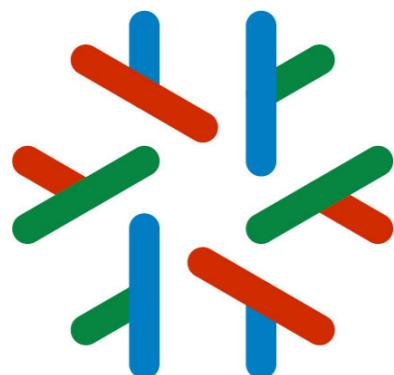


# Tracking Redexes in the lambda-calculus

(rev. 2:1)



[jean-jacques.levy@inria.fr](mailto:jean-jacques.levy@inria.fr)

Nanjing NJU

2024-11-22

<http://jeanjacqueslevy.net/talks/24track-rev2/track.pdf>



# The lambda-calculus

- for logicians: important tool for proof theory
- for computer scientists: kernel of functional programming

Tracking redexes in the lambda-calculus  
"The French School of Programming"  
ed. Bertrand Meyer, Springer, 2024.

# The lambda-calculus

- for logicians: important tool for proof theory
- for computer scientists: kernel of functional programming

## RÉDUCTIONS CORRECTES ET OPTIMALES DANS LE LAMBDA-CALCUL

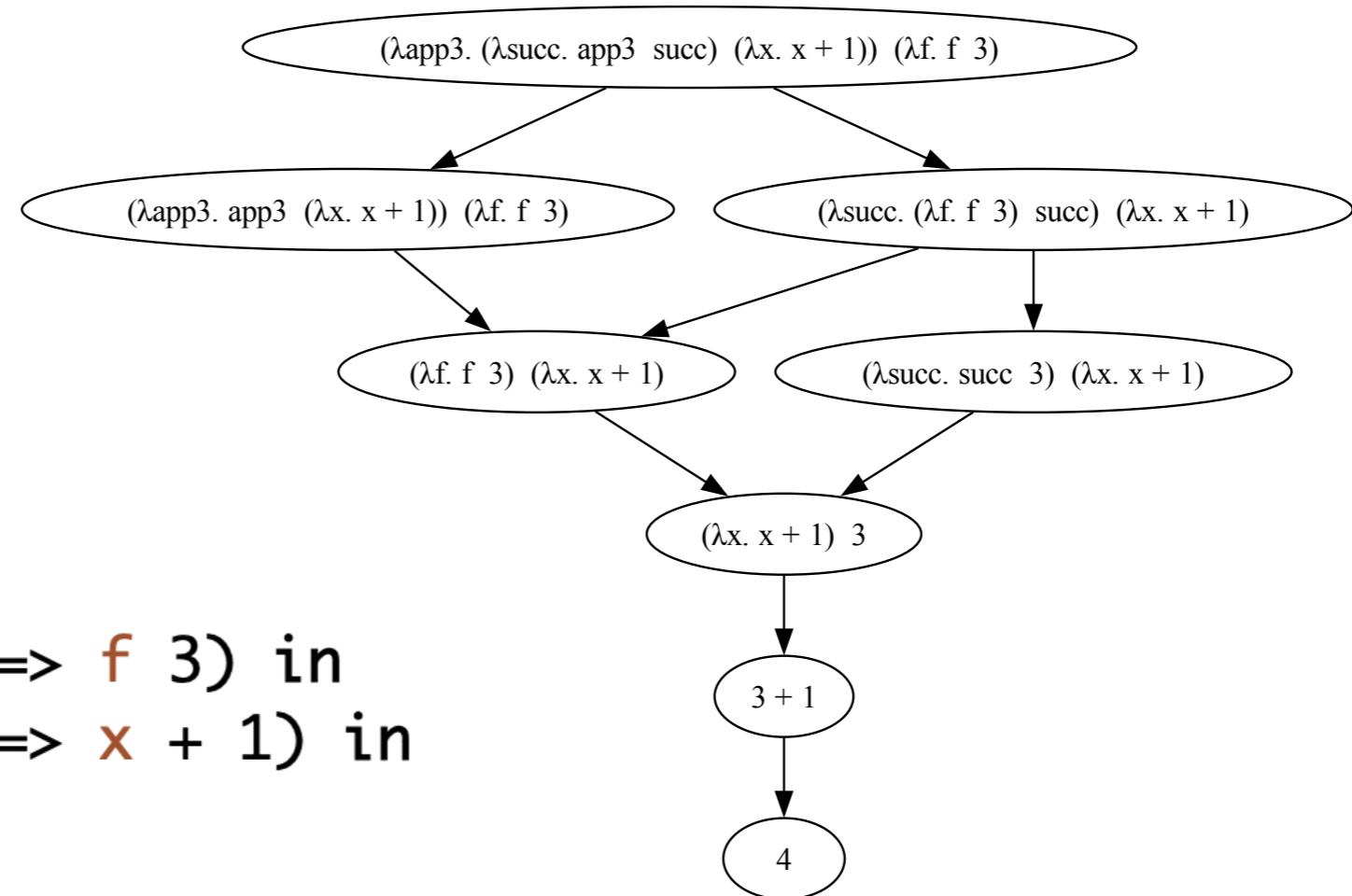
Soutenue le 17 janvier 1978 devant la Commission composée de :

MM	L. NOLIN	Président
H. BARENDEGRT		
J.-Y. GIRARD		
G. HUET		
M. NIVAT		
J.-C. SIMON		
J. VUILLEMIN		
G. KAHN		Invité

Tracking redexes in the lambda-calculus  
"The French School of Programming"  
ed. Bertrand Meyer, Springer, 2024.

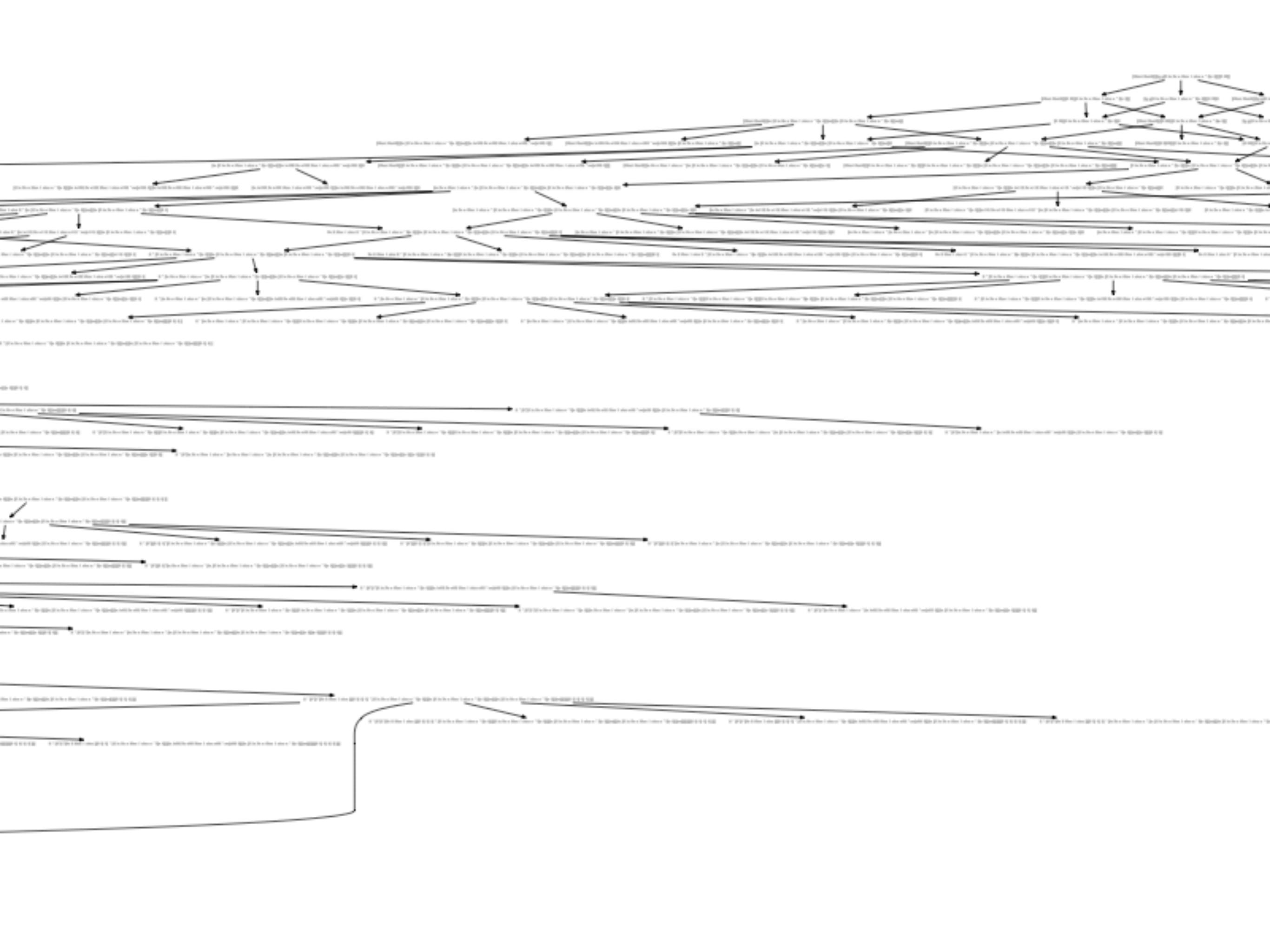
# The lambda-calculus

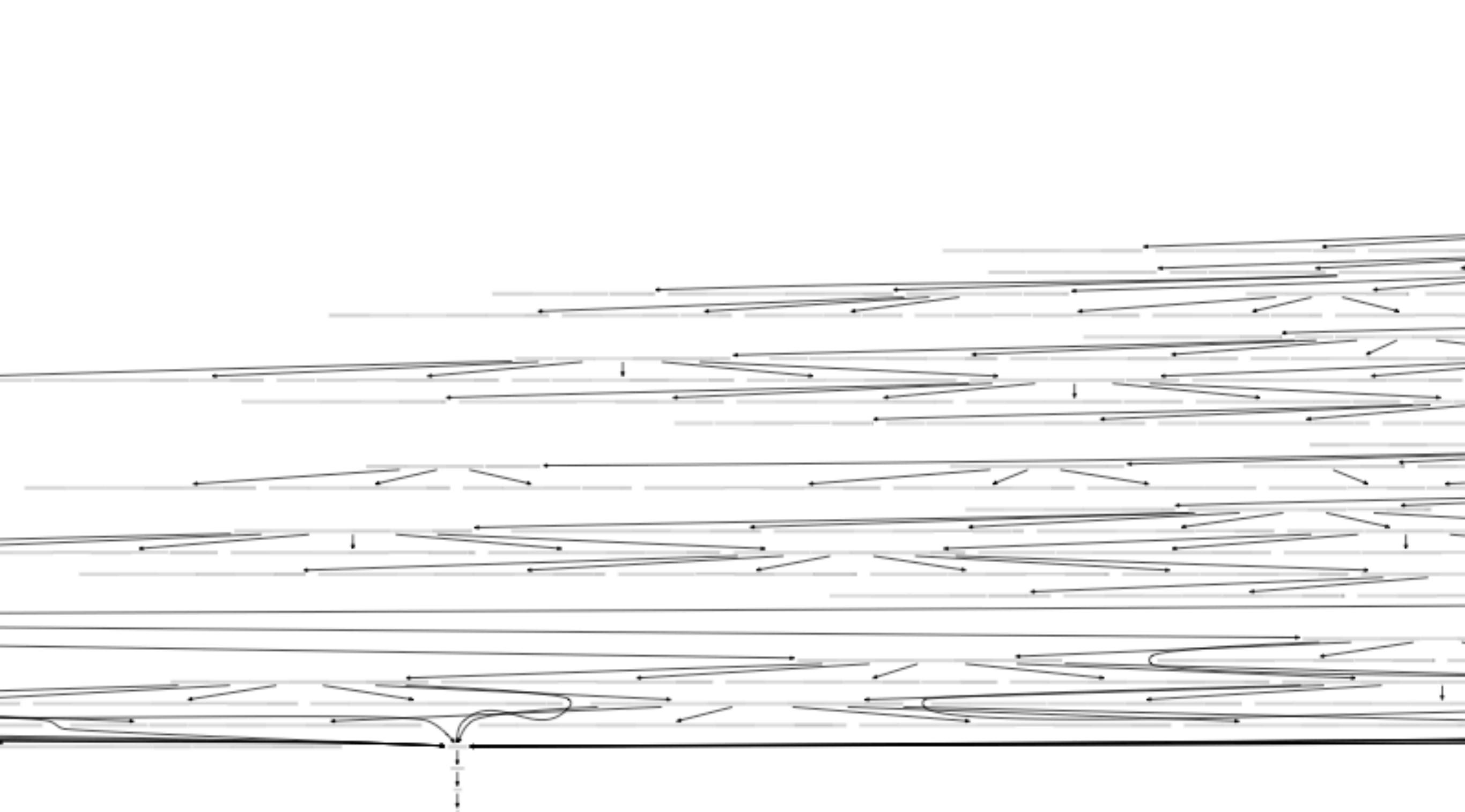
- calculus of functions with **nested redexes** and **bound variables**

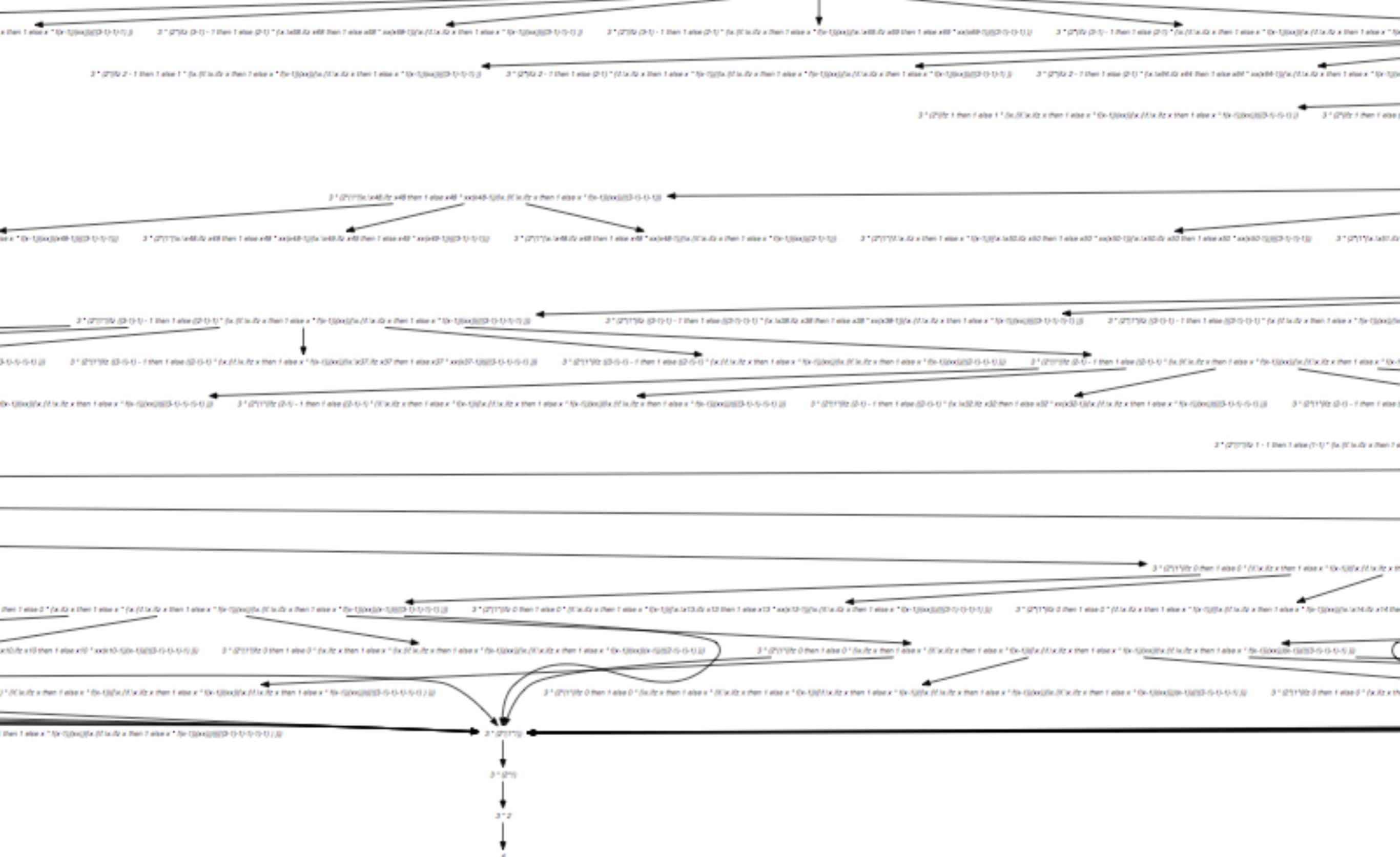


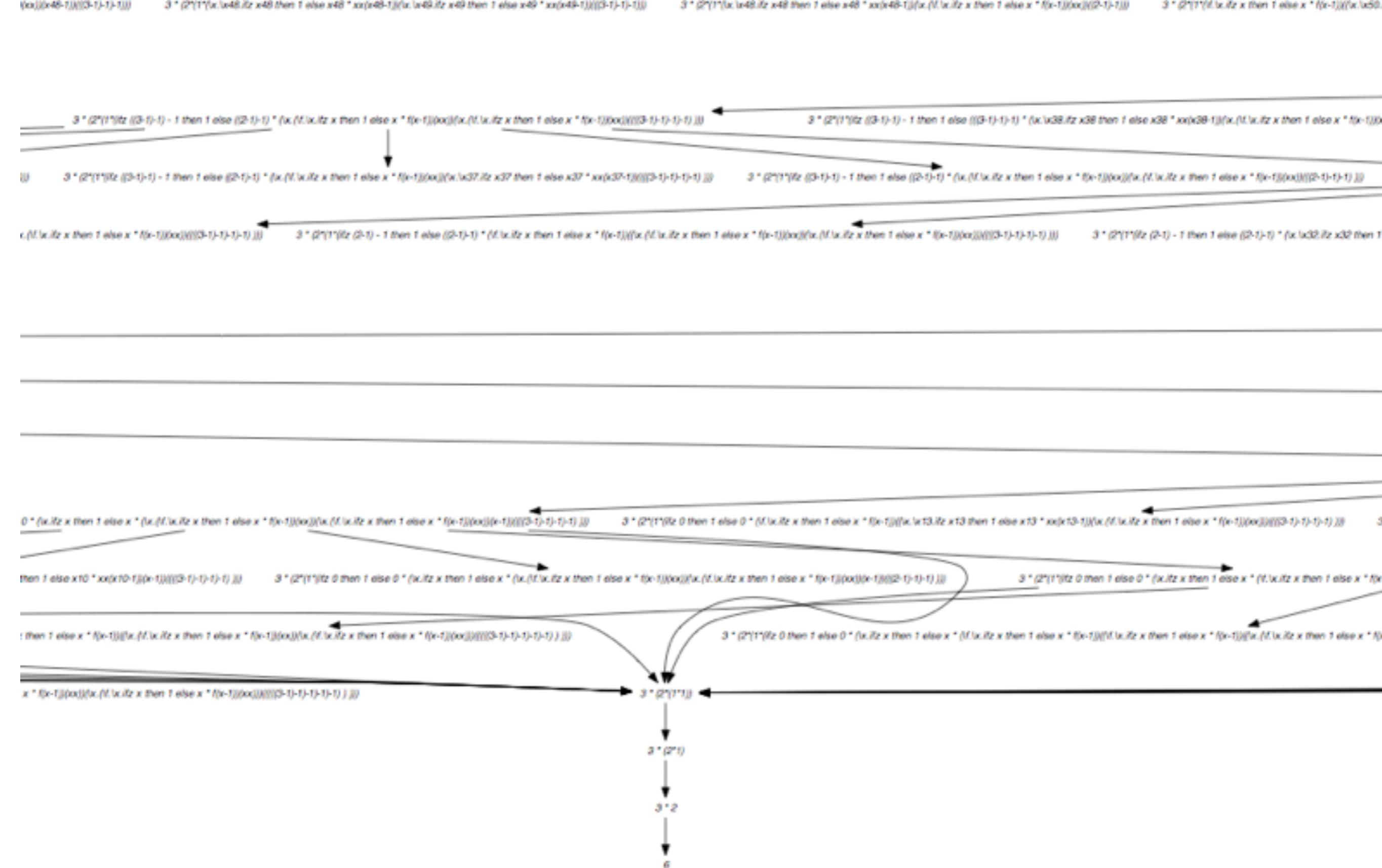
```
let app3 = (fun f => f 3) in
let succ = (fun x => x + 1) in
app3 succ
```

