> 'a float •-----• float -> • float -> • float Floating-point subtraction val (*.) : 'a float -> 'a float -> 'a float •-----• • float -> • float -> • float Floating-point multiplication val (/.) : 'a float -> 'a float -> 'a float •-----• • float -> • float -> • float Floating-point division. val (**) : 'a float -> 'a float -> 'a float •-----• • float -> • float -> • float Exponentiation val sqrt : 'a float -> 'a float •-----• float -> • float Square root val exp : 'a float -> 'a float •-----• • float -> • float Exponential. val log : 'a float -> 'a float •------• float -> • float Natural logarithm. val log10 : 'a float -> 'a float •-----• float -> • float Base 10 logarithm. val cos : 'a float -> 'a float •-----• float -> • float See Pervasives.atan2. val sin : 'a float -> 'a float

•-----• float -> • float See Pervasives.atan2. val tan : 'a float -> 'a float •-----• • float -> • float See Pervasives.atan2. val acos : 'a float -> 'a float •-----• • float -> • float See Pervasives.atan2. val asin : 'a float -> 'a float •-----• float -> • float See Pervasives.atan2. val atan : 'a float -> 'a float •-----• • float -> • float See Pervasives.atan2. val atan2 : 'a float -> 'a float -> 'a float •-----• • float -> • float -> • float The usual trigonometric functions. val cosh : 'a float -> 'a float •-----• • float -> • float See Pervasives.tanh. val sinh : 'a float -> 'a float •-----•₁ • float -> • float See Pervasives.tanh. val tanh : 'a float -> 'a float •-----• • float -> • float The usual hyperbolic trigonometric functions.

val ceil : 'a float -> 'a float

• float -> • float See Pervasives.floor. val floor : 'a float -> 'a float

• float -> • float

Round the given float to an integer value. floor f returns the greatest integer value less than or equal to f. ceil f returns the least integer value greater than or equal to f.

```
val abs_float : 'a float -> 'a float
```

• float -> • float

Return the absolute value of the argument.

```
val mod_float : 'a float -> 'a float -> 'a float
```

• float -> • float -> • float

 $mod_float a b$ returns the remainder of a with respect to b. The returned value is a -. n *. b, where n is the quotient a /. b rounded towards zero to an integer.

val frexp : 'a float -> 'a float * 'a int

```
• float -> • float * • int
```

frexp f returns the pair of the significant and the exponent of f. When f is zero, the significant x and the exponent n of f are equal to zero. When f is non-zero, they are defined by $f = x * . 2 * n \text{ and } 0.5 \le x \le 1.0$.

val ldexp : 'a float -> 'a int -> 'a float

• float -> • int -> • float ldexp x n returns x *. 2 ** n.

val modf : 'a float -> 'a float * 'a float

• float -> • float * • float

modf f returns the pair of the fractional and integral part of f.

val float : 'a int -> 'a float

Same as Pervasives.float_of_int.

val float_of_int : 'a int -> 'a float

• int -> • float Convert an integer to floating-point.

val truncate : 'a float -> 'a int

• float -> • int

Same as Pervasives.int_of_float.

val int_of_float : 'a float -> 'a int

• float -> • int

Truncate the given floating-point number to an integer. The result is unspecified if it falls outside the range of representable integers.

val infinity : 'a float

• float Positive infinity.

val neg_infinity : 'a float

• float Negative infinity.

val nan : 'a float

• float

A special floating-point value denoting the result of an undefined operation such as 0.0 / . 0.0. Stands for "not a number".

val max_float : 'a float

```
• float
```

The largest positive finite value of type float.

```
val min_float : 'a float
```

• float

The smallest positive, non-zero, non-denormalized value of type float.

```
val epsilon_float : 'a float
```

• float

The smallest positive float x such that $1.0 + x \iff 1.0$.

```
type (#'a:level) fpclass =
```

FP_normal

| FP_subnormal

| FP_zero

| FP_infinite

```
| FP_nan # 'a
```

The five classes of floating-point numbers, as determined by the Pervasives.classify_float function.

val classify_float : 'a float -> 'a fpclass

• float -> • fpclass

Return the class of the given floating-point number: normal, subnormal, zero, infinite, or not a number.

5.16.5 String operations

More string operations are provided in the modules String (immutable strings) and Charray (mutable strings).

val (^) : 'a string -> 'a string -> 'a string •----• • string -> • string -> • string String concatenation. val (\$) : 'a string -> 'a int -> 'a char •-----• • string -> • int -> • char Character access. val (^^) : ('a, 'b) charray -> ('a, 'b) charray -> ('a, 'b) charray (\bullet, \bullet) charrav -> (\bullet, \bullet) charrav -> (\bullet, \bullet) charrav Charray concatenation. val string_of_charray : ('a, 'b) charray -> 'b string with 'a < 'b •---• (•, •) charray -> • string Coerces a mutable string into an immutable one. val charray_of_string : 'a string -> ('a, 'a) charray •------• string -> (•, •) charray Creates a mutable string from an immutable one.

