Salto: Static Analyses for Trustworthy OCaml

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Salto project

What: static analysis for OCaml programs

Where: Celtique research team, Inria Rennes

Who: B. Montagu + T. Genet + T. Jensen + Nomadic Labs

Accepted: in summer 2021

Starting: as soon as possible!

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We are *hiring* a research engineer on a 2 year contract

Contact me!

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Goals

- Detect the most common mistakes in OCaml programs, that cannot already be caught by the type system
- ▶ Improve the safety of Nomadic Labs' code by detecting actual issues
- Make the analyser available to the OCaml ecosystem

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Uncaught exceptions (e.g., system calls) Fatal error: exception Sys_error("foo.txt: No such file or directory")

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- Violated preconditions (e.g., non-empty list) Fatal error: exception Failure("hd")

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- Violated preconditions (e.g., non-empty list) Fatal error: exception Failure("hd")
- Failure of an assertion that was explicitly written by a programmer Fatal error: exception Assert_failure("bar.ml", 6, 14)

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Examples

- Uncaught exceptions (e.g., system calls)
 Fatal error: exception Sys_error("foo.txt: No such file or directory")
- Violated preconditions (e.g., non-empty list) Fatal error: exception Failure("hd")
- Failure of an assertion that was explicitly written by a programmer Fatal error: exception Assert_failure("bar.ml", 6, 14)
- Arithmetic errors (e.g., off-by-one, overflows)
 Fatal error: exception Invalid_argument("index out of bounds")

An analyser for a subset of OCaml (pure, exception-less, shallow patterns...)
 This is already an interesting challenge! (untyped, higher-order language)

- - VCFA uses a local fixpoint solver [1, 7, 9] performing widened Kleene iterations val fix: ((X.t -> Y.t) -> (X.t -> Y.t)) -> (X.t -> Y.t)
 - Cleanly separates the declaration of transfer functions from the iteration/convergence strategy
 - 🖒 Advocated by B. Jeannet [3], and actually used in the <code>goblint</code> C analyser [10]

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 - Advocated by B. Jeannet [3], and actually used in the <code>goblint</code> C analyser [10]
 - ▶ ∇CFA exploits an abstract domain for regular sets of algebraic values:
 - 🖒 Draws some ideas from tree automata
 - $m \ref{C}$ Reuses standard arithmetic abstract domains
 - Inspired from the *type graphs* (cyclic structures, used in analysis of Prolog [2])
 - ♪ Inspired from the theory of equi-recursive types

An OCaml Example: Insertion In a Sorted List

```
(* absolute value *)
1
   let abs x = if x \ge 0 then x else -x
2
3
   (* insertion in a sorted list *)
4
   let rec insert x l = match l with
5
   | [] -> [x]
6
7
                         v :: l' ->
    if x < y then x :: l else y :: insert x l'</pre>
8
9
10
   (* arbitrary sorted list of size n whose elements are >= 42 *)
11
   let rec make n =
12
     if n <= 0 then [] else insert (42 + (Random.int max_int)) (make (n-1))</pre>
13
14
   let head = match insert 1 (make (Random.int max_int)) with
15
    [] \rightarrow -127 (* /! \ should never happen *)
16
                             x :: -> x (* head of the list: must be 1 *)
17
18
                                                                                5/9
   let result = (head = 1) (* should always return true *)
19
```

The Same Example, Translated

```
1 (* absolute value *)
  val abs x = if x \ge 0 then x else -x
2
3
  (* insertion in a sorted list *)
4
  val rec insert x l = match l with
5
  | Nil -> Cons (x, Nil)
6
7 | Cons p \rightarrow match p with (y, l') \rightarrow
   if x < y then Cons (x, l) else Cons (y, insert x l')
8
   end end
9
10
   (* arbitrary sorted list of size n whose elements are >= 42 *)
11
   val rec make n =
12
   if n \le 0 then Nil else insert (42 + (abs ?int)) (make (n-1))
13
14
   val head = match insert 1 (make (abs ?int)) with
15
   | Nil -> -127 (* /!\ should never happen *)
16
   | Cons p -> match p with (x, ) -> x end (* head of the list: must be 1 *)
17
18
   end
                                                                               6/9
   val result = (head = 1) (* should always return true *)
19
```

