

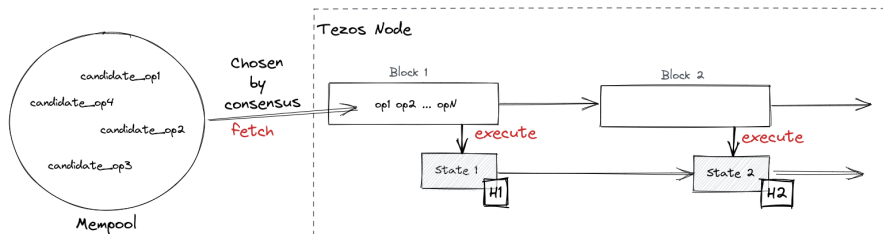
# Scaling Tezos with Optimism

Yann Régis-Gianas

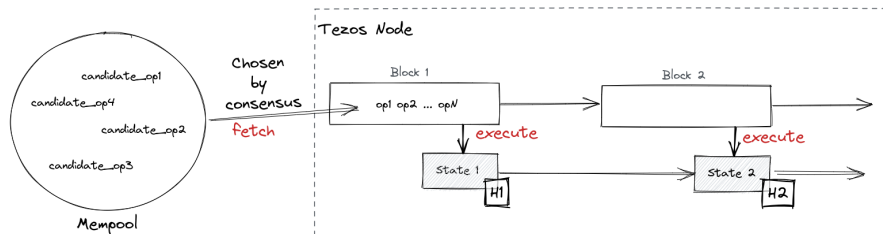
(Nomadic Labs) – [yann.regis-gianas@nomadic-labs.com](mailto:yann.regis-gianas@nomadic-labs.com)

2022-06-01

# A blockchain is a super demanded computer



# A blockchain is a super demanded computer



## A real-time system

- ▶ 1 block every 30 seconds.
- ▶ Block validation should take less than 10 seconds.
- ▶ Limit block size and gas ( $\approx$  computational cost).
- ▶ Hard to go beyond **1000** operations per second.

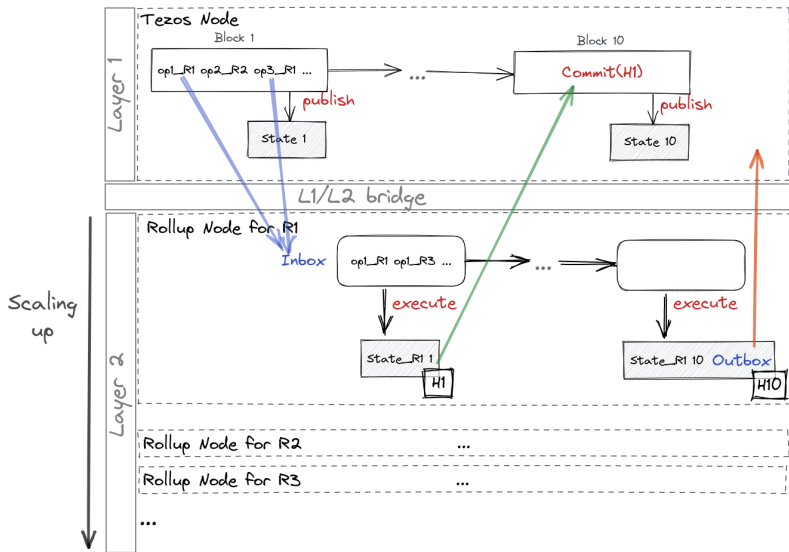
What if we wanted this computer  
to handle 1 million operations per second?

# Make the CPU faster?



- ▶ By optimizing the **execute** step.
- ▶ We already had a 10x improvement with a fast interpreter.
- ▶ With compilation, we could get an extra 10x.
- ▶ Several orders of magnitude are still missing...

# Let's increase the number of CPUs!



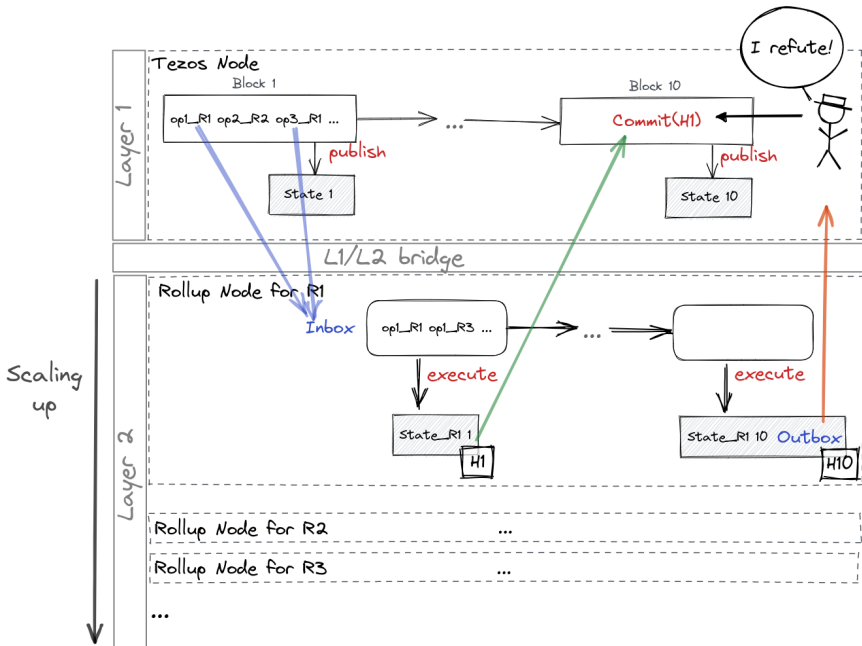
# That's scaling! but wait, is that really safe?