5.16.6 Character operations

More character operations are provided in module Char.

• int -{• | Invalid argument: • |}-> • char

Return the character with the given ASCII code. Raise Invalid_argument "char_of_int" if the argument is outside the range 0-255.

5.16.7 Unit operations

```
val ignore : 'a -> unit
```

∼a -> unit

Discard the value of its argument and return (). For instance, ignore(f x) discards the result of the side-effecting function f. It is equivalent to f x; (), except that the latter may generate a compiler warning; writing ignore(f x) instead avoids the warning.

5.16.8 String conversion functions

val string_of_bool : 'a bool -> 'a string

•-----• • string -{• | Failure: • |}-> • int

Convert the given string to an integer. The string is read in decimal (by default) or in hexadecimal, octal or binary if the string begins with 0x, 0o or 0b respectively. Raise Failure "int_of_string" if the given string is not a valid representation of an integer.

val string_of_float : 'a float -> 'a string

```
• float -> • string
```

Return the string representation of a floating-point number.

Convert the given string to a float. Raise Failure "float_of_string" if the given string is not a valid representation of a float.

5.16.9 Pair operations

```
val fst : 'a * 'b -> 'a
```

Return the first component of a pair.

val snd : 'a * 'b -> 'b

~a * ~b -> ~b

Return the second component of a pair.

5.16.10 List operations

More list operations are provided in module List.

5.16.11 Input/output

Output functions on standard output

```
val print_int : !stdout int -{!stdout ||}-> unit
```

```
• int -{• ||}-> unit
```

Print an integer, in decimal, on standard output.

val print_float : !stdout float -{!stdout ||}-> unit

float -{• ||}-> unit

Print a floating-point number, in decimal, on standard output.

val print_endline : !stdout string -{!stdout ||}-> unit

string -{• ||}-> unit

Print a string, followed by a newline character, on standard output.

val print_newline : unit -{!stdout ||}-> unit

stdout
unit -{• ||}-> unit

Print a newline character on standard output, and flush standard output. This can be used to simulate line buffering of standard output.

Output functions on standard error

```
val prerr_char : !stderr char -{!stderr ||}-> unit
```

• char -{• ||}-> unit Print a character on standard error.

val prerr_string : !stderr string -{!stderr ||}-> unit

• string -{• ||}-> unit

Print a string on standard error.

```
val prerr_int : !stderr int -{!stderr ||}-> unit
```

• int -{• ||}-> unit

Print an integer, in decimal, on standard error.

val prerr_float : !stderr float -{!stderr ||}-> unit

```
• float -{• ||}-> unit
```

Print a floating-point number, in decimal, on standard error.

```
val prerr_endline : !stderr string -{!stderr ||}-> unit
```

```
▶ !stderr
```

```
• string -{• ||}-> unit
```

Print a string, followed by a newline character on standard error and flush standard error.

val prerr_newline : unit -{!stderr ||}-> unit

unit -{• ||}-> unit

Print a newline character on standard error, and flush standard error.

Input functions on standard input

unit -{• | End of file: • |}-> • string

Flush standard output, then read characters from standard input until a newline character is encountered. Return the string of all characters read, without the newline character at the end.

```
val read_int : unit -{[< !stdout,
        !stdin] | Failure: !stdin; End_of_file: !stdin |}-> !stdin int
```

stdin
stdout
unit -{• | Failure: •; End of file: • |}-> • int

Flush standard output, then read one line from standard input and convert it to an integer. Raise Failure "int_of_string" if the line read is not a valid representation of an integer.

val read_float : unit -{[< !stdout, !stdin] | End_of_file: !stdin |}->
 !stdin float

unit -{● | End of file: ● |}-> ● float

Flush standard output, then read one line from standard input and convert it to a floatingpoint number. The result is unspecified if the line read is not a valid representation of a floating-point number.