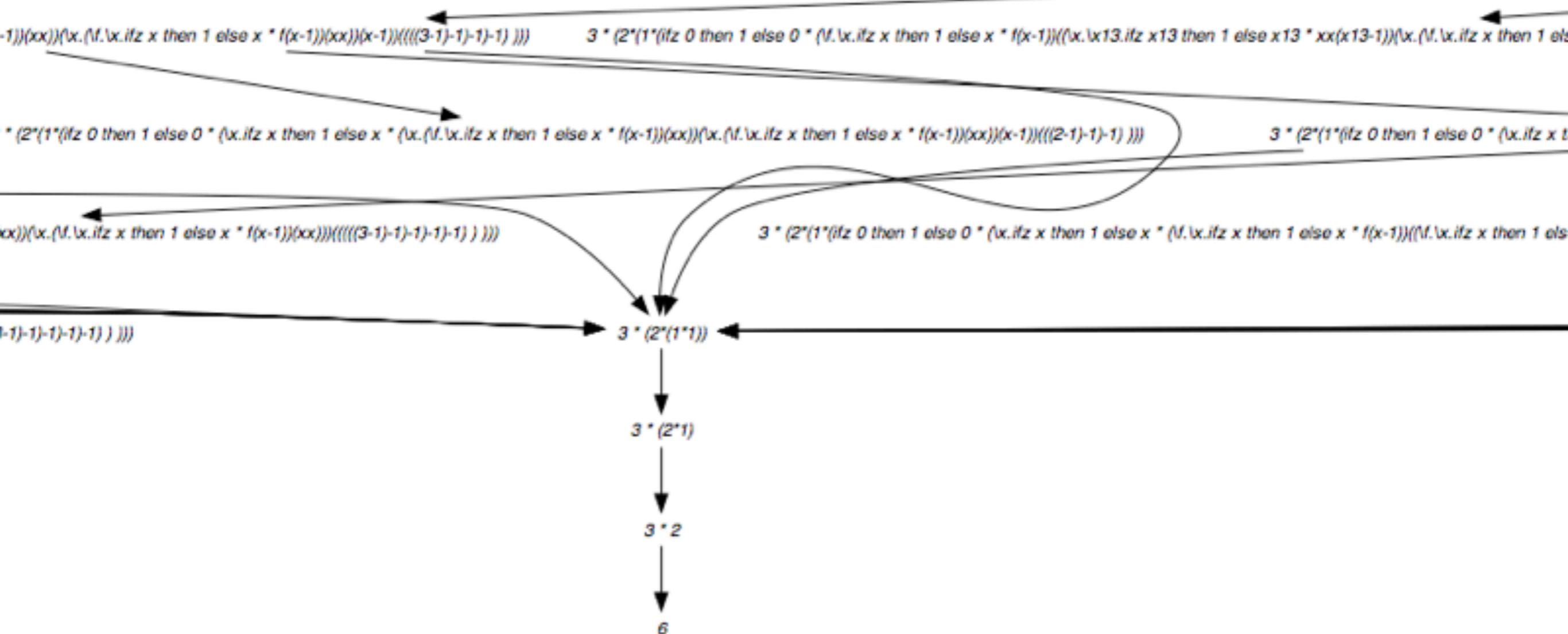
$$(\lambda \text{Fact}.\text{Fact3})(\lambda y.y(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))(\lambda f.Yf)$$
$$\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))$$
$$\lambda y.y(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))(\lambda f.Yf)3$$
$$(\lambda \text{Fact}.\text{Fact3})(\lambda y.y(\lambda f.\lambda x.$$
$$\text{then } 1 \text{ else } x * f(x-1))3$$
$$(\lambda \text{Fact}.\text{Fact3})(\lambda f.fYf)(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))$$
$$(\lambda y.y(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))(\lambda f.Yf)3$$
$$\text{then } 1 \text{ else } x * f(x-1))(xx)))$$
$$(\lambda \text{Fact}.\text{Fact3})(\lambda f.f(fYf))(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))$$
$$(\lambda f.fYf)(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))$$
$$\text{else } x * f(x-1))(xx))))$$
$$(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))((\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx)))(\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx)))$$











$\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1)(xx))(x-1))(((3-1)-1)-1)-1) ))))$

$3 * (2 * (1 * (\text{if} z \ 0 \text{ then } 1 \text{ else } 0 * (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_3. \text{if} z \ x_3 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_2. \text{if} z \ x_2 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_1. \text{if} z \ x_1 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1)))))))))))$

$\text{then } 1 \text{ else } 0 * (\lambda x. (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1)))))))))))$

$\text{z } x \text{ then } 1 \text{ else } x * f(x-1)(xx))))(((3-1)-1)-1)-1) ))))$

$3 * (2 * (1 * (\text{if} z \ 0 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_3. \text{if} z \ x_3 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_2. \text{if} z \ x_2 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_1. \text{if} z \ x_1 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1)))))))))))$

$3 * (2 * (1 * 1))$

$3 * (2 * 1)$

$3 * 2$

$6$

# Tracking redexes

- continuity of Bohm trees
- reversible computations
- flow analysis
- causality (event structures)
- incremental calculations (*makefile*)
- termination (strong normalization)
- reduction strategies (correctness, cost)
- and ...

*call-by-need*

# Initial motivation

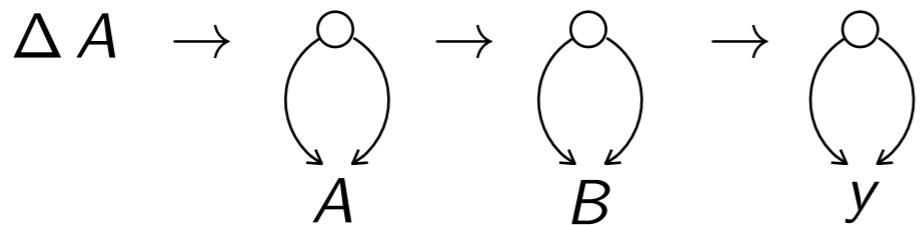
- **call-by-value** : evaluate arguments of functions before application [Ocaml]
- **call-by-name** : apply arguments to functions without evaluating them [Haskell]
- **call-by-need** : call-by-name with sharing to avoid duplications
- what is duplication ?
- duplication along reductions already performed
- so duplication should take care of history of reductions

# Initial motivation

- call-by-need in the  $\lambda$ -calculus ?
  - recursive program schemes with dag implementation [Vuillemin 1973]
  - attempts (non optimal) for the  $\lambda$ -calculus [Wadsworth 1972]
  - dag with 1st-order term-rewriting systems [Maranget 1992]
  - using explicit substitutions ? [Ariola et al 1995]
- problem with sharing of functions

# Duplication of redexes

- call-by-need is call-by-name with sharing to avoid duplications



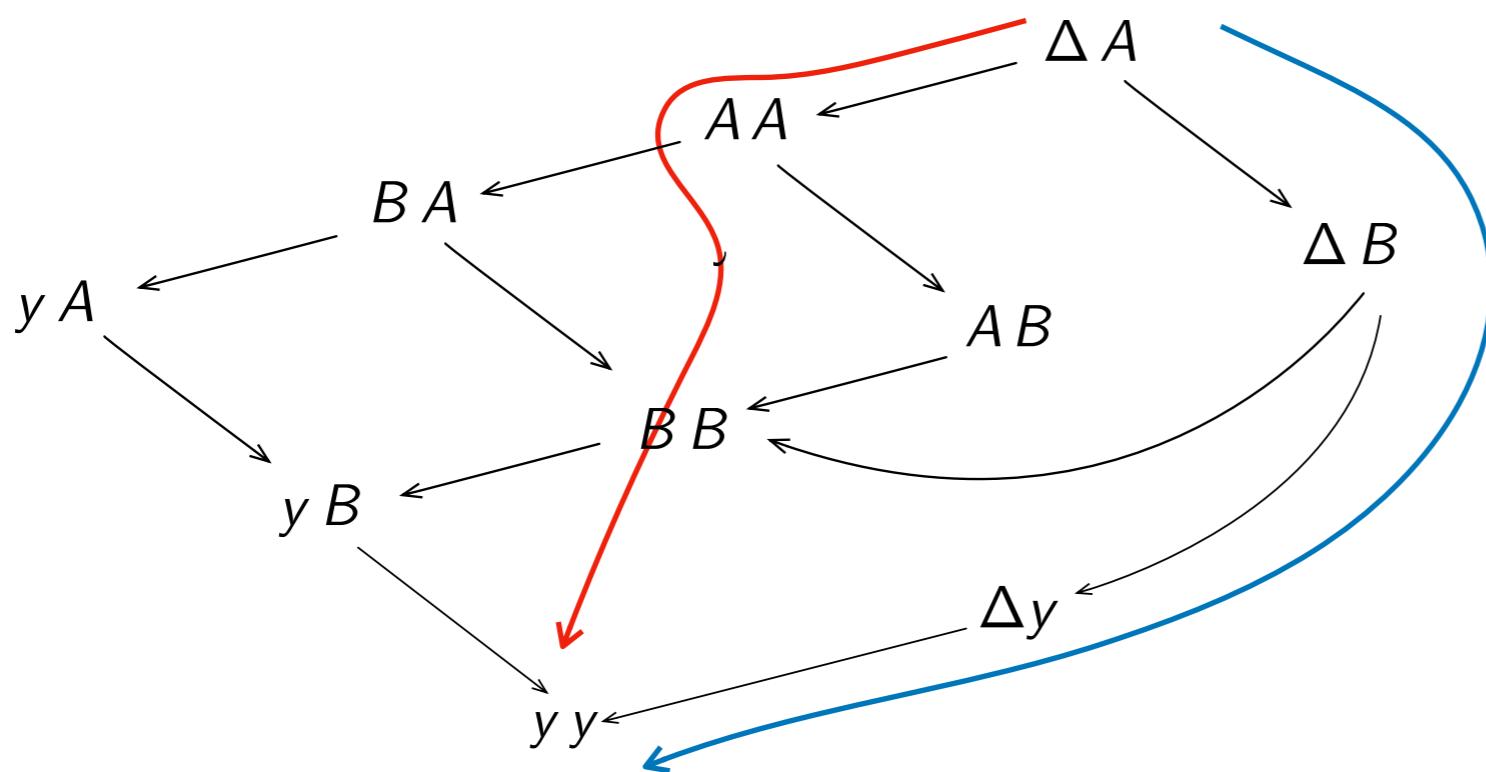
$$\Delta = \lambda x. x x$$

$$F = \lambda f. f y$$

$$I = \lambda x. x$$

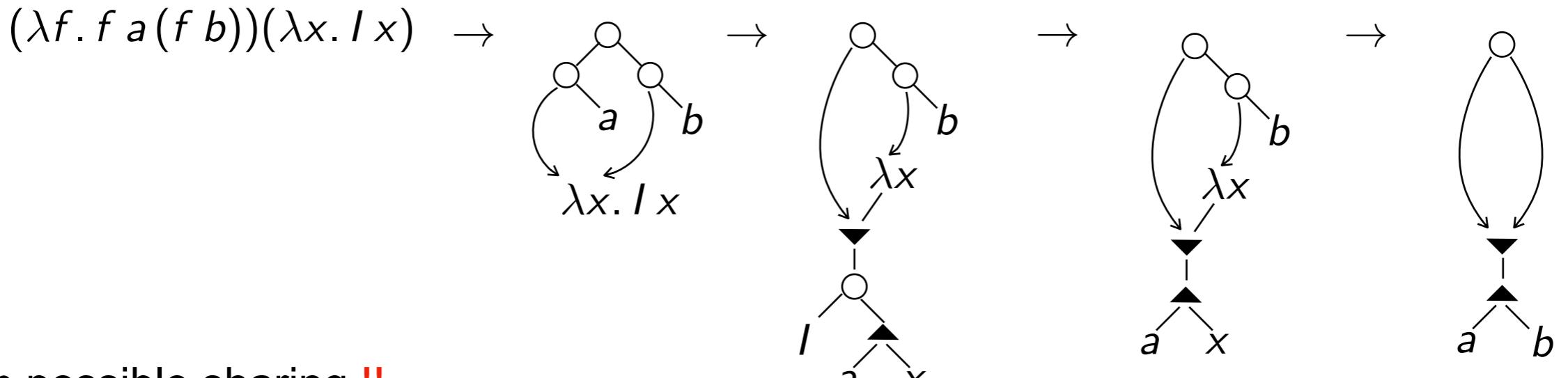
$$A = F I$$

$$B = I y$$

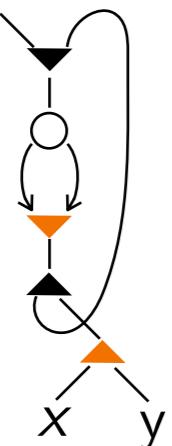


# Duplication of redexes

- sharing of  $\lambda$ -abstractions ?  $I = \lambda x. x$



- possible sharing !!



$(x y)(x y)$   
ou  
 $(x x)(y y)$  ??

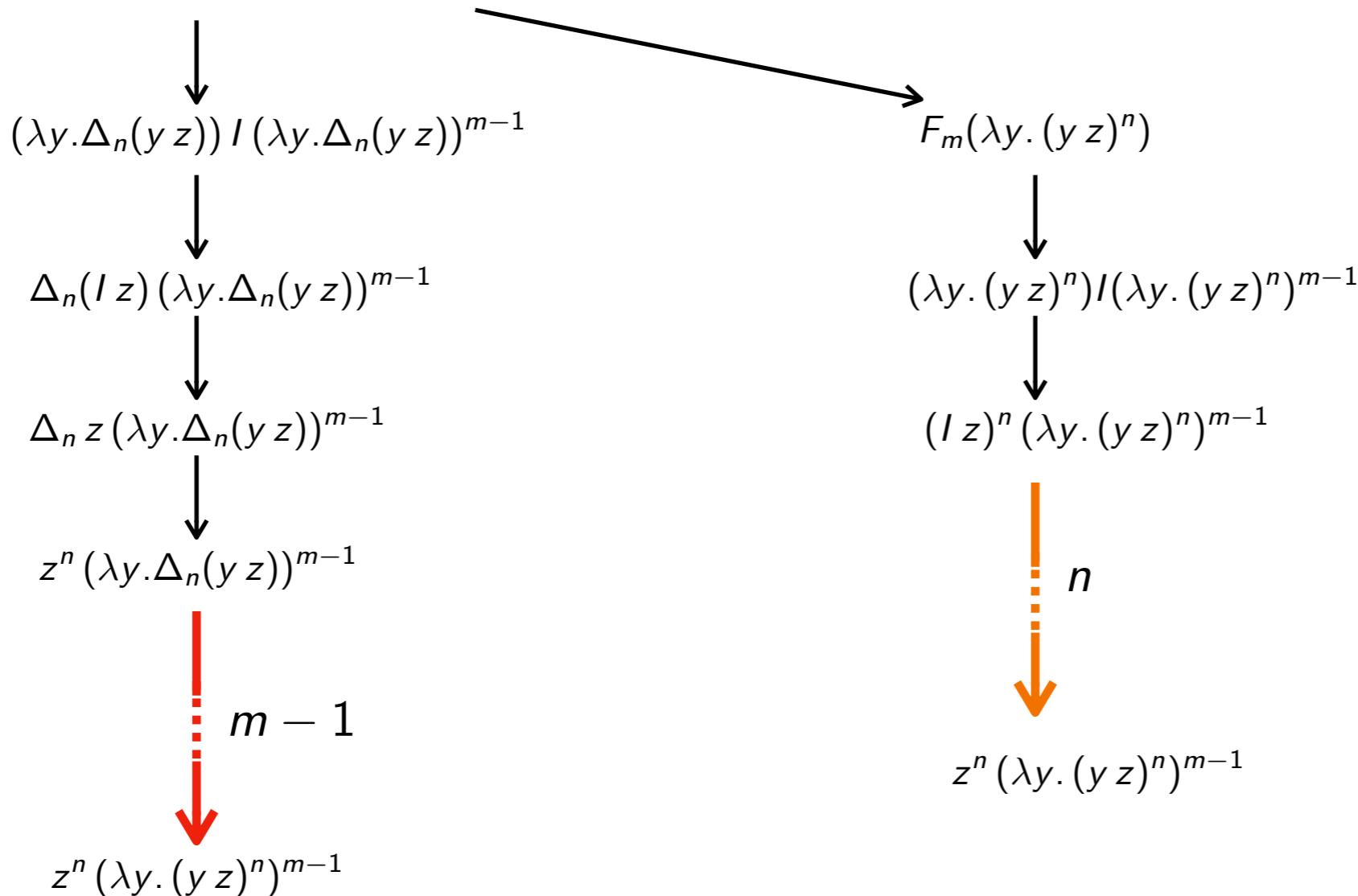
- sharing of contexts ? with boxes and tags ??

- back to easier theory ...