The Same Example, Analysed

```
Example programs: insert sorted list
                                              ×
      (* absolute value *)
      val abs x = if x \ge 0 then x else -x
      (* insertion in a sorted list *)
      val rec insert x l = match l with
      | Nil -> Cons (x. Nil)
       Cons p -> match p with (y, l') ->
if x < y then Cons (x, l) else Cons (y, insert x l')
   9 end end
   10
   11 (* arbitrary sorted list of size n whose elements are >= 42 *)
   12 val rec make n =
   13
        if n <= 0 then Nil else insert (42 + (abs
                                                            ?int)) (make (n-1))
   14
   15 val head = match insert 1 (make (abs
                                                      ?int)) with
        Nil -> -127 (* /!\ should never happen *)
   16
        Cons p -> match p with (x, _) -> x end (* head of the list: must be 1 *)
   18 end
   19
      val result = (head = 1) (* should always return true *)
                                                                                                     Analyse!
Output:
{ bools = { true } }
(* Running time: 654ms *)
(* Statistics:
    states: 76: edges: 131: max fanout (median): 20 (0): iterations: 5 *)
```

- The analyser runs natively, and in the web browser thanks to js_of_ocaml
- On this program, the analyser infers the most precise result
- And emits no warning

The Same Example, Analysed

Example programs: insert sorted list × (* absolute value *) val abs $x = if x \ge 0$ then x else -x (* insertion in a sorted list *) val rec insert x 1 = match 1 with Nil -> Cons (x. Nil) Cons p -> match p with (y, l') -> if x < y then Cons (x, l) else Cons (y, insert x l') end end 11 (* arbitrary sorted list of size n whose elements are >= 42 *) 12 val rec make n = if n <= 0 then Nil else insert (42 + (abs ?int)) (make (n-1)) 14 15 val head = match insert 1 (make (abs ?int)) with Nil -> -127 (* /!\ should never happen *) 16 Cons p -> match p with (x.) -> x end (* head of the list; must be 1 *) 18 end 19 val result = (head = 1) (* should always return true *) Analyse! Output: { bools = { true } } (* Running time: 654ms *) (* Statistics: states: 76: edges: 131: max fanout (median): 20 (0): iterations: 5 *)

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Some remarks:

- Line 19: result is necessarily the boolean true
- Line 16 is detected as unreachable
 it could be deleted!
- Line 15: the list insert 1 (make (abs ?int)) necessarily starts with the value 1

The Same Example, Analysed



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Tested on plenty of examples (higher-order, monadic, CPS, ill-typed...)

The Road Ahead (1)

Support more features found in OCaml

- Support exceptions
 - 🖒 Exceptions as values
 - 🖒 Local exceptions (difficult inside recursive functions)
- Detect arithmetic overflows/underflows for Int31, Int32, Int63, Int64
- Support mutable state
 - 🖒 References and mutable data-types
 - 🖒 Arrays
 - \mathcal{C} External state provided by the OS (e.g., file descriptors)
- Cyclic values, e.g.: let rec l = 1 :: l
- Labelled arguments, modules, functors, objects, classes...

The Road Ahead (2)

Refine the analysis

- Extend the fixpoint solver to interleave forward and backward analyses
 Asks to find a pair of (post-)fixpoints for two mutually-defined functionals
- Incorporate a narrowing phase to the fixpoint solver
- Exploit the types inferred by the OCaml compiler (reduced product)
- Specific abstract domains for strings, bytes, sets, maps, hash-tables...

The Road Ahead (3)

Improve efficiency

▶ Transition from a simple/naive implementation to an efficient/clever one

Improve usability

- ▶ Handle the actual OCaml AST as input
 - 🖒 A rather large AST...
 - \mathcal{C} ... that contains some redundant features
 - \mathcal{C} ... and may change over time
- Discover the structure of an OCaml project
 - 🖒 Start some work on improving dune describe
- Make the analyser incremental
 - 🖒 Minimise the number of necessary re-computations
 - 🖒 Save partial results on the filesystem

1 This is where a research engineer would be extremely useful!

The Road Ahead (4)

Long term challenges

Relational analysis (especially: input/output relations)

🖒 Stable relations

Benoît Montagu and Thomas P. Jensen. "Stable Relations and Abstract Interpretation of Higher-order Programs". In: *Proc. ACM Program. Lang.* 4.ICFP (2020), 119:1–119:30. DOI: **10.1145/3409001**

🗘 S. Bautista and T. Losekoot Ph.D theses on relational domains

▶ Non-sequential code (LWT/Async)

The Road Ahead (4)

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Much longer term challenges

- Low-level representation of data (Obj module)
- Polymorphic and physical equality
- Signals? Algebraic effects (one-shot continuations)? Multicore?

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Celtique research team, Inria Rennes

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