- ▶ This scheme can scale to infinity and beyond! <sup>1</sup>
- ▶ The layer 1 is **optimistic** regarding rollups execution.
- ▶ But, a dishonest rollup operator can forge and commit any hash!

---

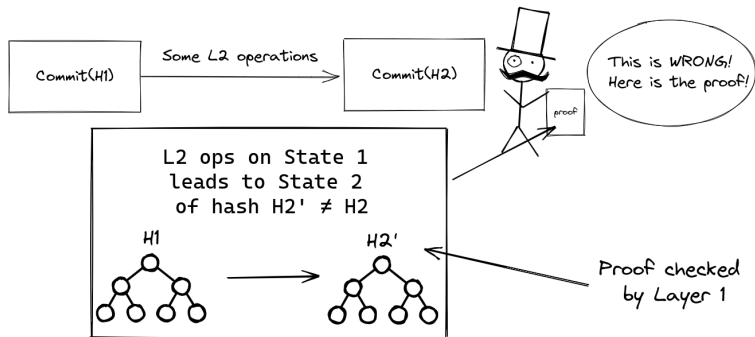
<sup>1</sup>Well, no, we will come back to this.

# Refutation at the rescue





# What exactly is a refutation? Why should we fear them?



- ▶ A **Merkle tree** provides a compact partial representation of states.
- ▶ If a hash is proved wrong, its author is **economically punished**.

# Good! But these refutation proofs are limited

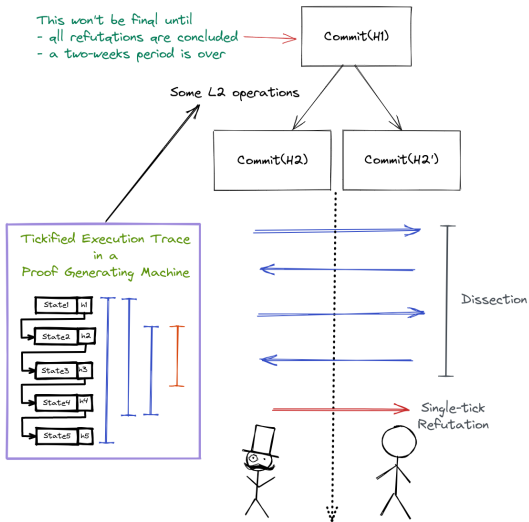
## Transaction Optimistic Rollups (TORUs)

- ▶ TORUs are in protocol Jakarta2.
- ▶ 1000 transactions per second.

## Limitations

- ▶ A Tezos operation is 32KB long.
- ▶ Even though Merkle proofs are compact, this limit is hit quickly.
- ▶ TORUs are only limited to transactions, no smart-contracts.

# Interactive refutation through Proof Generating Machines (PVMs)



# Smart-Contract Optimistic Rollups (SCORUs)

## Unleashing the computational power of the blockchain

- ▶ A single-tick refutation proof is small enough.
- ▶ The execution trace can be very large ( $32^{10}$  is still fine).
- ▶ The system is generic with respect to the PVM.
- ▶ SCORUs are to be shipped in protocol K with a WASM PVM.
- ▶ Goal: demonstrate one million transactions per second by EOY.

## Bandwidth is the bottleneck

- ▶ All operations are published by the Layer 1.
- ▶ Hence, we are limited by the size of the block ( $\approx 500\text{KB}$ ).
- ▶ The Data Availability Layer (effort led by François Thiré) will tackle this.

Some open challenges

# A challenge in Programming

## Remarks

- ▶ Refutations are uncommon.
- ▶ Rollup nodes can run on powerful machines.

How to execute L2 operations as fast as possible  
while being able to generate Merkle proofs  
when a refutation occur?

# A challenge in Mechanized Verification of Runtime System

## Remarks

- ▶ The Layer 1 serves as the source of truth regarding PVM semantics.
- ▶ Rollup node implementations will run optimized runtime systems.

How to guarantee that an optimized runtime

- ▶ validates the PVM semantics?
- ▶ generates valid proofs?
- ▶ generates sufficiently small proofs?

## A challenge in Game Theory

How to set the economic rules  
so that the cost of an attack  
is always greater than a given constant?



Thank you for your attention.  
Questions?