# Initial motivation (side remark)

- no single-redex reduction strategy can be optimal

**example**  $F_m(\lambda y. \Delta_n(y z))$  where  $F_m = \lambda x. x I x x \dots x$  and  $\Delta_n = \lambda x. x x \dots x$



reduction steps

and

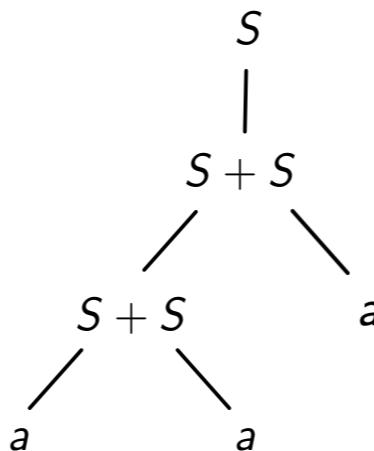
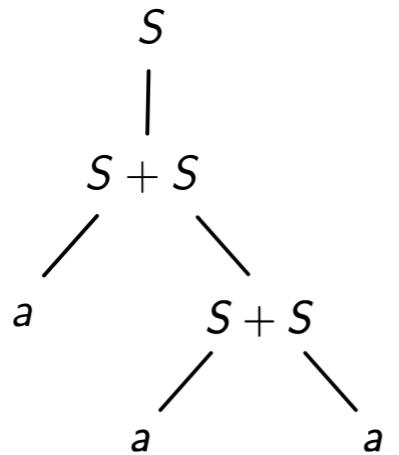
residuals

# Back to context-free languages

- ambiguous grammar

$$\begin{aligned}S &\rightarrow S + S \\S &\rightarrow a\end{aligned}$$

- 2 distinct parse trees for  $a + a + a$



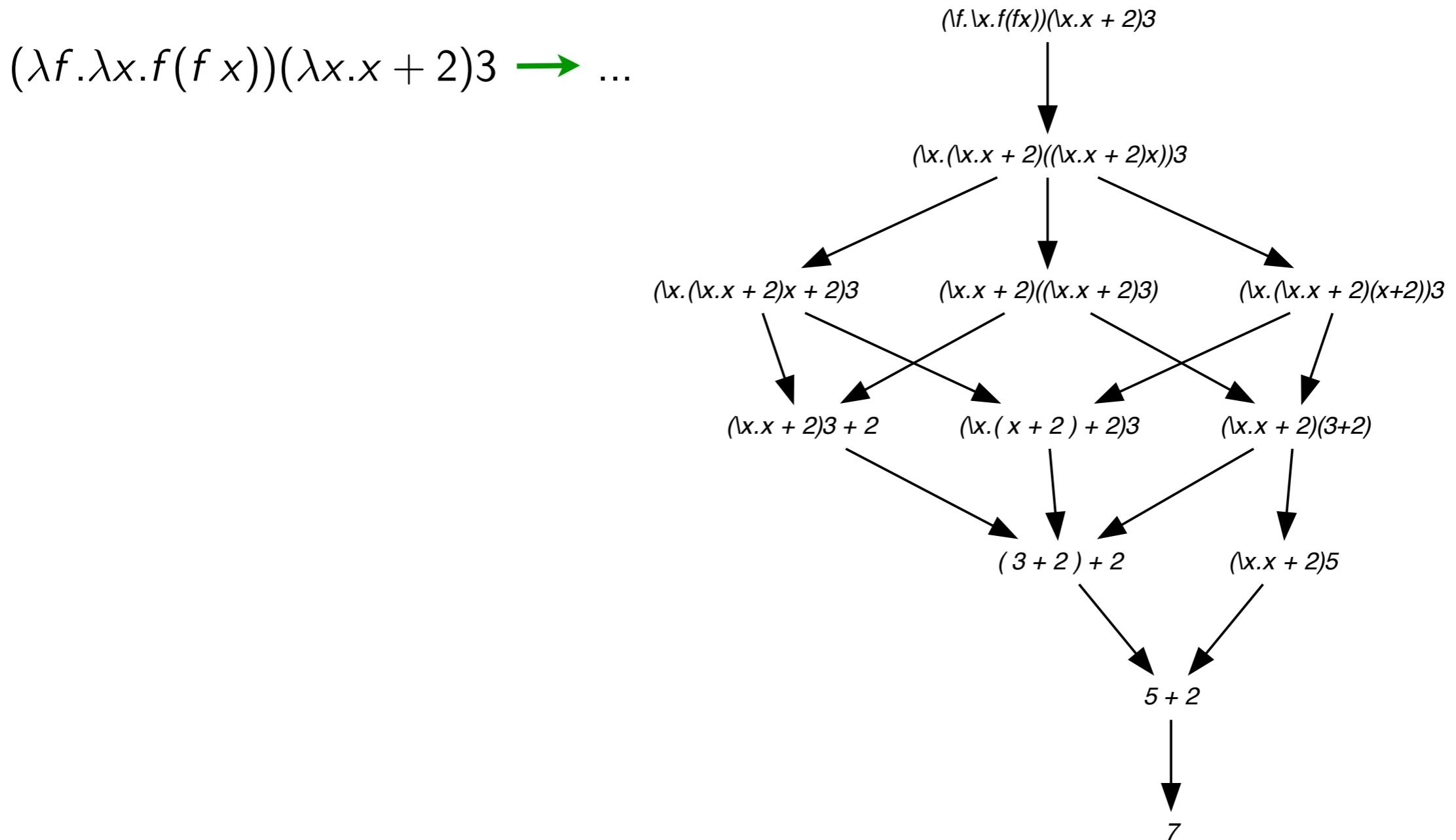
- a parse tree represents a **permutation equivalence** class w.r.t. to derivations

$\boxed{S} \rightarrow \boxed{S} + S \rightarrow a + \boxed{S} \rightarrow a + \boxed{S} + S \rightarrow a + a + \boxed{S} \rightarrow a + a + a$   
 $\boxed{S} \rightarrow \boxed{S} + S \rightarrow a + \boxed{S} \rightarrow a + S + \boxed{S} \rightarrow a + \boxed{S} + a \rightarrow a + a + a$   
 $\boxed{S} \rightarrow S + \boxed{S} \rightarrow \boxed{S} + S + S \rightarrow a + \boxed{S} + S \rightarrow a + a + \boxed{S} \rightarrow a + a + a$   
 $\boxed{S} \rightarrow S + \boxed{S} \rightarrow S + \boxed{S} + S \rightarrow \boxed{S} + a + S \rightarrow a + a + \boxed{S} \rightarrow a + a + a$   
...

- only 1 leftmost derivation per parse tree

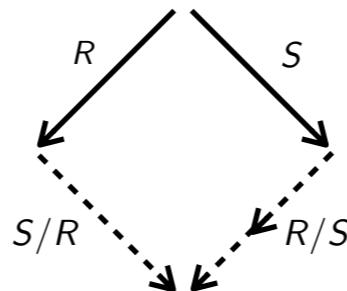
# The lambda-calculus

- many permutations of redex contractions



# The lambda-calculus

- **local confluence**



- and let  $R$  and  $S$  be two occurrences of redexes in a given term.

Then the **residuals** of  $R$  by  $S$  is the set  $R/S$  of occurrences of (disjoint) redexes which remain of  $R$  when  $S$  is contracted.

- residuals of (underlined) redexes

$$\underline{(\lambda x)(\lambda x)} \rightarrow \underline{(\lambda x)}x$$

$$\Delta \underline{(\lambda x)} \rightarrow \underline{(\lambda x)} (\lambda x)$$

$$\underline{\Delta(\lambda x)} \rightarrow \underline{(\Delta x)}$$

$$\lambda x \underline{(\lambda x)} \rightarrow x \underline{(\lambda x)}$$

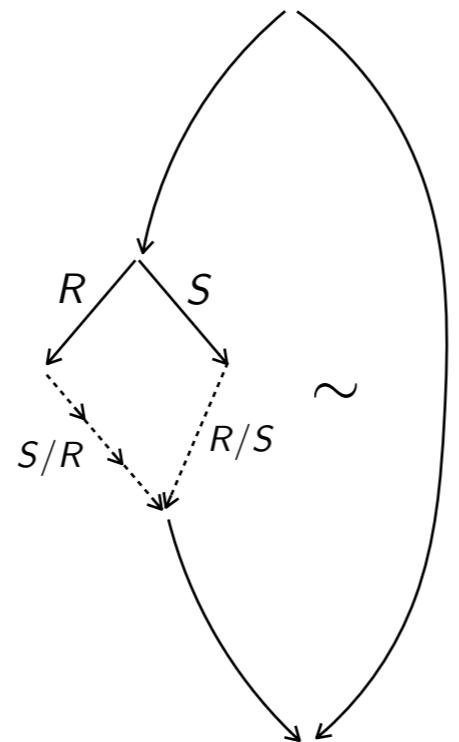
$$(\lambda x.\lambda x \underline{(\lambda x)})y \rightarrow \lambda y \underline{(ly)}$$

$$\underline{(\lambda x)} \rightarrow x$$

where  $\Delta = \lambda x.x x$ ,  $\lambda = \lambda x.x$

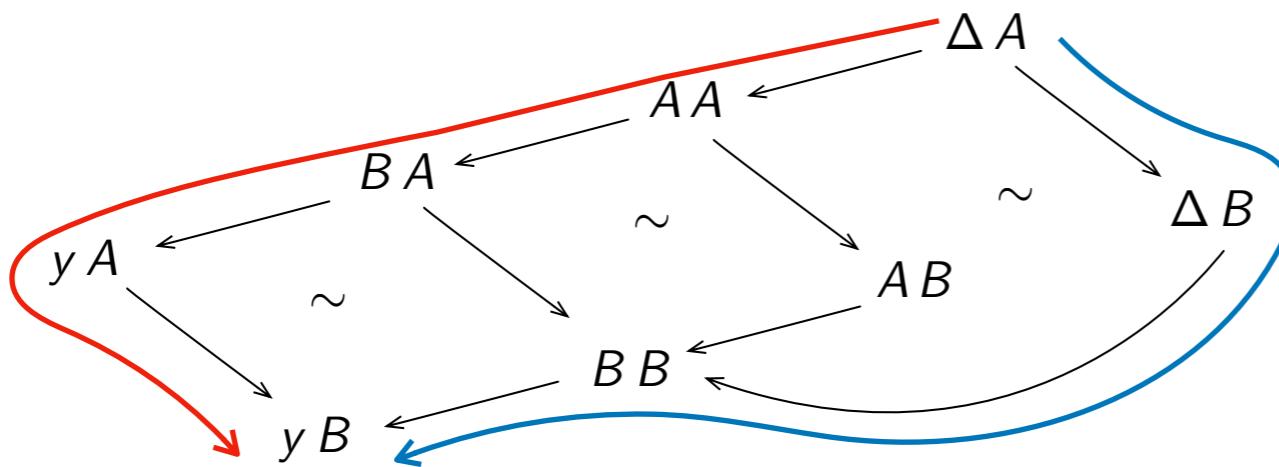
# Equivalence by permutations

- 2 reductions are permutation equivalent by iterating the local confluence diagram



# Equivalence by permutations

- example



$$\Delta = \lambda x. x x$$

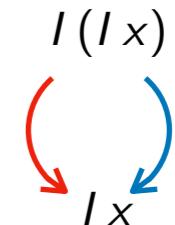
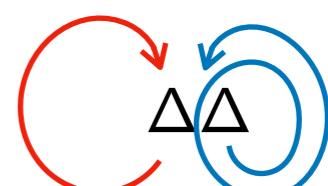
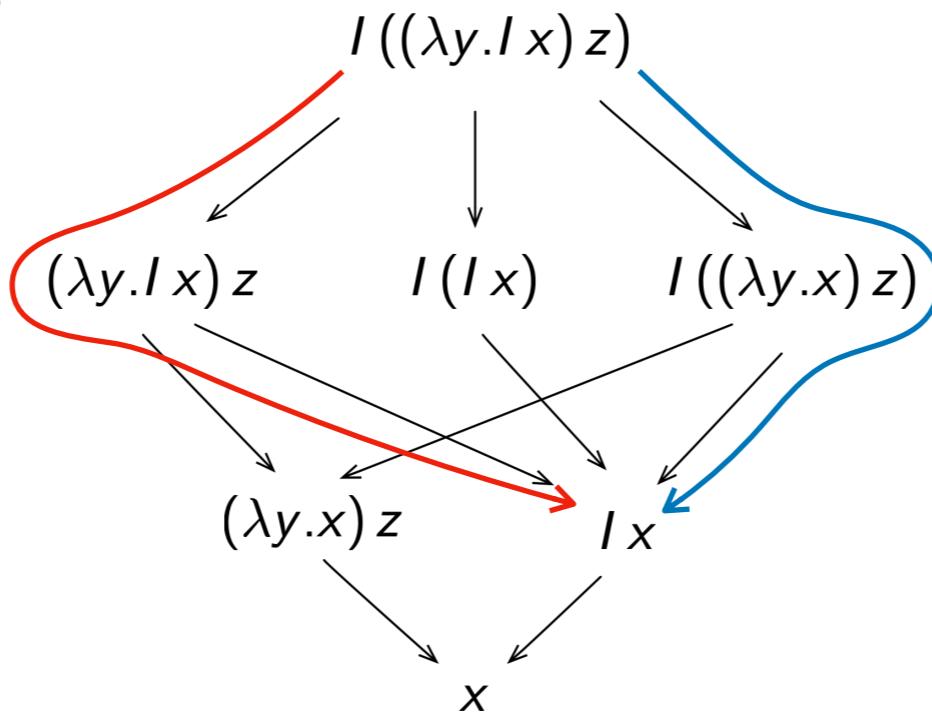
$$F = \lambda f. f y$$

$$I = \lambda x. x$$

$$A = F I$$

$$B = I y$$

- counter-examples

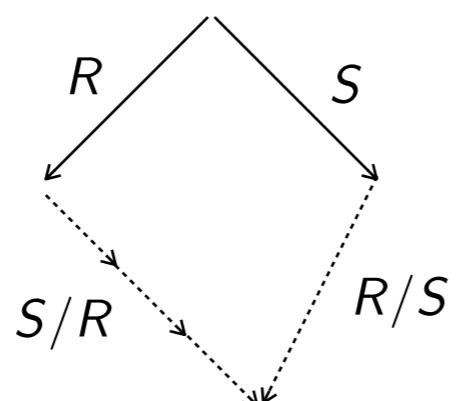


permutation

equivalence

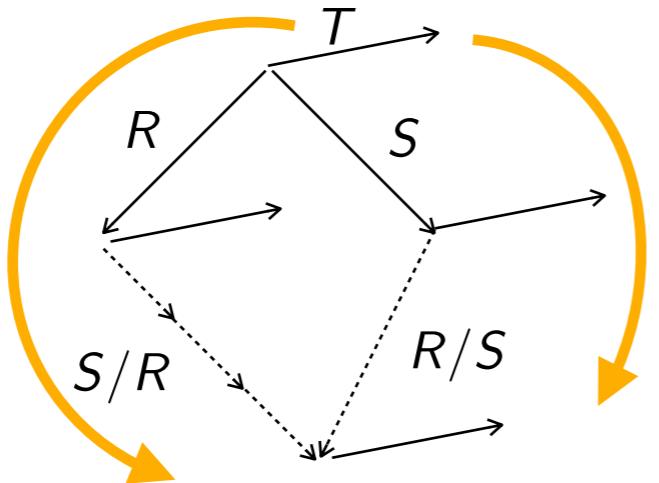
# Permutation equivalence

- single-redex reduction steps  $M \xrightarrow{R} N$
- residuals  $S/R$  of another redex  $S$  in  $M$  are **disjoint** redexes
  - let  $\mathcal{F}$  be a set of disjoint redexes
  - write  $\rho : \mathcal{F}$  for any single-redex reduction  $\rho$  of redexes of  $\mathcal{F}$  in any order
  - these reductions are all cofinal (end on a same term)
- single-redex reductions are locally confluent



# Permutation equivalence

- moreover, let  $T$  be another redex in  $M$
- residuals of  $T$  on both sides of the permutation are the **same**



$$T/(R \sqcup S) = T/(S \sqcup R)$$

the minicube lemma

$$T/(R ; (S/R)) = T/(S ; (R/S))$$

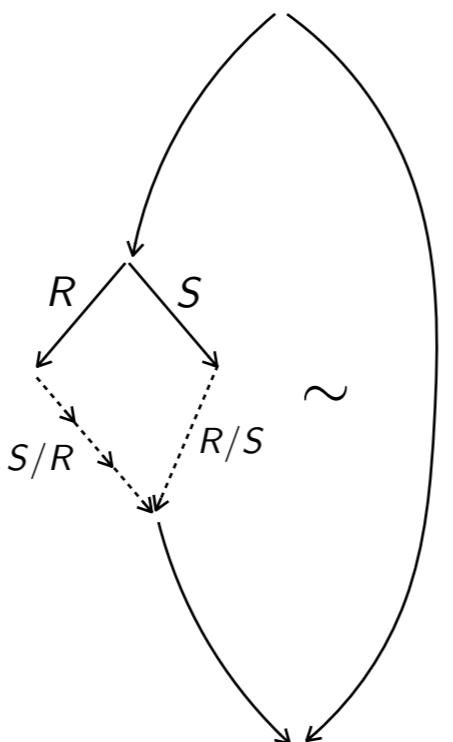
# Permutation equivalence

- definition with permutations

$\sim$  is the smallest equivalence relation such that:

$$(i) \quad R \sqcup S \sim S \sqcup R$$

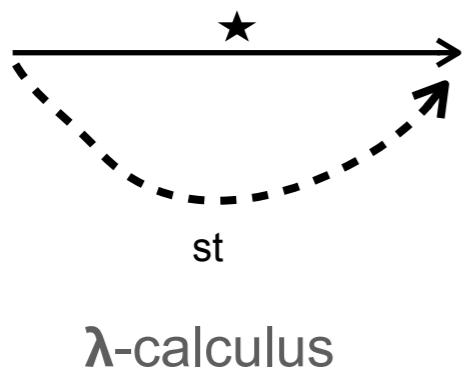
$$(ii) \quad \rho \sim \sigma \implies \tau; \rho; v \sim \tau; \sigma; v$$



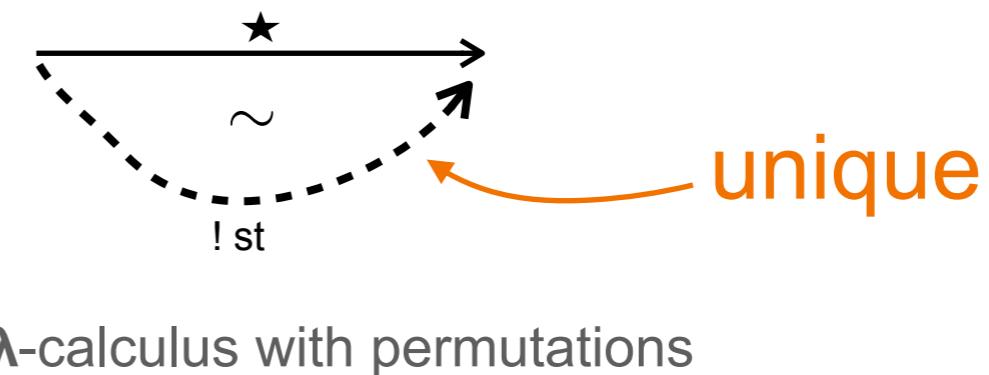
aka parse trees  
for context-free  
languages

# Permutation equivalence

- a **standard** reduction is an outside-in left-to-right reduction strategy
- any reduction is equivalent by permutations to a unique standard reduction



$\lambda$ -calculus



$\lambda$ -calculus with permutations

- standard reductions are canonical representatives in equivalence classes

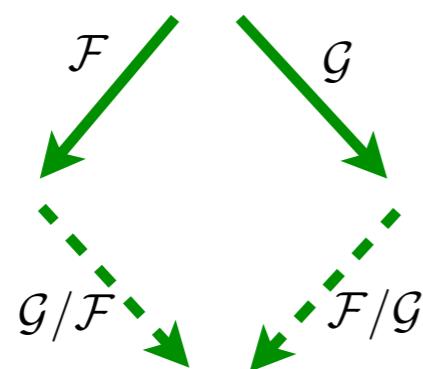
# Finite developments

- parallel reduction steps  $M \xrightarrow{\mathcal{F}} N$  where  $\mathcal{F}$  is a set of redexes in a given term

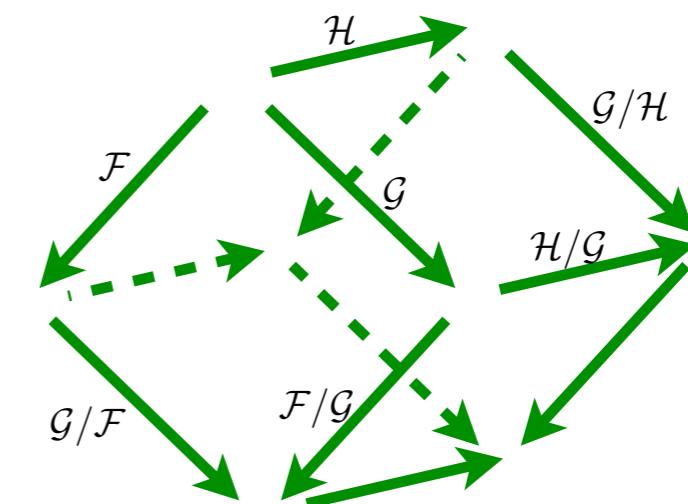
**finite development thm** [Curry] let  $\mathcal{F}$  be a set of redexes in a term  $M$