5.16.12 References

```
type (='a:type, #'b:level) ref = { mutable contents : 'a; } # 'b
```

The type of references (mutable indirection cells) containing a value of type 'a.

```
val ref : 'a -> ('a, 'b) ref
```

-> (_a . ●) ref

Return a fresh reference containing the given value.

```
(~a, •) ref -> ~a
```

!r returns the current contents of reference r. Equivalent to fun r -> r.contents.



r := a stores the value of a in reference r. Equivalent to fun r v -> r.contents <- v.

val incr : ('a int, 'a) ref -{'a ||}-> unit

(• int. •) ref -{• ||}-> unit

Increment the integer contained in the given reference. Equivalent to fun $r \rightarrow r := succ !r$.

val decr : ('a int, 'a) ref -{'a ||}-> unit

(• int, •) ref -{• ||}-> unit

Decrement the integer contained in the given reference. Equivalent to fun $r \rightarrow r := pred !r$.

5.16.13 Program termination

Flush all pending writes on Pervasives.stdout and Pervasives.stderr, and terminate the process, returning the given status code to the operating system (usually 0 to indicate no errors, and a small positive integer to indicate failure.) An implicit exit 0 is performed each time a program terminates normally (but not if it terminates because of an uncaught exception).

5.17 Module Queue

First-in first-out queues.

This module implements queues (FIFOs), with in-place modification.

```
type (='a:type, ='b:level, #'c:level) t
```

The type of queues containing elements of type 'a.

exception Empty

Raised when Queue.take or Queue.peek is applied to an empty queue.

val create : unit -> ('a, 'b, 'c) t

unit -> (. • . •) t Return a new queue, initially empty.

val add : 'a -> ('a, 'b, 'b) t -{'b ||}-> unit



add x q adds the element x at the end of the queue q.

take q removes and returns the first element in queue q, or raises Empty if the queue is empty.

```
val peek : ('a, 'b, 'c) t -{'d | Empty: 'd |}-> 'e
    with 'a < 'e
    and 'c < 'd, level('e)
    and 'b < 'd, level('e)
    (=)
    (=, 0, 0) t -{0 | Empty: 0 |}-> =
```

peek q returns the first element in queue q, without removing it from the queue, or raises Empty if the queue is empty.

```
val clear : ('a, 'b, 'b) t -{'b ||}-> unit
```

Discard all elements from a queue.

Return the number of elements in a queue.

```
val iter : ('a -{'b | 'c | 'b}-> 'd) -> ('a, 'e, 'b) t -{'b | 'c |}-> unit
with content('c), 'e < 'b</pre>
```

iter f q applies f in turn to all elements of q, from the least recently entered to the most recently entered. The queue itself is unchanged.

5.18 Module Random

Pseudo-random number generator (PRNG).

```
val init : !random int -{!random ||}-> unit
```

•----•!random

• int -{• ||}-> unit

Initialize the generator, using the argument as a seed. The same seed will always yield the same sequence of numbers.

val full_init : ([< !random] int, !random) array -{!random ||}-> unit

!random
(• int, •) array -{• ||}-> unit

Same as Random.init but takes more data as seed.

val self_init : unit -{!random ||}-> unit

```
▶_----- !random
unit -{● ||}-> unit
```

Initialize the generator with a more-or-less random seed chosen in a system-dependent way.

val bits : unit -{!random ||}-> !random int

Return 30 random bits in a nonnegative integer.

• int -{• ||}-> • int

Random.int bound returns a random integer between 0 (inclusive) and bound (exclusive). bound must be more than 0 and less than 2^{30} .

Random.float bound returns a random floating-point number between 0 (inclusive) and bound (exclusive). If bound is negative, the result is negative. If bound is 0, the result is 0.

val bool : unit -{!random ||}-> !random bool

```
•------!random
unit -{● ||}-> ● bool
```

Random.bool () returns true or false with probability 0.5 each.

type (='a:level, #'b:level) state

Values of this type are used to store the current state of the generator.

val get_state : unit -> (!random, !random) state

> (●, ●) state

Returns the current state of the generator. This is useful for checkpointing computations that use the PRNG.

val set_state : ([< !random], !random) state -{!random ||}-> unit

(•, •) state -{• ||}-> unit

Resets the state of the generator to some previous state returned by Random.get_state.
5.19 Module Set

```
Module type OrderedType
module type OrderedType = sig
    type (#'a:level) t
    val compare : 'a t -> 'a t -> 'a int
          •----•
          • t -> • t -> • int
end
Module type S
module type S = sig
    type (#'a:level) elt
    type (#'a:level) t
    val empty : 'a t
          • t
    val is_empty : 'a t -> 'a bool
          •-----•
          • t -> • bool
    val mem : 'a elt -> 'a t -> 'a bool
          •-----•
          • elt -> • t -> • bool
    val add : 'a elt -> 'a t -> 'a t
          •-----•
          • elt -> • t -> • t
    val singleton : 'a elt -> 'a t
          •-----•
          • elt -> • t
    val remove : 'a elt -> 'a t -> 'a t
          •-----•
          • elt -> • t -> • t
    val union_ : 'a t -> 'a t -> 'a t
          •-----•
          • t -> • t -> • t
```