- all reductions contracting only residuals of  $\mathcal{F}$  have **finite** length
- these reductions are all **cofinal** (end on a same term  $N$ )
- these maximal reductions are named developments of  $\mathcal{F}$
- write  $\rho : M \xrightarrow{\mathcal{F}} N$  or simply  $\rho : \mathcal{F}$  for any development  $\rho$  of  $\mathcal{F}$
- residuals of any redex  $R$  in initial term are the **same** for all developments of  $\mathcal{F}$

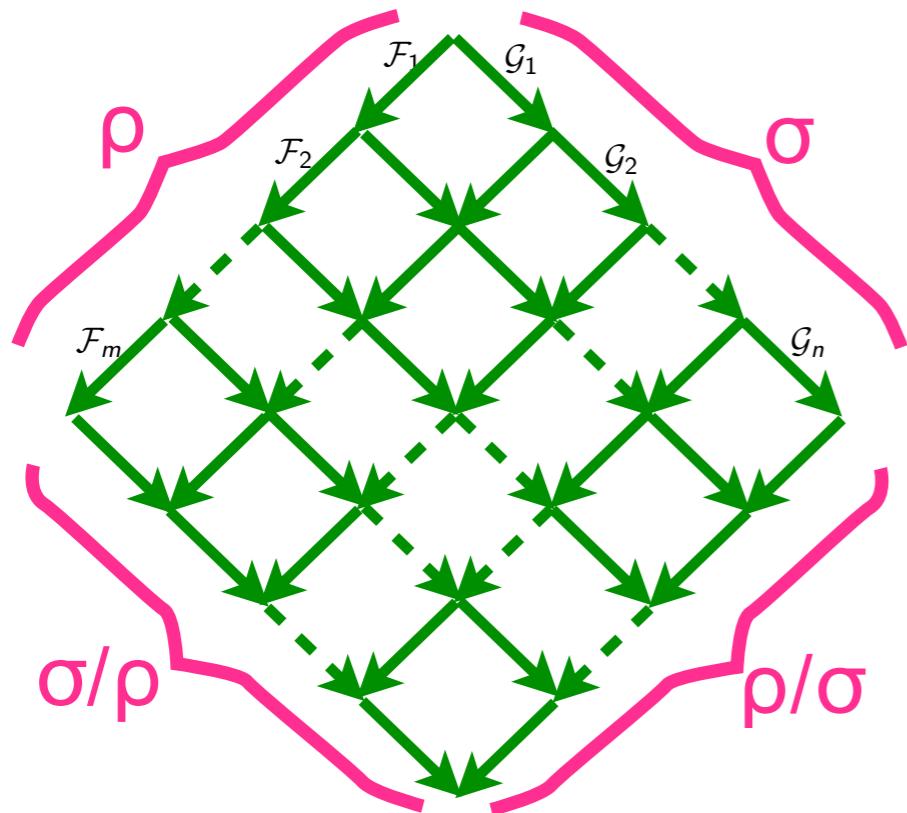
**corollary: parallel moves** [Curry]



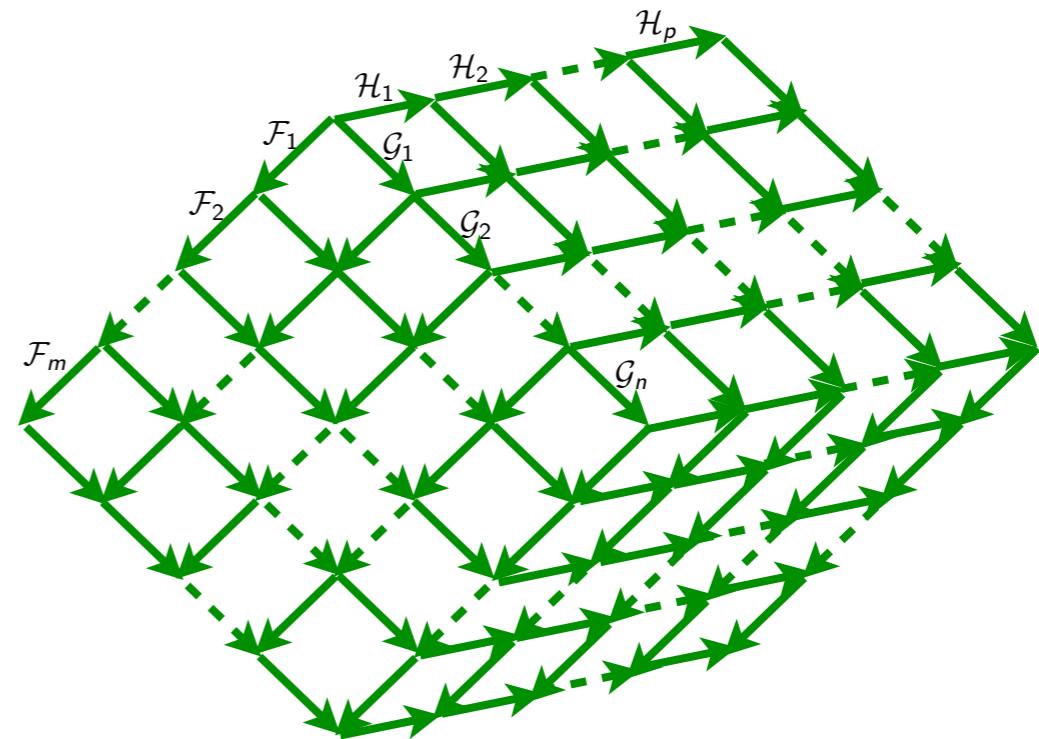
**cube lemma**



# Residual of reductions



**parallel moves +**  
 $\rho \sqcup \sigma$  and  $\sigma \sqcup \rho$  are cofinal

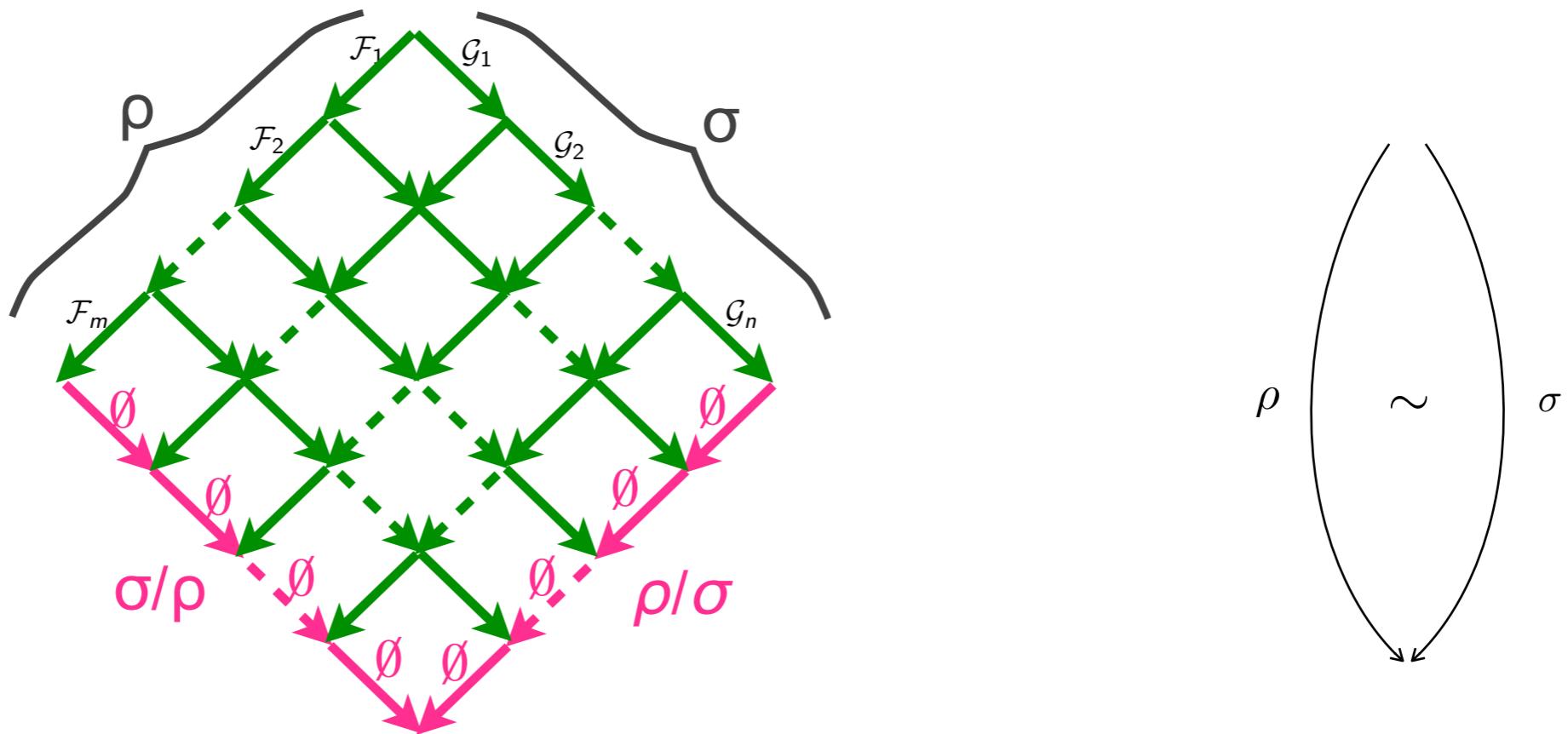


**cube lemma +**  
 $\tau / (\rho \sqcup \sigma) = \tau / (\sigma \sqcup \rho)$

# Permutation equivalence

**permutation equivalence (revisited)** Let  $\rho$  and  $\sigma$  be coinitial reductions.

Then  $\rho \sim \sigma$  iff  $\rho/\sigma = \emptyset^m$  and  $\sigma/\rho = \emptyset^n$

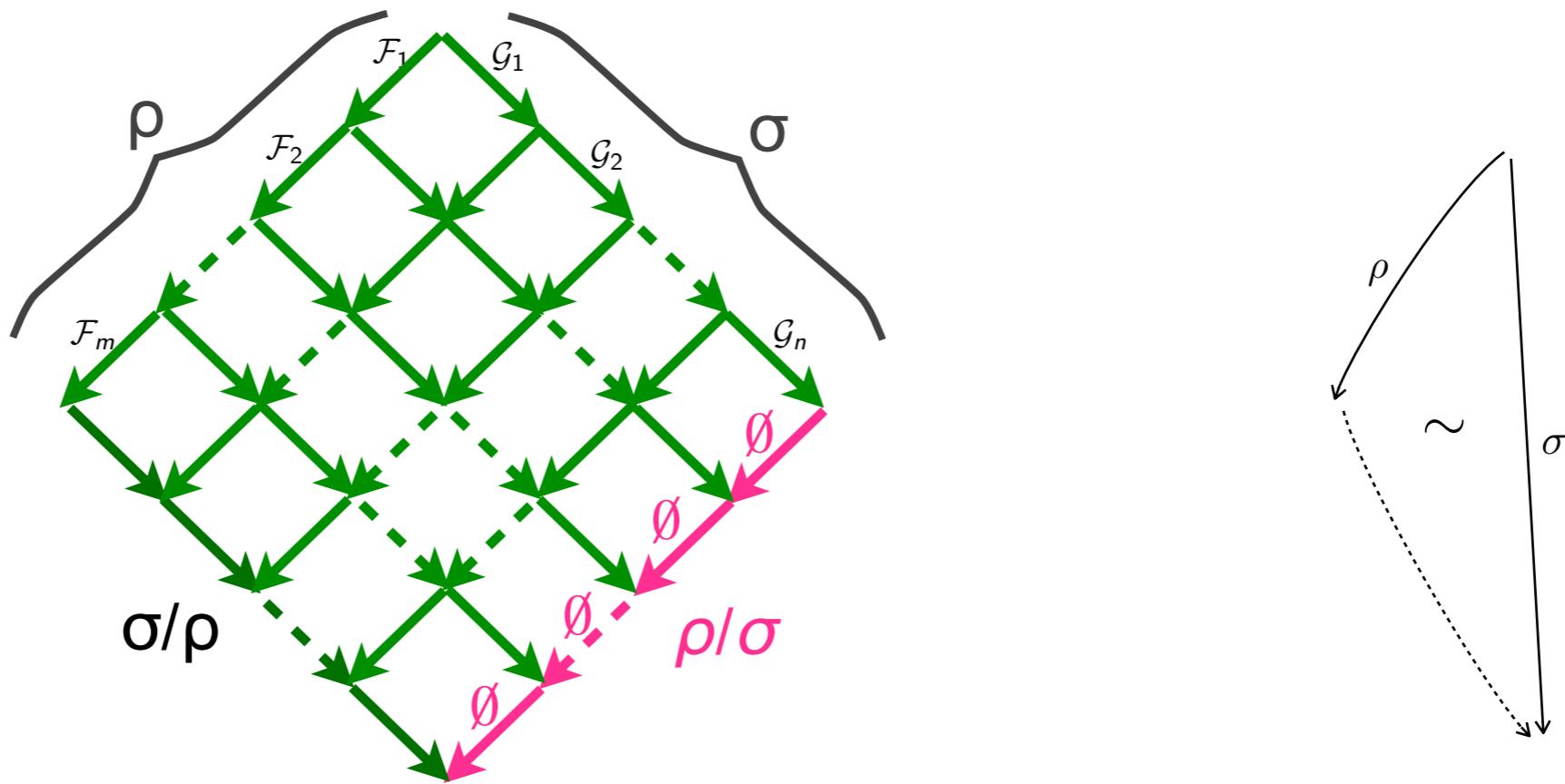


**exercise** Test previous example and counterexamples for permutation equivalence

# Prefix modulo permutations

**prefix modulo permutations** Let  $\rho$  and  $\sigma$  be coinitial reductions.

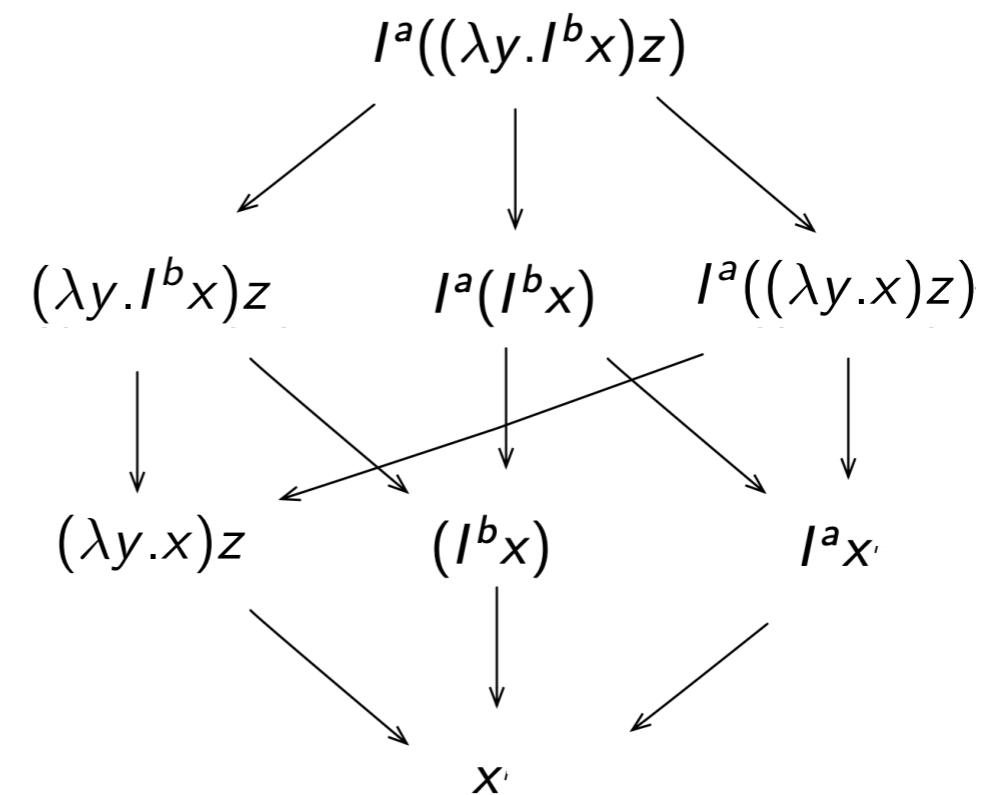
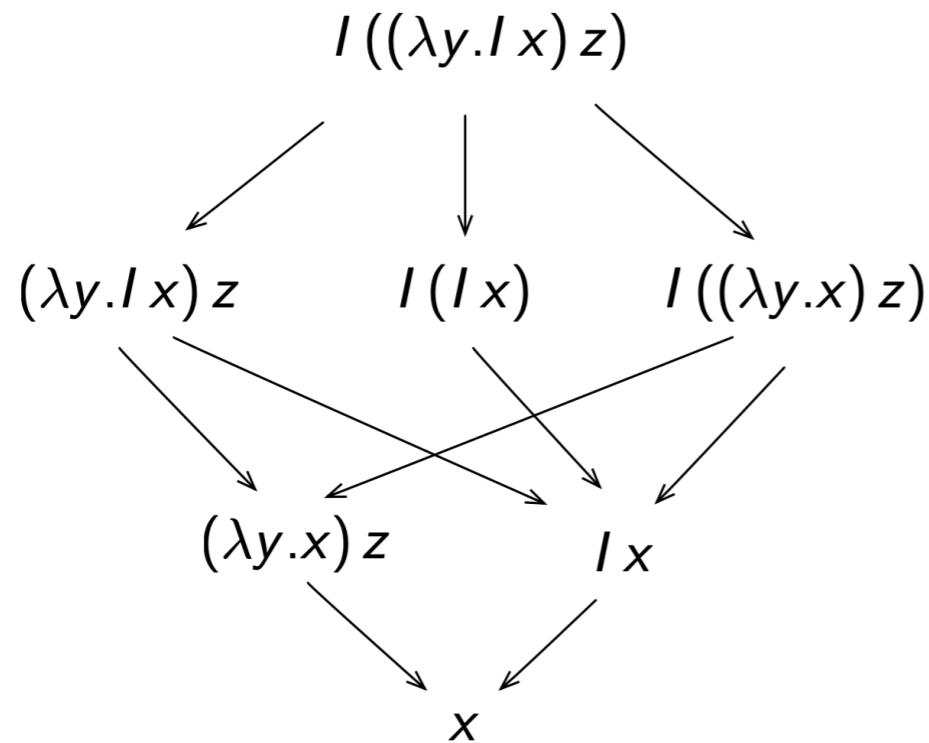
Then  $\rho \leq \sigma$  iff  $\rho/\sigma = \emptyset^m$



**exercise** Prove  $\rho \leq \sigma$  iff  $\exists \tau, \rho ; \tau \sim \sigma$

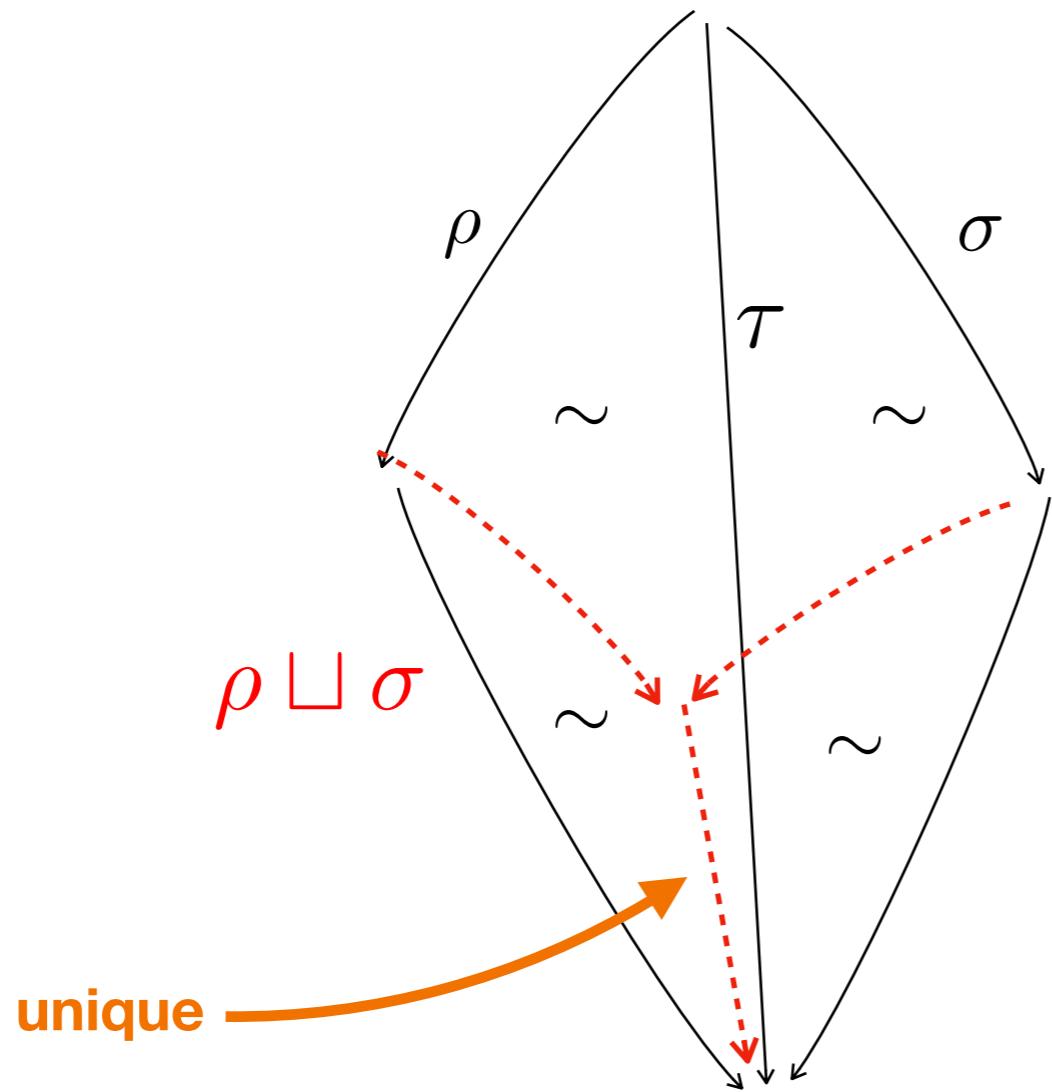
# Prefix modulo permutations

## lattice of prefix modulo permutations



# Prefix modulo permutations

## lattice of prefix modulo permutations



# Easy lemmas

## properties of permutations

$$(i) \quad \rho \sim \sigma \iff \forall \tau. \tau/\rho = \tau/\sigma$$

$$(ii) \quad \rho \sim \sigma \iff \rho/\tau \sim \sigma/\tau$$

$$(iii) \quad \rho \sim \sigma \iff \tau; \rho \sim \tau; \sigma$$

$$(iv) \quad \rho \sim \sigma \iff \rho; \tau \sim \sigma; \tau$$

$$(v) \quad \rho \sqcup \sigma \sim \sigma \sqcup \rho$$

## proof

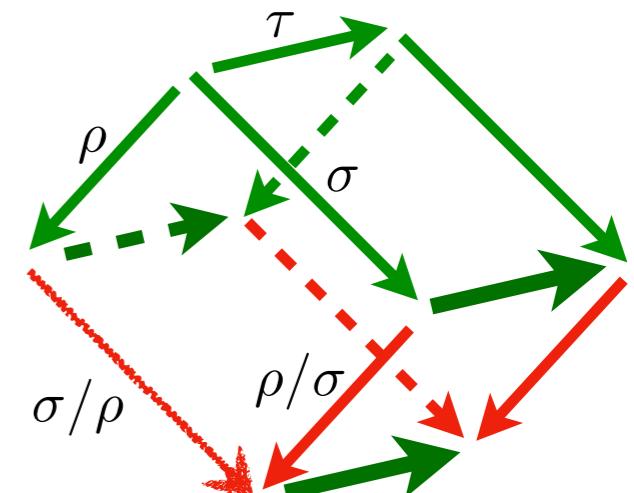
$$(i) \quad \rho \sim \sigma \implies \sigma/\rho = \emptyset^n \text{ and } \rho/\sigma = \emptyset^m$$

Thus  $\tau/(\rho \sqcup \sigma) = \tau/(\rho; (\sigma/\rho)) = \tau/\rho/(\sigma/\rho) = \tau/\rho/\emptyset^n = \tau/\rho$

Similarly  $\tau/(\sigma \sqcup \tau) = \tau/\sigma$

By cube lemma  $\tau/\rho = \tau/\sigma$

Conversely, take  $\tau = \rho$  and  $\tau = \sigma$



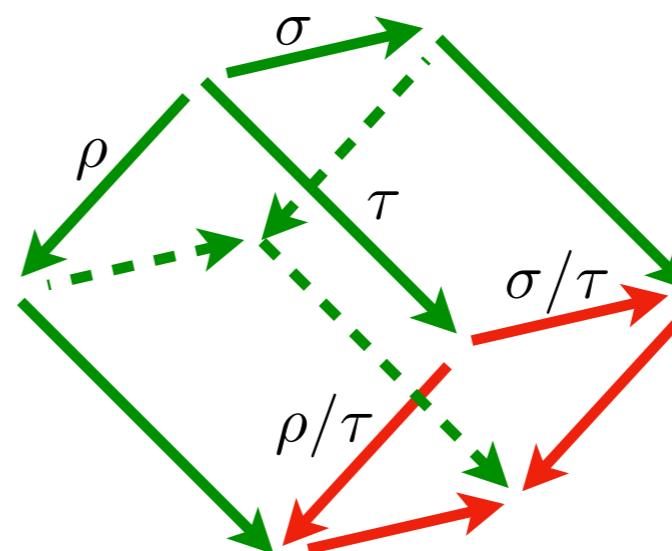
# Easy lemmas

## properties of prefixes modulo permutations

- (i)  $\rho \leq \sigma \leq \rho \iff \rho \sim \sigma$
- (ii)  $\rho \leq \sigma \leq \tau \implies \rho \leq \tau$
- (iii)  $\rho \leq \rho \sqcup \sigma$  and  $\sigma \leq \rho \sqcup \sigma$
- (iv)  $\rho \leq \tau$  and  $\sigma \leq \tau \implies \rho \sqcup \sigma \leq \tau$
- (v)  $\rho \leq \sigma \iff \tau ; \rho \leq \tau ; \sigma$

## proof

$$\rho \leq \tau \text{ and } \sigma \leq \tau \implies \rho \sqcup \sigma \leq \tau$$



history: the terminology "equivalence by permutations" is due to [Gérard Berry]  
[my initial definition was with residual of reductions]

redex and  
history

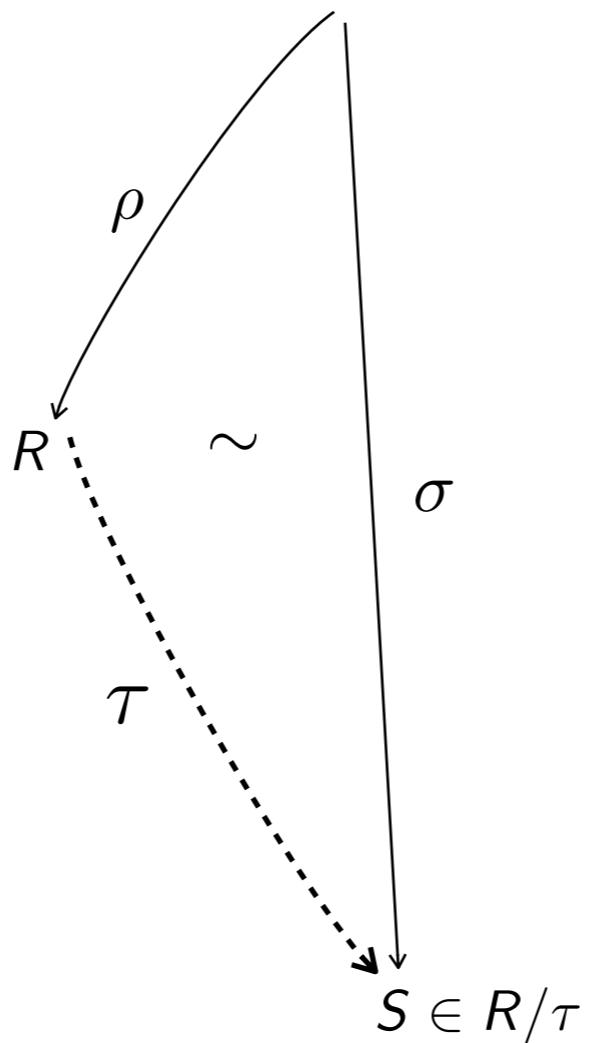
# Initial motivation (bis)

- call-by-need is call-by-name with sharing to avoid duplications
- what is duplication ?
- duplication along reductions already performed
- so duplication should take care of history of reductions

# Redex & history

**h-redex (definition)**  $\langle \rho, R \rangle$  when  $R$  is a redex in final term of  $\rho$

**residual of h-redex**  $\langle \rho, R \rangle \lesssim \langle \sigma, S \rangle$  if there is  $\tau$  such that  $\rho; \tau \sim \sigma \wedge S \in R/\tau$



# Residuals modulo permutations

- properties of residuals of h-redexes

$$(i) \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \iff \rho \leq \sigma \wedge S \in R/(\sigma/\rho)$$

$$(ii) \quad \langle \rho, R \rangle \lesssim \langle \rho, R \rangle$$

$$(iii) \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \lesssim \langle \rho, R \rangle \iff \rho \sim \sigma \wedge R = S$$

consistency with  
permutation equivalence

$$(iv) \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \lesssim \langle \tau, T \rangle \implies \langle \rho, R \rangle \lesssim \langle \tau, T \rangle$$

$$(v) \quad \langle \rho, R \rangle \lesssim \langle \tau, T \rangle \wedge \rho \leq \sigma \leq \tau \implies \exists! S, \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \lesssim \langle \tau, T \rangle$$

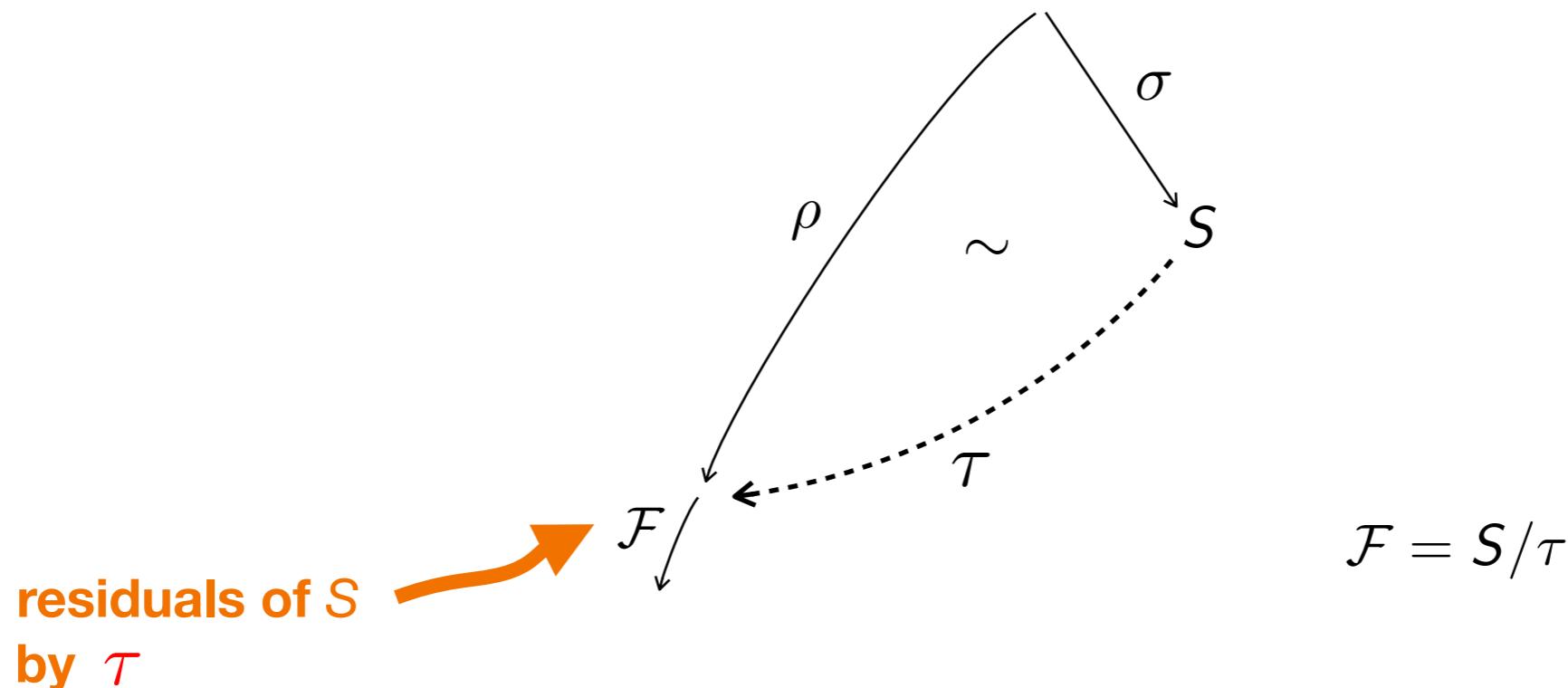
interpolation

$$(vi) \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \iff \langle \tau; \rho, R \rangle \lesssim \langle \tau; \sigma, S \rangle$$

- residuals of h-redexes are consistent with permutation equivalence

# Duplication complete reductions

- a reduction step  $\xrightarrow{\mathcal{F}}$  is duplication complete if  $\mathcal{F}$  is a maximal set of h-redexes residuals of a single h-redex



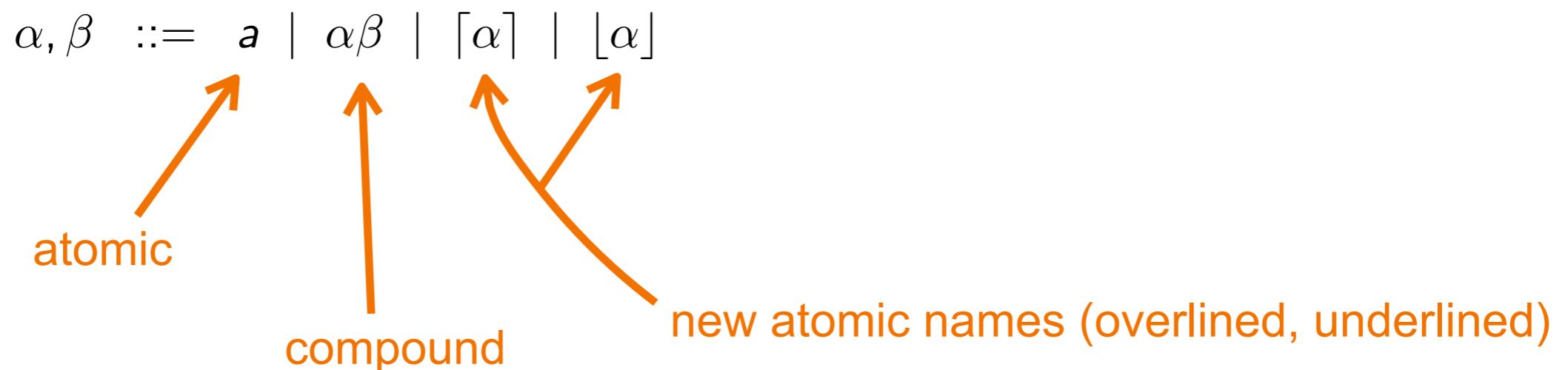
**Goal [optimality thm]** leftmost-outermost d-complete reductions are optimal in their number of reduction steps

- but how to find the  $\langle \sigma, S \rangle$  h-redex ?