val inter_ : 'a t -> 'a t -> 'a t •-----• • t -> • t -> • t val diff : 'a t -> 'a t -> 'a t •-----• • t -> • t -> • t val compare : 'a t -> 'a t -> 'a int •----• • t -> • t -> • int val equal : 'a t -> 'a t -> 'a bool •-----• t -> • t -> • bool val subset : 'a t -> 'a t -> 'a bool •-----• • t -> • t -> • bool val iter : ('a elt -{'b | 'c | 'b}-> 'd) -> 'a t -{'b | 'c |}-> unit with 'a, content('c) < 'b $(\bullet \text{ elt } -\{\bullet \mid \bullet \mid \bullet\} \rightarrow \mathbb{R}) \rightarrow \bullet t -\{\bullet \mid \bullet \mid \} \rightarrow \text{ unit }$ val fold : ('a elt -{'b | 'c | 'd}-> 'e -{'f | 'c | 'g}-> 'h) -> 'a t -> 'h -{'i | 'c |}-> 'h with 'a, content('c), 'd, 'g, 'i < 'f and 'a, content('c), 'd, 'i < 'b and 'a, 'd, 'g < level('e) and 'h < 'e and 'a, 'd, 'g < level('h) • • ----- $(\bullet \text{ elt } -\{\bullet \mid \bullet \mid \bullet\} \rightarrow \boxed{a} -\{\bullet \mid \bullet \mid \bullet\} \rightarrow \boxed{a}) \rightarrow \bullet t \rightarrow \boxed{a} -\{\bullet \mid \bullet \mid \} \rightarrow \boxed{a}$ val for_all : ('a elt -{'b | 'c | 'd}-> 'e bool) -> 'a t -{'b | 'c |}-> 'e bool with 'a, 'd < 'e and 'a, content('c), 'd < 'b •----- $(\bullet \text{ elt } -\{\bullet \mid \bullet \mid \bullet\} \rightarrow \bullet \text{ bool}) \rightarrow \bullet t -\{\bullet \mid \bullet \mid\} \rightarrow \bullet \text{ bool}$



module Make : functor (Ord : OrderedType) \rightarrow S with type 'a elt = 'a Ord.t

5.20 Module Stack

Last-in first-out stacks.

This module implements stacks (LIFOs), with in-place modification.

```
type (='a:type, ='b:level, #'c:level) t
```

The type of stacks containing elements of type 'a.

exception Empty

Raised when Stack.pop or Stack.top is applied to an empty stack.

```
val create : unit -> ('a, 'b, 'c) t
```

unit -> (Ea. •. •) t Return a new stack, initially empty.

val push : 'a -> ('a, 'b, 'b) t -{'b ||}-> unit

push $x \ s$ adds the element x at the top of stack s.

```
val pop : ('a, 'b, 'b) t -{'c | Empty: 'd |}-> 'e
with 'a < 'e
and 'c < 'b, 'd, level('e)
and 'b < 'd, level('e)
</pre>
```

pop s removes and returns the topmost element in stack s, or raises Empty if the stack is empty.

```
val top : ('a, 'b, 'c) t -{'d | Empty: 'd |}-> 'e
with 'a < 'e
and 'c < 'd, level('e)
and 'b < 'd, level('e)
</pre>
```

top s returns the topmost element in stack s, or raises Empty if the stack is empty.

val clear : ('a, 'b, 'b) t -{'b ||}-> unit

 $([a], \bullet, \bullet) t - \{ \bullet | \}) \rightarrow unit$ Discard all elements from a stack.



Return a copy of the given stack.

Return the number of elements in a stack.

iter f s applies f in turn to all elements of s, from the element at the top of the stack to the element at the bottom of the stack. The stack itself is unchanged.

5.21 Module String

String operations.

```
val length : 'a string -> 'a int
```

• string -> • int

Return the length (number of characters) of the given string.

```
val get : 'a string -> 'a int -> 'a char
```

• string -> • int -> • char

String.get s n returns character number n in string s. The first character is character number 0. The last character is character number String.length s - 1. Terminate the program if n is outside the range 0 to (String.length s - 1). You can also write s. [n] instead of String.get s n.

val make : 'a int -> 'a char -> 'a string

• int -> • char -> • string

String.make n c returns a fresh string of length n, filled with the character c. Terminate the program if n < 0 or $n > Sys.max_string_length$.

val sub : 'a string -> 'a int -> 'a int -> 'a string

```
• string -> • int -> • int -> • string
```

String.sub s start len returns a fresh string of length len, containing the characters number start to start + len - 1 of string s.