*labeled*  
*calculus*

# The labeled $\lambda$ -calculus

- labels over alphabet  $\mathcal{A} = \{a, b, c, \dots\}$



- labeled  $\lambda$ -calculus

$$M, N, \dots ::= x \mid MN \mid \lambda x. M \mid M^\alpha$$

$$(\lambda x. M)^\alpha N \rightarrow M\{x := N^{\lfloor\alpha\rfloor}\}^{\lceil\alpha\rceil}$$

$$M^\alpha\{x := N\} = M\{x := N\}^\alpha$$

$$(M^\alpha)^\beta = M^{\alpha\beta}$$

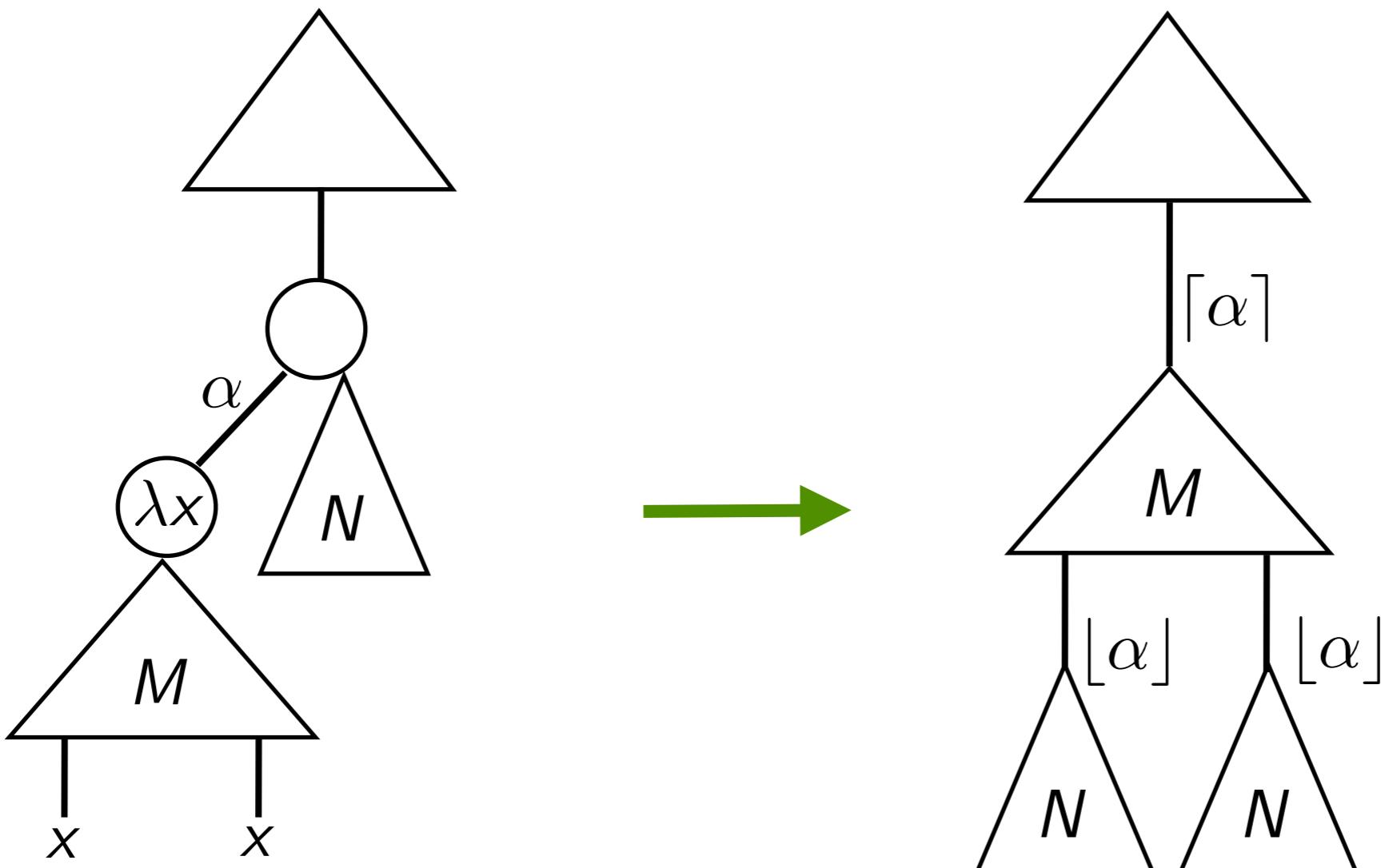
# The labeled $\lambda$ -calculus

- again an alphabet of atomic labels  $\mathcal{A} = \{a, b, c, \dots\}$
- their labeled  $\lambda$ -calculus [Asperti-Laneve]

$$M, N, \dots ::= x \mid MN \mid \lambda x. M \mid a : M$$
$$(a_1 : a_2 : \dots : a_n : \lambda x. M)N \rightarrow a_1 : a_2 : \dots : a_n : M\{x := a_n : a_{n-1} : \dots : a_1 : N\}$$
$$(a : M)\{x := N\} = a : M\{x := N\}$$

- correspondence with paths in initial term

# The labeled $\lambda$ -calculus



# The labeled $\lambda$ -calculus

- Let  $\Delta = \lambda x. xx$ ,  $\gamma_1 = \lfloor a \rfloor$ ,  $\gamma_2 = \gamma_1 \lfloor \gamma_1 \rfloor$   
$$\Delta^a \Delta \rightarrow (\Delta^{\gamma_1} \Delta^{\gamma_1})^{\lceil a \rceil} \rightarrow (\Delta^{\gamma_2} \Delta^{\gamma_2})^{\lceil \gamma_1 \rceil \lceil a \rceil} \rightarrow \dots$$
- the **name** of a redex be the label of its function part  
$$\text{name}( (\lambda x. M)^\alpha N ) = \alpha$$
- the name of a redex gives its **origin**
- residuals of a redex keep their names
- created new redexes strictly contain the names of their creators

# The labeled $\lambda$ -calculus

- An example:  $\underline{\Delta} = \lambda x.(x^c x^d)^b, \Delta = \lambda x.(x^g x^h)^f$

$$\Omega = \underline{\Delta}^a \Delta^e$$

$$\rightarrow \Omega_1 = (\Delta^{\gamma_1} \Delta^{\delta_1})^{b[a]}$$

$$\gamma_1 = e[a]c$$

$$\delta_1 = e[a]d$$

$$\rightarrow \Omega_2 = (\Delta^{\gamma_2} \Delta^{\delta_2})^{f[\gamma_1]b[a]}$$

$$\gamma_2 = \delta_1[\gamma_1]g$$

$$\delta_2 = \delta_1[\gamma_1]h$$

$$\rightarrow \Omega_3 = (\Delta^{\gamma_3} \Delta^{\delta_3})^{f[\gamma_2]f[\gamma_1]b[a]}$$

$$\gamma_3 = \delta_2[\gamma_2]g$$

$$\delta_3 = \delta_2[\gamma_2]h$$

$\rightarrow \dots$

- or simpler with partial labels:  $\Delta = \lambda x.x x$

$$\Omega = \Delta^a \Delta$$

$$\rightarrow \Omega_1 = (\Delta^{\gamma_1} \Delta^{\gamma_1})[a]$$

$$\gamma_1 = [a]$$

$$\rightarrow \Omega_2 = (\Delta^{\gamma_2} \Delta^{\gamma_2})^{[\gamma_1][a]}$$

$$\gamma_2 = \gamma_1[\gamma_1]$$

$$\rightarrow \Omega_3 = (\Delta^{\gamma_3} \Delta^{\gamma_3})^{[\gamma_2][\gamma_1][a]}$$

$$\gamma_3 = \gamma_2[\gamma_2]$$

$\rightarrow \dots$

# The labeled $\lambda$ -calculus

- the labeled calculus is **confluent**
- the labeled calculus is **strongly normalizable** when reduction is restricted to a **finite** set of redex names
- unique normal form when exists  
[ Generalized Finite Developments thm ]
- the standard  $\lambda$ -calculus can be seen as an infinite limit of finite labeled-calculi

# The labeled $\lambda$ -calculus

$$\Delta = \lambda x.(x^c x^d)^b$$

$$F = \lambda f.(f^k y^\ell)^j$$

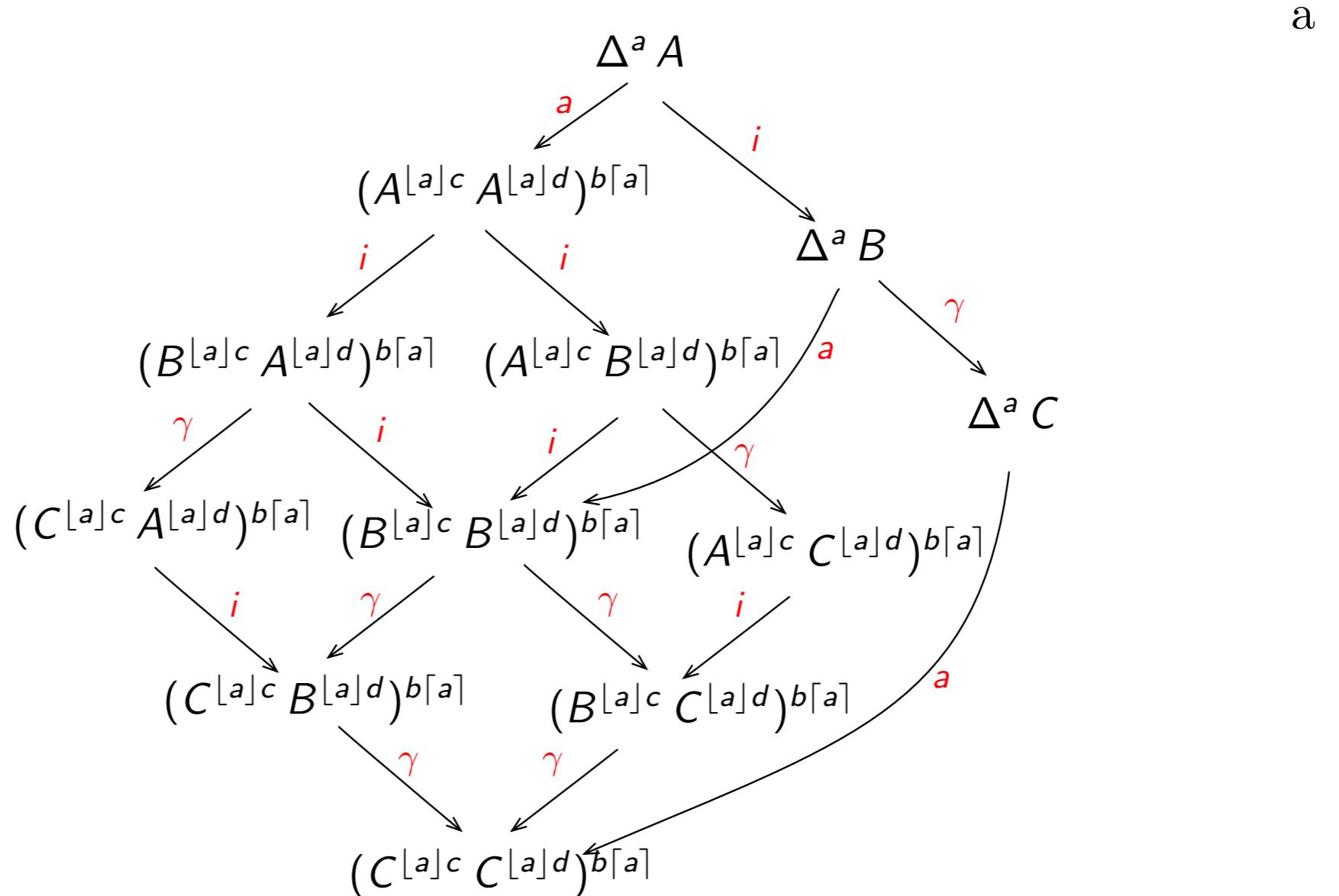
$$I = \lambda x.x^\nu$$

$$A = (F^i I^u)^q$$

$$B = (I^\gamma y^\ell)^q$$

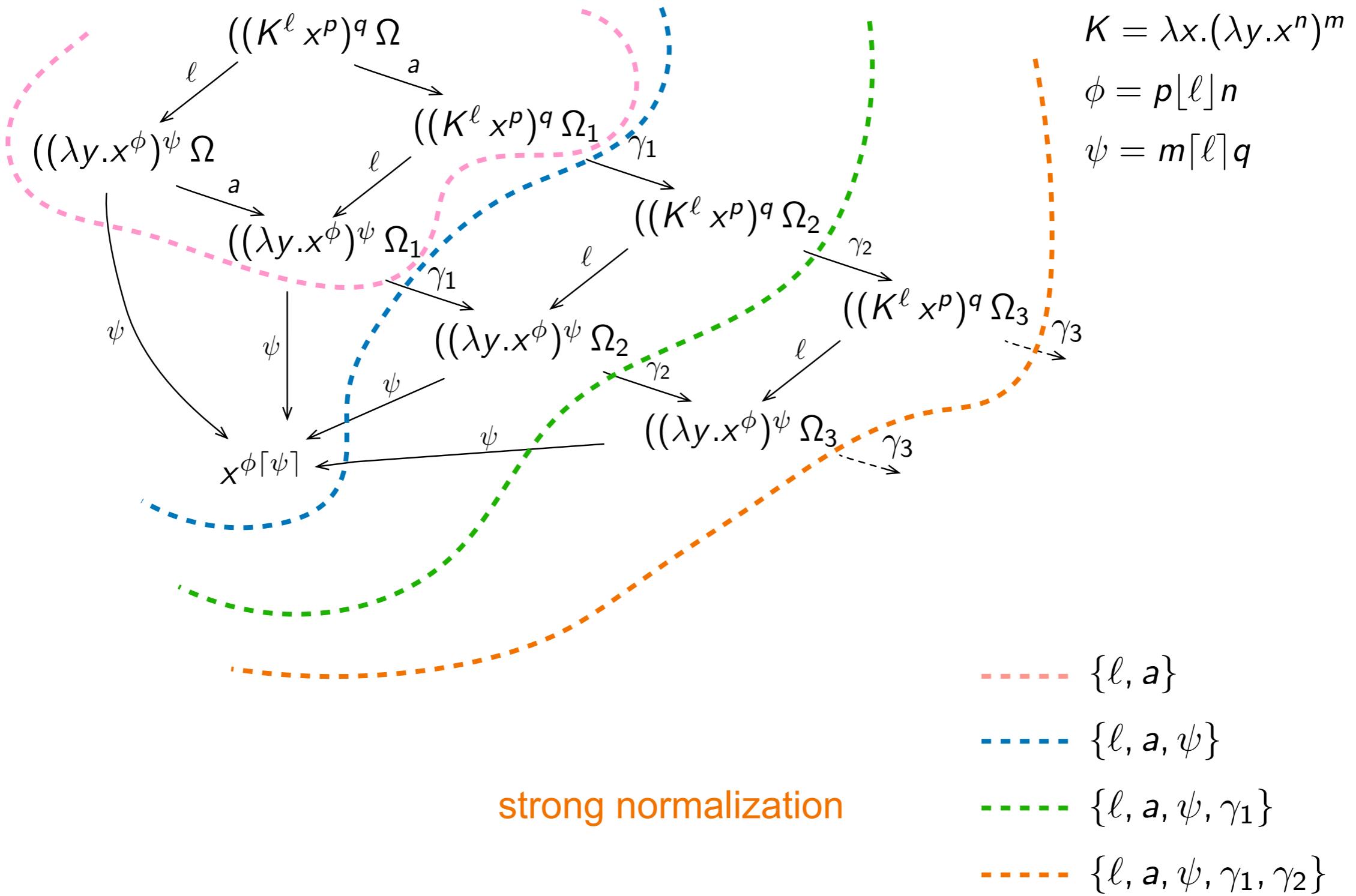
$$C = y^{\ell[\gamma]\nu[\gamma]q}$$

$$\gamma = u[i]k$$



confluence

# The labeled $\lambda$ -calculus



# The labeled $\lambda$ -calculus

$$\underline{(\lambda x. \dots (x^\beta N) \dots)^\alpha (\lambda y. M)^\gamma} \rightarrow \dots \underline{((\lambda y. M)^{\gamma[\alpha]\beta} N')} \dots$$

$\alpha$      $\gamma[\alpha]\beta$

creates

$$\underline{((\lambda x. (\lambda y. M)^\gamma)^\alpha N)^\beta P} \rightarrow \underline{(\lambda y. M')^{\gamma[\alpha]\beta} P}$$

$\alpha$      $\gamma[\alpha]\beta$

creates

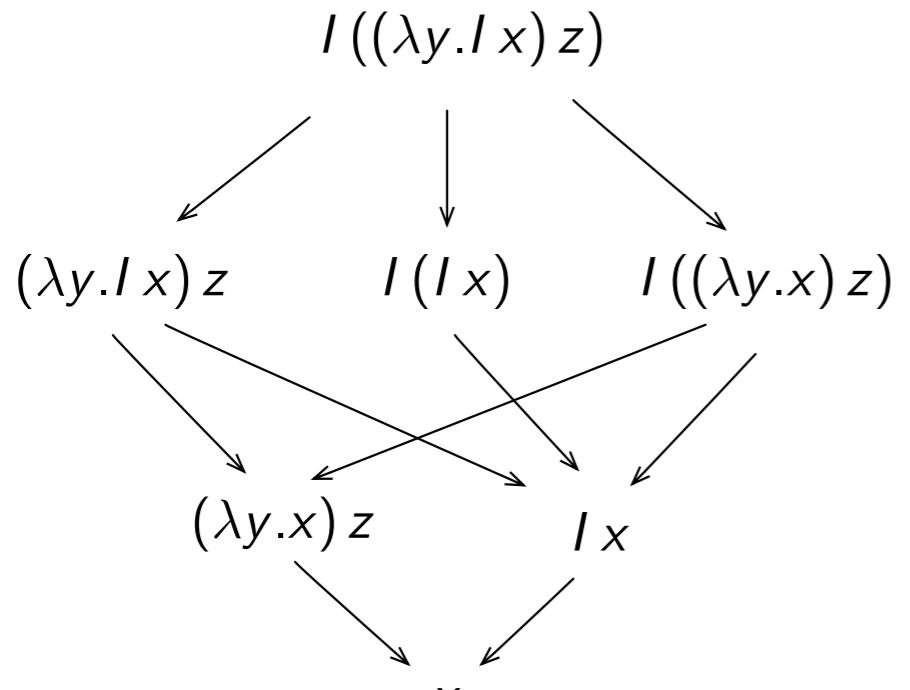
$$\underline{((\lambda x. x^\gamma)^\alpha (\lambda y. M)^\delta)^\beta N} \rightarrow \underline{(\lambda y. M)^{\delta[\alpha]\gamma[\alpha]\beta} N}$$

$\alpha$      $\delta[\alpha]\gamma[\alpha]\beta$

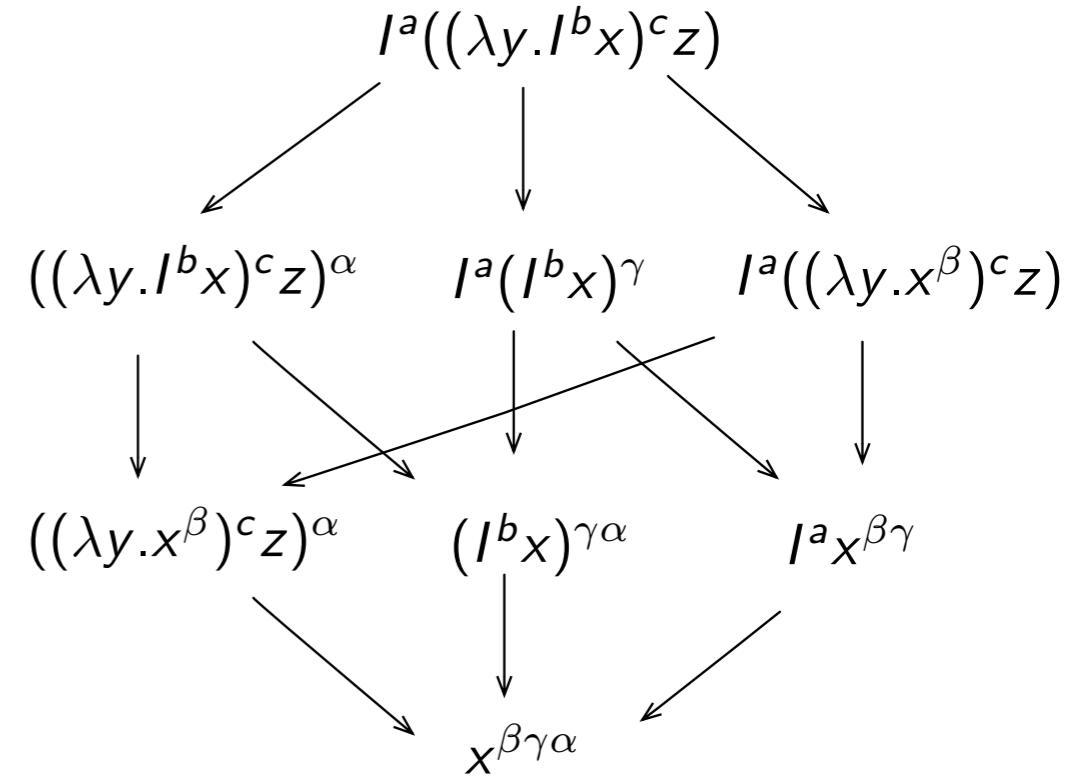
creates

origin

# The labeled $\lambda$ -calculus



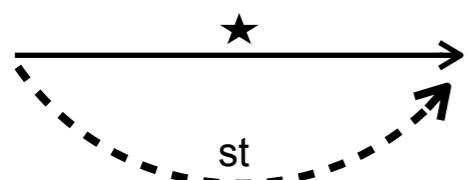
$$\begin{aligned}
 I &= \lambda x.x \\
 \alpha &= [a]\lceil a\rceil \\
 \beta &= [b]\lceil b\rceil \\
 \gamma &= \lceil c\rceil
 \end{aligned}$$