Terminate the program if start and len do not designate a valid substring of s; that is, if start < 0, or len < 0, or start + len > String.length s.

val concat : 'a string -> ('a string, 'a) list -> 'a string

•----•

• string -> (• string, •) list -> • string

String.concat sep sl concatenates the list of strings sl, inserting the separator string sep between each.

val iter : ('a char -{'b | 'c | 'b}-> 'd) -> 'a string -{'b | 'c |}-> unit with 'a, content('c) < 'b</pre>

(• char -{• | • | •}-> [5]) -> • string -{• | • |}-> unit

String.iter f s applies function f in turn to all the characters of s. It is equivalent to f s.(0); f s.(1); ...; f s.(String.length s - 1); ().

val escaped : 'a string -> 'a string

• string -> • string

Return a copy of the argument, with special characters represented by escape sequences, following the lexical conventions of Objective Caml. If there is no special character in the argument, return the original string itself, not a copy.

val index : 'a string -> 'a char -{'b | Not_found: 'b |}-> 'a int with 'a < 'b

• string -> • char -{• | Not found: • |}-> • int

String.index s c returns the position of the leftmost occurrence of character c in string s. Raise Not_found if c does not occur in s.

•-----•

• string -> • char -{• | Not found: • |}-> • int

String.rindex s c returns the position of the rightmost occurrence of character c in string s. Raise Not_found if c does not occur in s.

• string -> • char -{• | Not found: • |}-> • int

Same as String.index, but start searching at the character position given as second argument. String.index s c is equivalent to String.index_from s 0 c.

• string -> • char -{• | Not found: • |}-> • int

Same as String.rindex, but start searching at the character position given as second argument.

String.rindex s c is equivalent to String.rindex_from s (String.length s - 1) c.

```
val contains : 'a string -> 'a char -> 'a bool
```

• string -> • char -> • bool

String.contains s c tests if character c appears in the string s.

val contains_from : 'a string -> 'a char -> 'a int -> 'a bool

• string -> • char -> • int -> • bool

String.contains_from s start c tests if character c appears in the substring of s starting from start to the end of s. Terminate the program if start is not a valid index of s.

val rcontains_from : 'a string -> 'a char -> 'a int -> 'a bool

• string -> • char -> • int -> • bool

String.rcontains_from s stop c tests if character c appears in the substring of s starting from the beginning of s to index stop. Terminate the program if stop is not a valid index of s.

```
val uppercase : 'a string -> 'a string
```

• string -> • string

Return a copy of the argument, with all lowercase letters translated to uppercase, including accented letters of the ISO Latin-1 (8859-1) character set.

```
val lowercase : 'a string -> 'a string
```

• string -> • string

Return a copy of the argument, with all uppercase letters translated to lowercase, including accented letters of the ISO Latin-1 (8859-1) character set.

```
val capitalize : 'a string -> 'a string
```

• string -> • string

Return a copy of the argument, with the first letter set to uppercase.

val uncapitalize : 'a string -> 'a string

• string -> • string

Return a copy of the argument, with the first letter set to lowercase.

5.22 Module Sys

System interface.

val argv : (!arg string, 'a) array

!arg
(• string, •) array

The command line arguments given to the process. The first element is the command name used to invoke the program. The following elements are the command-line arguments given to the program.

val executable_name : !arg string

string

The name of the file containing the executable currently running.

```
val getenv : 'a string -{'b | Not_found: 'b |}-> 'c string
    with !env < 'c
    and !env < 'b
    and 'a < 'b, 'c
• string -{• | Not found: • |}-> • string
```

Return the value associated to a variable in the process environment. Raise Not_found if the variable is unbound.

val os_type : 'a bool

• bool

Operating system currently executing the Caml program. One of - "Unix" (for all Unix versions, including Linux and Mac OS X), - "Win32" (for MS-Windows, OCaml compiled with MSVC++ or Mingw), - "Cygwin" (for MS-Windows, OCaml compiled with Cygwin), - "MacOS" (for MacOS 9).

```
val word_size : 'a int
```

• int

Size of one word on the machine currently executing the Caml program, in bits: 32 or 64.

```
val max_string_length : 'a int
```

• int

Maximum length of a string.

```
val max_array_length : 'a int
```

• int

Maximum length of an array.

```
val ocaml_version : 'a string
```

string

ocaml_version is the version of Objective Caml.

It is a string of the form "major.minor[additional-info]" Where major and minor are integers, and additional-info is a string that is empty or starts with a '+'.

Appendix

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