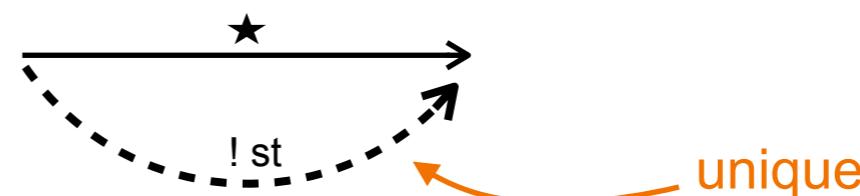
lattice

# The labeled $\lambda$ -calculus

- a **standard** reduction is an outside-in left-to-right reduction strategy
- any reduction can be reordered in a standard reduction [Curry 1958]



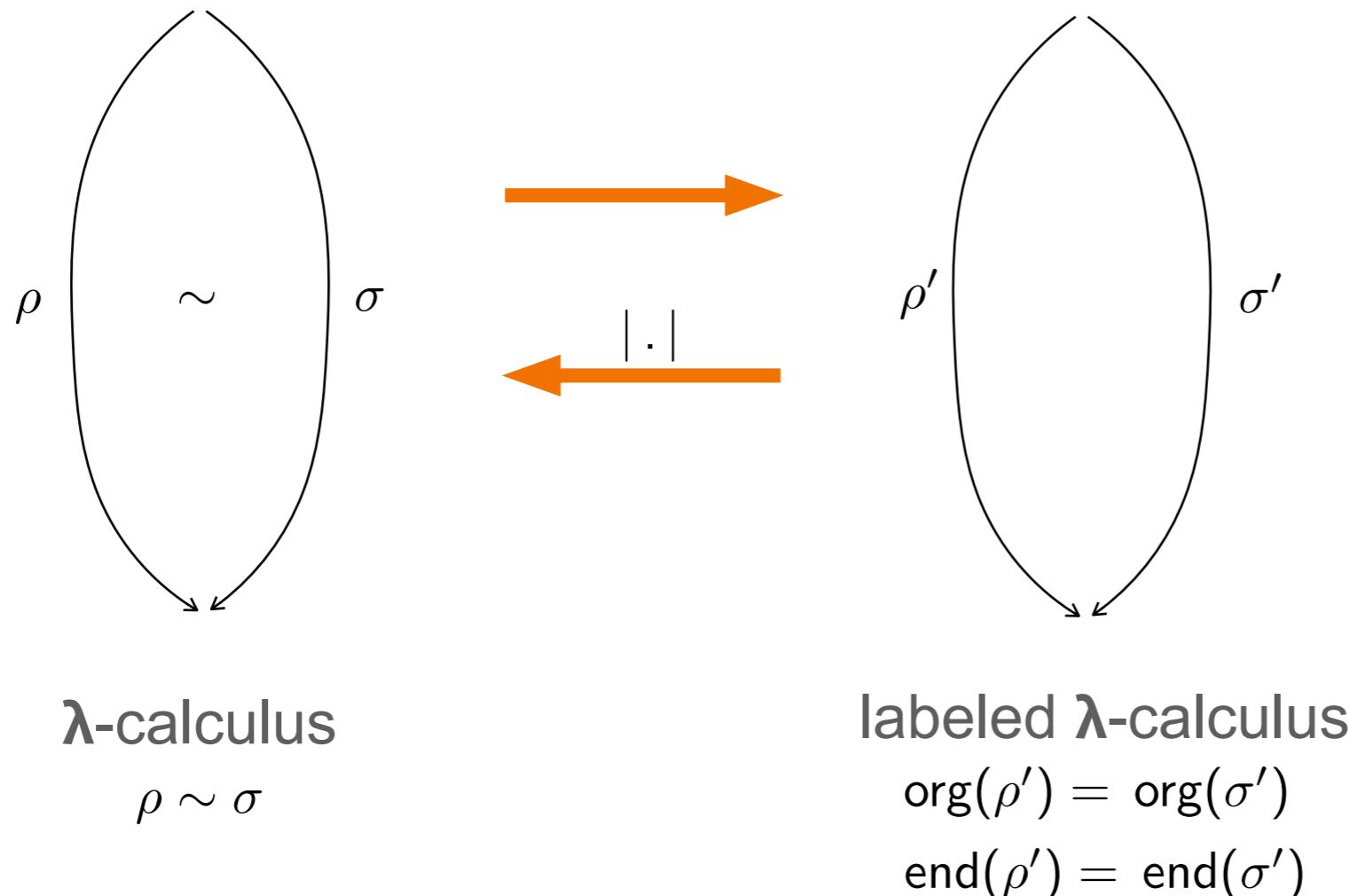
$\lambda$ -calculus



labeled  $\lambda$ -calculus

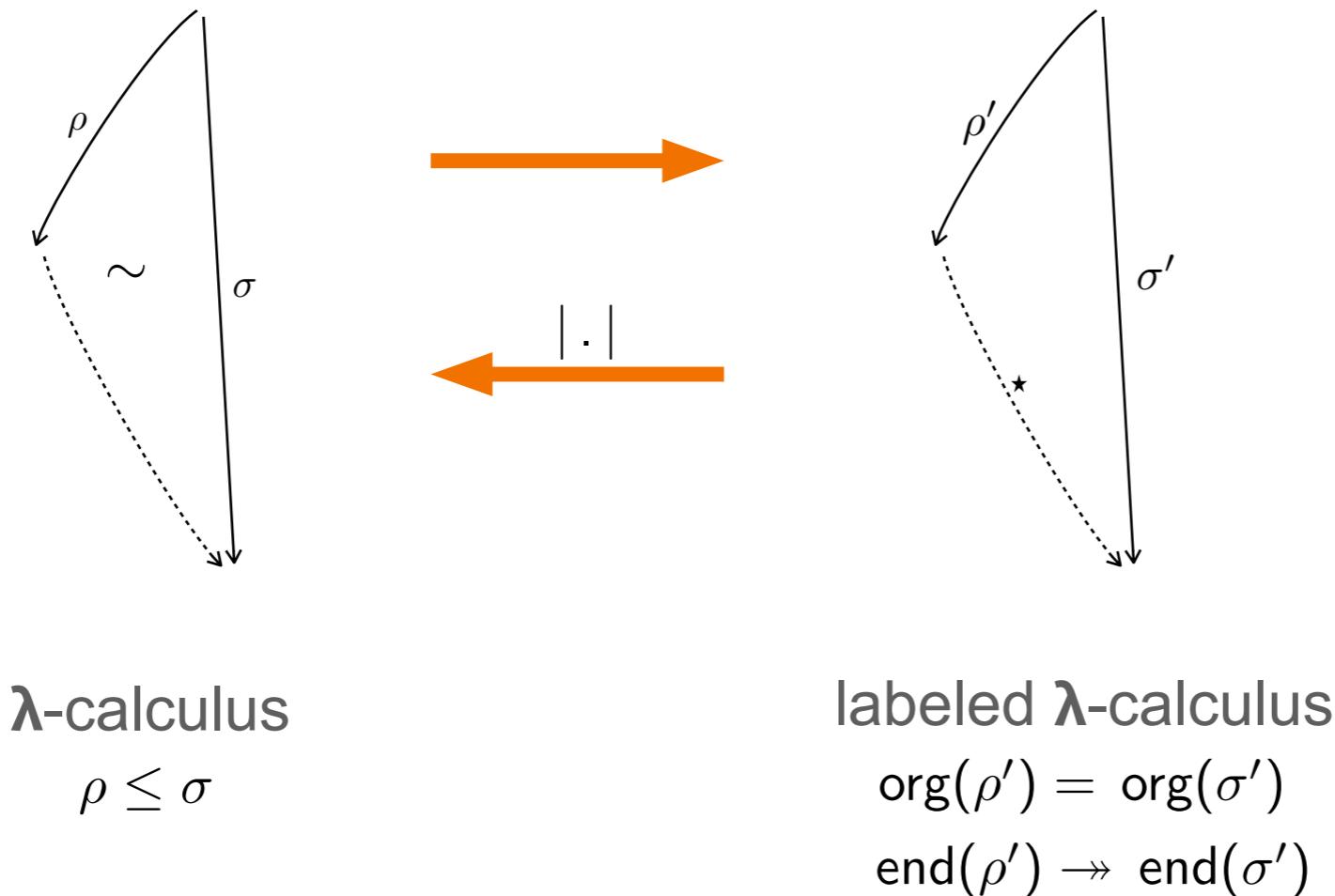
# Permutation equivalence

- $\sim$  corresponds to the coinitial / cofinal reductions of the labeled  $\lambda$ -calculus



# Prefix modulo permutations

- $\leq$  corresponds to reductions of the labeled  $\lambda$ -calculus



$\lambda$ -calculus

$$\rho \leq \sigma$$

labeled  $\lambda$ -calculus

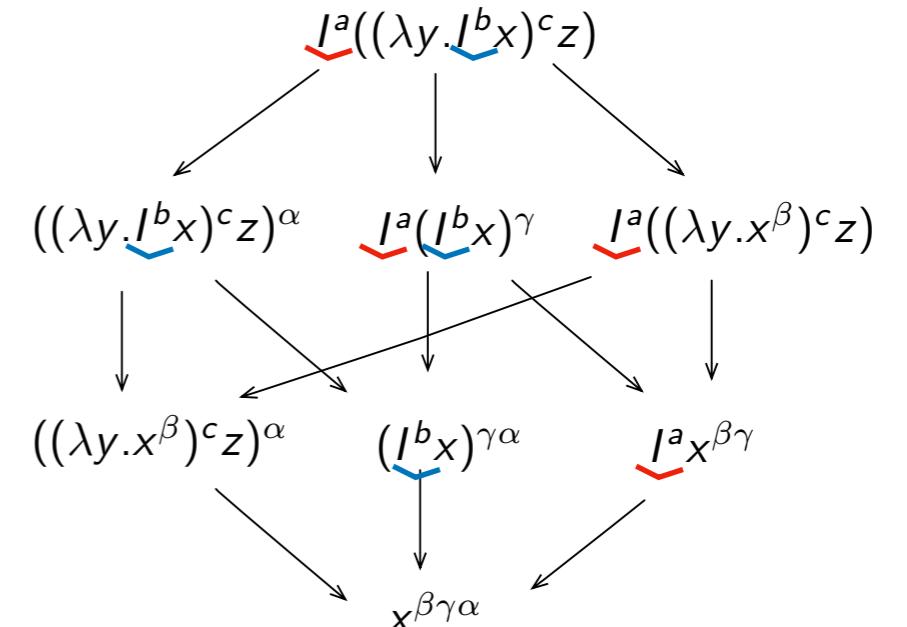
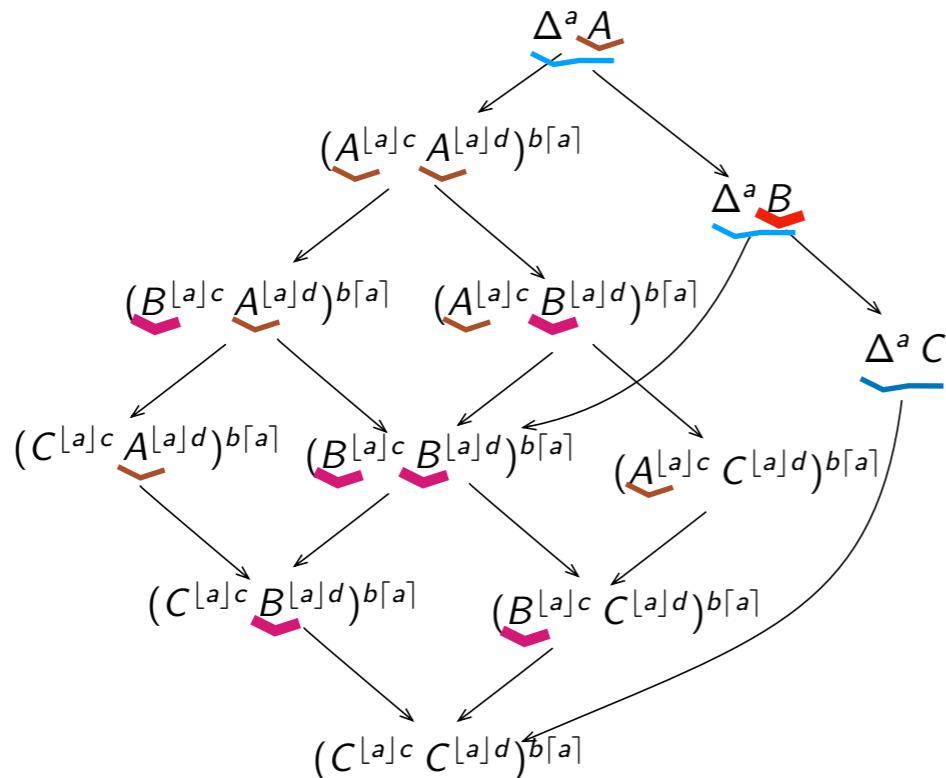
$$\text{org}(\rho') = \text{org}(\sigma')$$

$$\text{end}(\rho') \twoheadrightarrow \text{end}(\sigma')$$

redex  
families

# Residuals modulo permutations

- residuals of h-redexes correspond to names of redexes in :



$$\begin{array}{ll}
 \Delta = \lambda x. (x^c x^d)^b & A = (F^i I^u)^q \\
 F = \lambda f. (f^k y^\ell)^j & B = (I^\gamma y^\ell)^q \\
 I = \lambda x. x^\nu & C = y^{\ell \lceil \gamma \rfloor \nu \lceil \gamma \rceil q}
 \end{array}$$

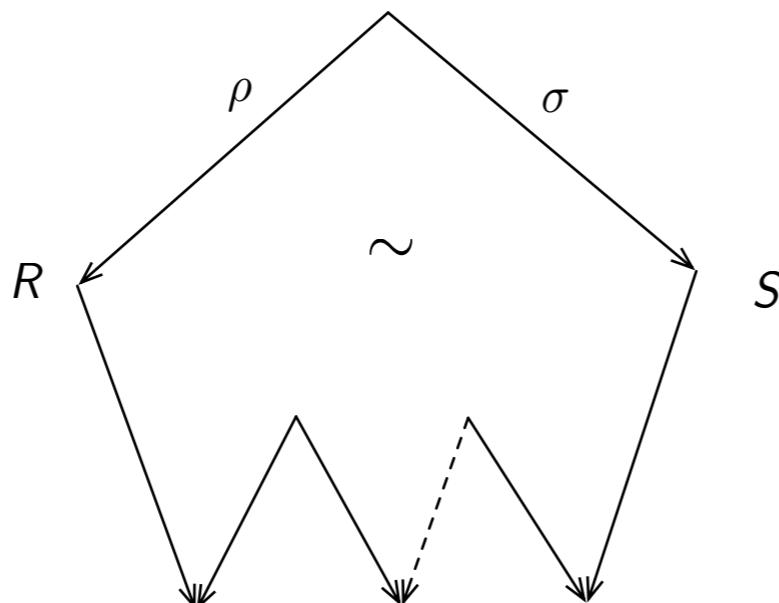
$$\begin{array}{l}
 \alpha = \lceil a \rceil \\
 \beta = \lceil b \rceil \\
 \gamma = \lceil c \rceil
 \end{array}$$

# Redex families

**family relation**  $\simeq$  between h-redexes is defined by :

$$(i) \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \implies \langle \rho, R \rangle \simeq \langle \sigma, S \rangle \simeq \langle \rho, R \rangle$$

$$(ii) \quad \langle \rho, R \rangle \simeq \langle \sigma, S \rangle \simeq \langle \tau, T \rangle \implies \langle \rho, R \rangle \simeq \langle \tau, T \rangle$$



- symmetric + transitive closure of residuals modulo permutations

# Redex families

- from now on, we only consider standard reductions
- then the extraction relation  $\triangleleft$  on h-redexes is defined as follows

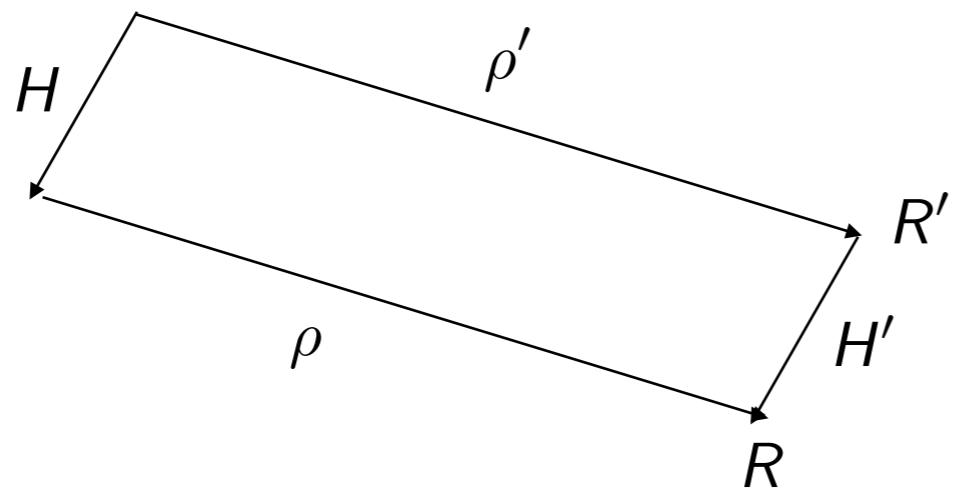
$$(i) \quad \langle o, R \rangle \triangleleft \langle o, R \rangle$$

$$(ii) \quad \langle \rho, R \rangle \triangleleft \langle \sigma, S \rangle \implies \langle \rho', R' \rangle \triangleleft \langle H; \sigma, S \rangle$$

where  $\langle \rho', R' \rangle$  is defined by cases analysis on  $\rho$  w.r.t.  $H$

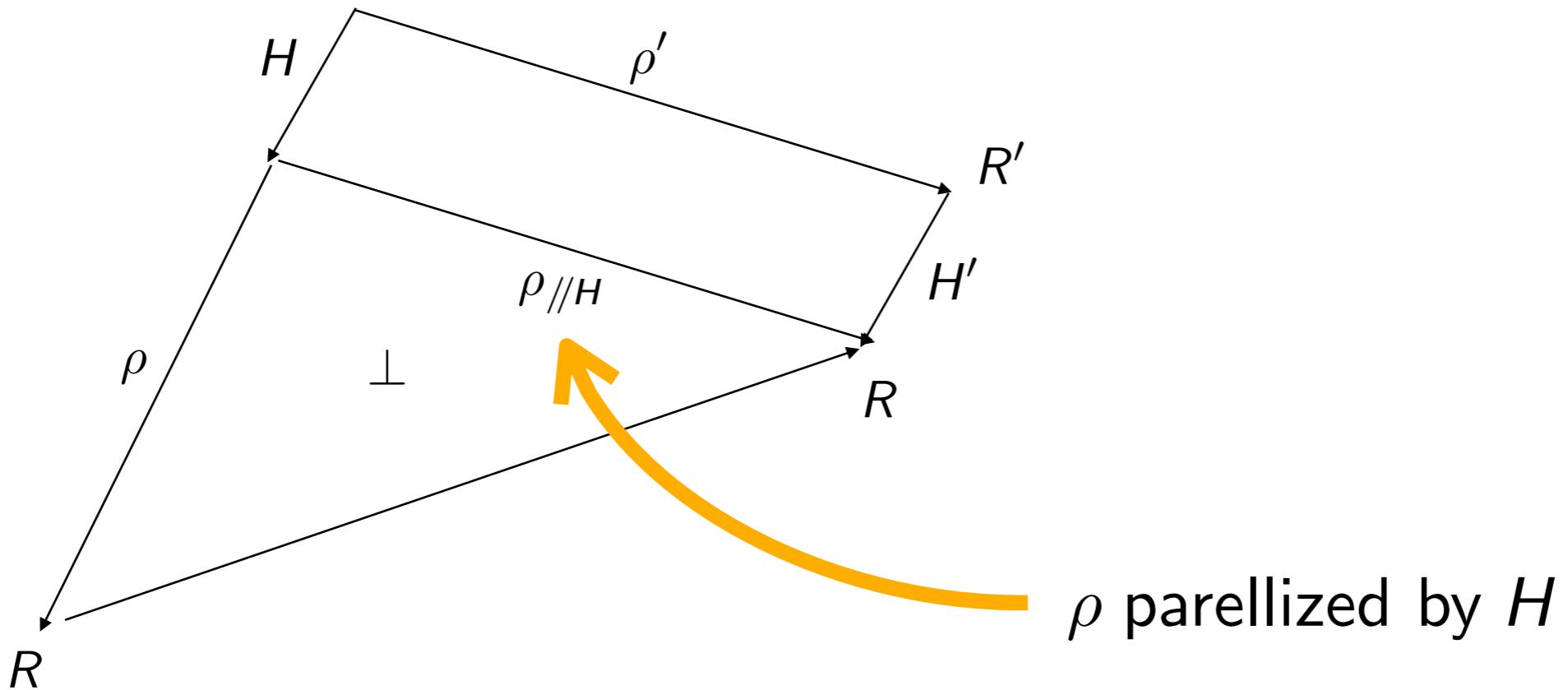
# Redex families

- **Case 1:**  $\rho$  is in body of  $H$  or disjoint to the right of the contractum of  $H$  then  $\rho'$  is isomorphic to  $\rho$



# Redex families

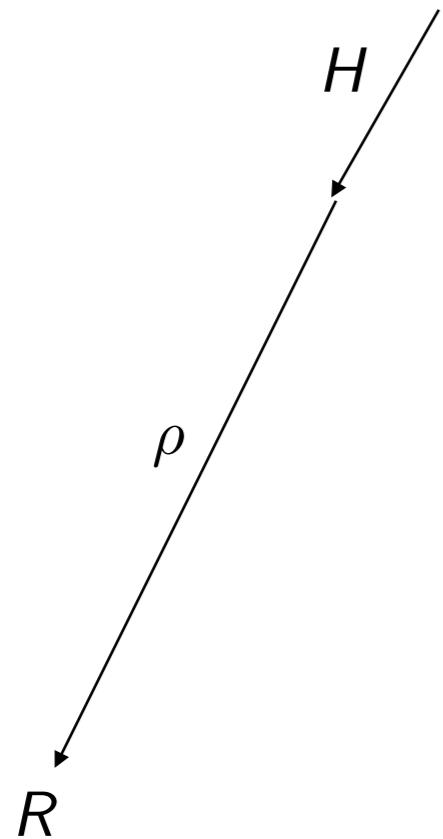
- **Case 2:**  $\rho$  is internal to an instance of a copy of the argument of  $H$  then  $\rho'$  is isomorphic to  $\rho$  in the argument of  $H$



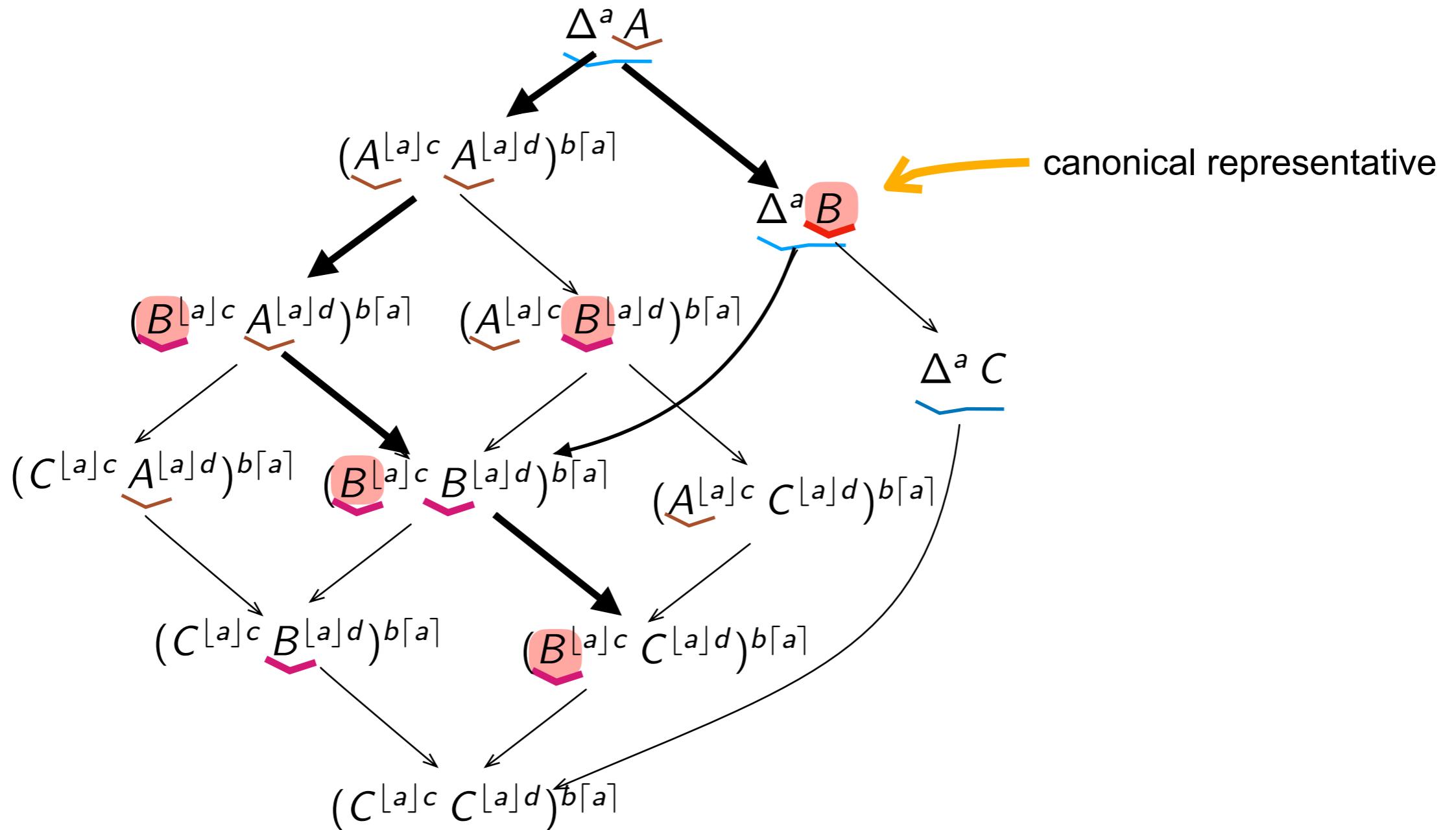
# Redex families

- **Otherwise** (  $H$  necessary for  $R$  )

$$\rho' = H; \rho \quad \wedge \quad R' = R$$



# Redex families



# Redex families

- the family relation  $\simeq$  can be decided by extraction

$$\langle \rho, R \rangle \simeq \langle \sigma, S \rangle \iff \langle \tau, T \rangle \triangleleft \langle \rho, R \rangle \wedge \langle \tau, T \rangle \triangleleft \langle \sigma, S \rangle \text{ for some } \langle \tau, T \rangle$$

- in fact  $\langle \tau, T \rangle$  is unique and is the **canonical representative** of its family

- $\langle \tau, T \rangle$  is unique in family with **minimum length** of (standard) reduction  $\tau$

redexes are stable in the  $\lambda$ -calculus



sequentiality

# Redex families

- when  $\langle \tau, T \rangle$  is a canonical representative

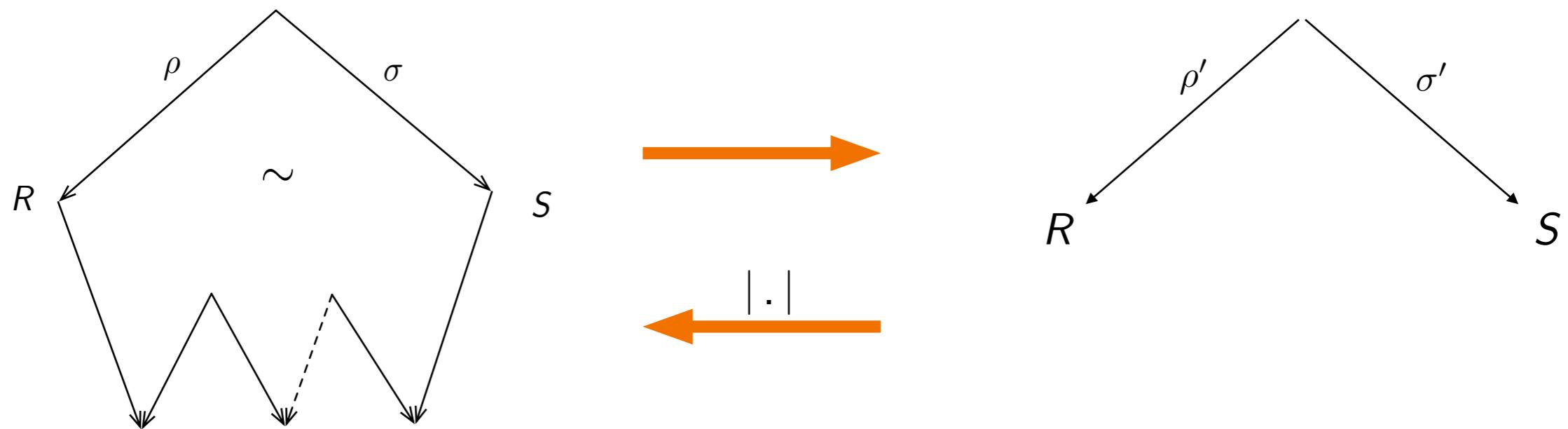
$$\langle \rho, R \rangle \simeq \langle \tau, T \rangle \wedge \tau \leq \rho \implies \langle \tau, T \rangle \lesssim \langle \rho, R \rangle$$

- family complete reductions are duplication complete reductions
- sublattice of family complete reductions

**[optimality thm]** leftmost-outermost d-complete reductions are optimal in their number of reduction steps

# Redex families

- the family relation  $\simeq$  corresponds to equality of names in the labeled calculus



$\lambda$ -calculus

$$\langle \rho, R \rangle \simeq \langle \sigma, S \rangle$$

labeled  $\lambda$ -calculus

$$\text{name}(R) = \text{name}(S)$$

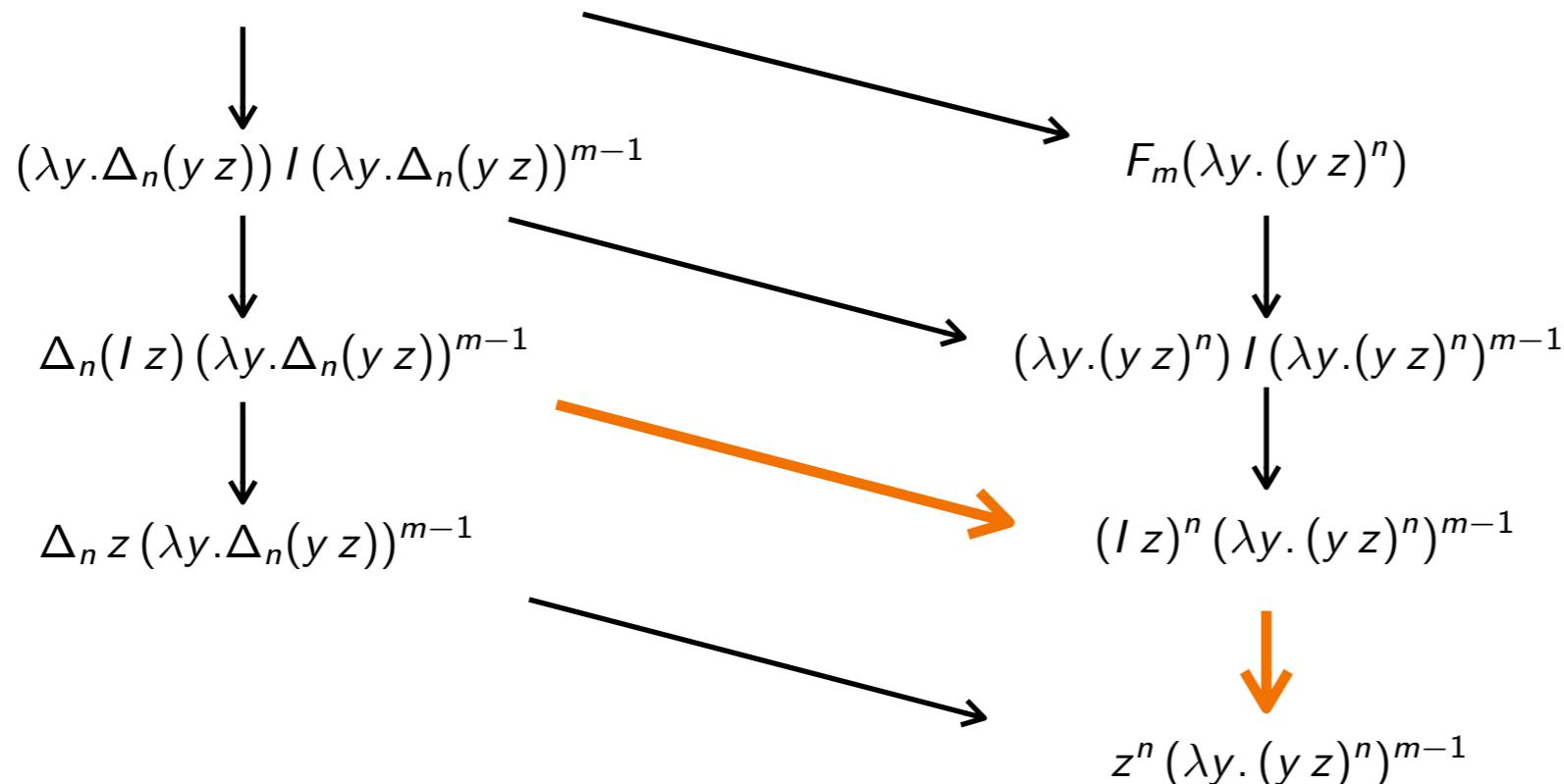
when initial term is **labeled with distinct letters**

- family complete reductions are labeled complete reductions

# The sublattice of optimal reductions

- optimal family complete reductions

**example**  $F_m(\lambda y. \Delta_n(y z))$  where  $F_m = \lambda x. x I x x \dots x$  and  $\Delta_n = \lambda x. x x \dots x$



# Generalized finite developments

**GFD++ thm** [JJL] let  $\mathcal{F}$  be a finite set of redex families

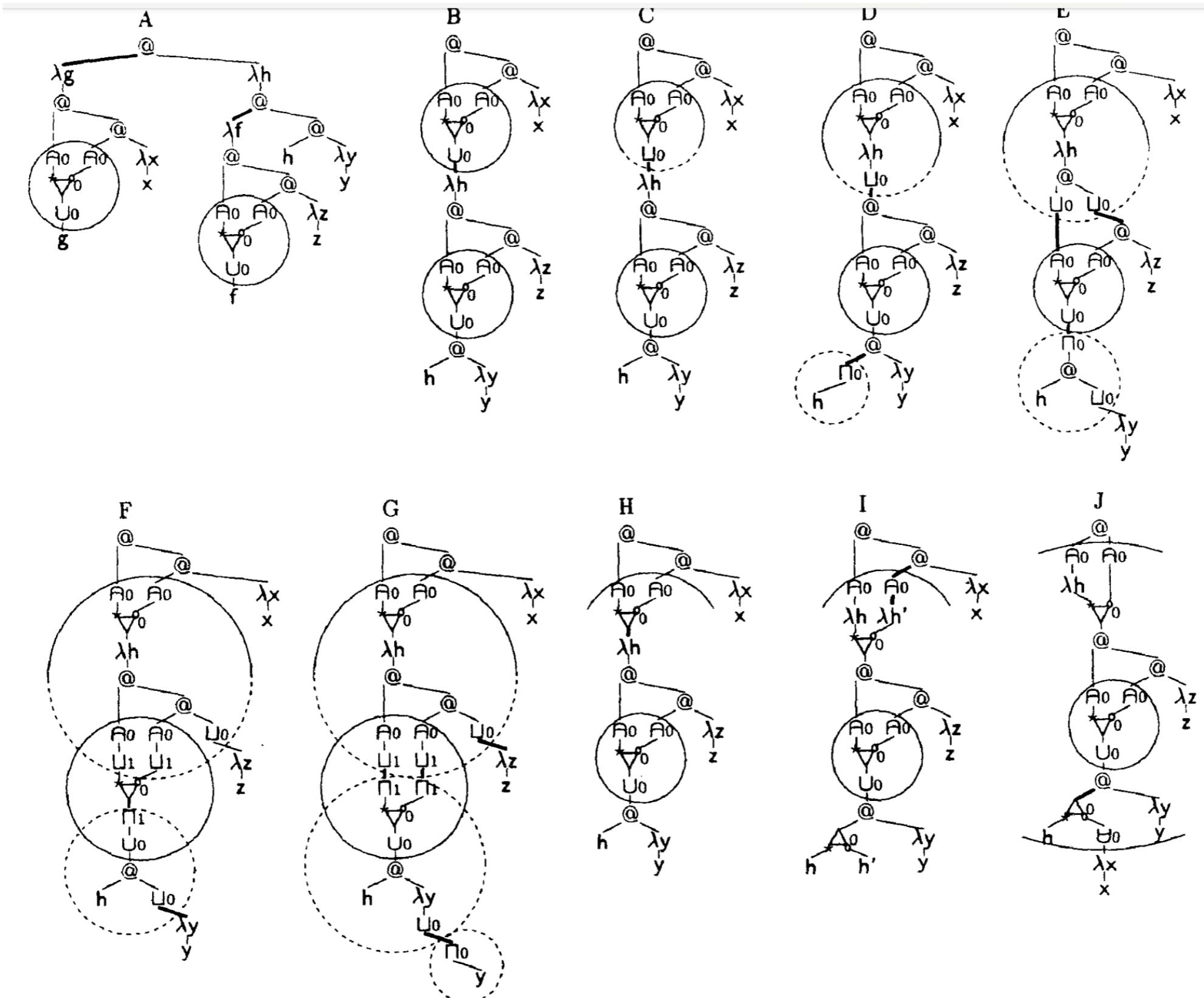
- all reductions contracting only h-redexes in  $\mathcal{F}$  have **finite** length
- these **maximal** reductions are permutation equivalent

**corollary** a lambda-term is strongly normalizable iff it only contracts a finite set of redex families.

strong normalization  finiteness of redex families

# Lamping algorithm

- implements optimal reductions [Lamping 90, Gonthier et al 92]



# Extra properties

- algebraic laws with **parallel reductions** of redexes
- residuals of parallel reductions
- optimality of **family complete** reductions
- reductions with ultra sharing [Lamping]
- **linear logic** without boxes [Gonthier et al]
- generalization to **other systems** (interaction systems, ...)

# Conclusion

- **real** implementations of sharing (more than call-by-need) ?  
[ non exponential implementations ]
- subsets where possible manageable sharing (weak calculi, others?)
- **intuitive** proofs of strong normalization ( $\lfloor \alpha \rightarrow \beta \rfloor = \alpha$ ,  $\lceil \alpha \rightarrow \beta \rceil = \beta$ ,  $\alpha\beta = \alpha$ )
- simplification of the extraction process
- history-based information **flow**
- **incremental** computations (makefiles [Vesta], neural networks)