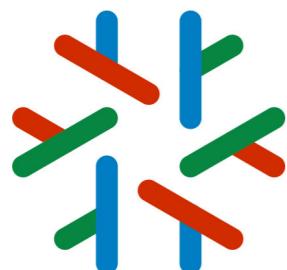


# Tracking Redexes in the lambda-calculus



[jean-jacques.levy@inria.fr](mailto:jean-jacques.levy@inria.fr)

ECNU, Shanghai

November 15, 2023

<http://jeanjacqueslevy.net/talks/23track/track.pdf>

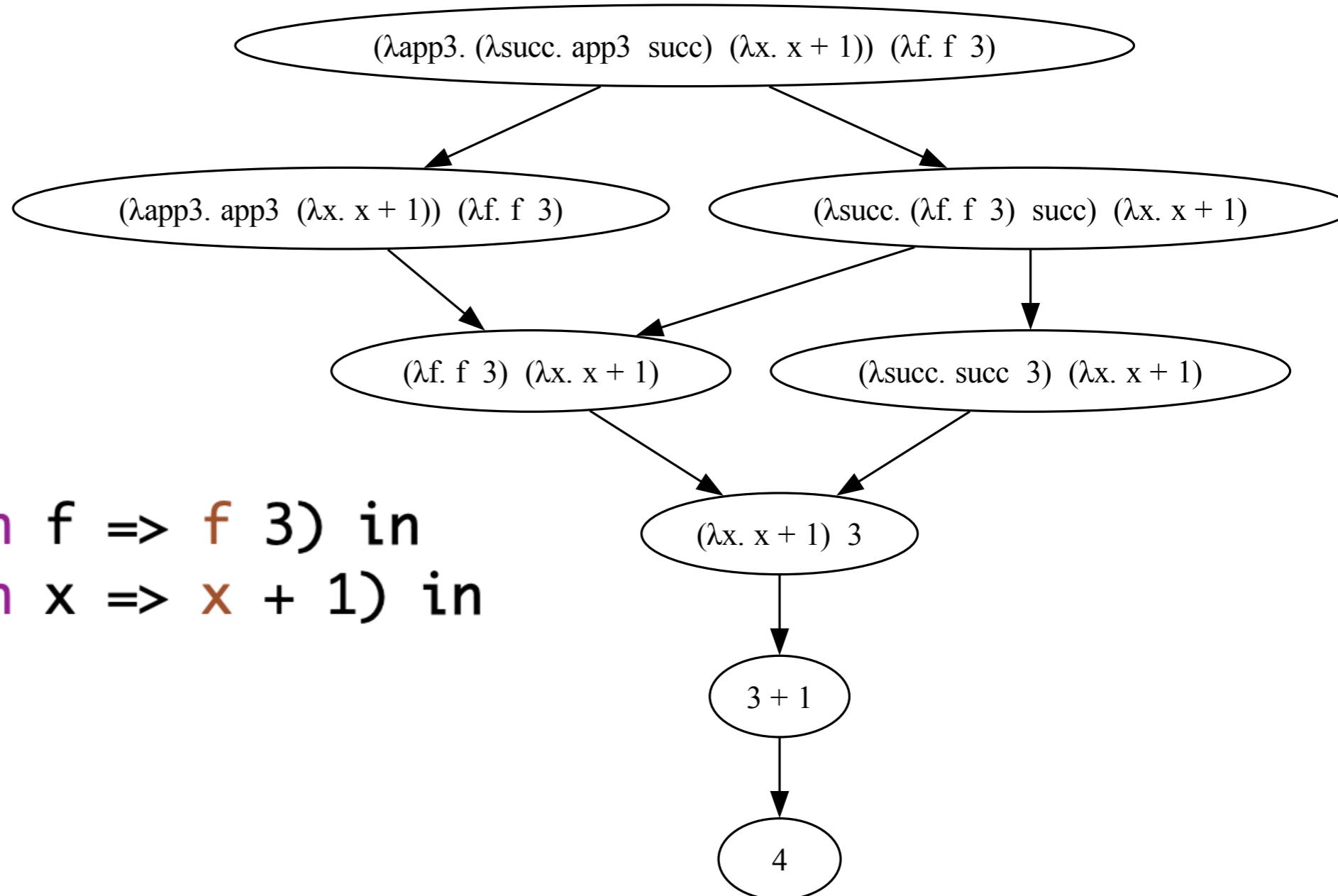


# The lambda-calculus

- for logicians: important tool for proof theory
- for computer scientists: kernel of functional programming

# The lambda-calculus

```
let app3 = (fun f => f 3) in  
let succ = (fun x => x + 1) in  
app3 succ
```



# The lambda-calculus

$$(\lambda x. x + 1)3 \rightarrow 3 + 1 \rightarrow 4$$

$$(\lambda x. 2 * x + 2)4 \rightarrow 2 * 4 + 2 \rightarrow 8 + 2 \rightarrow 10$$

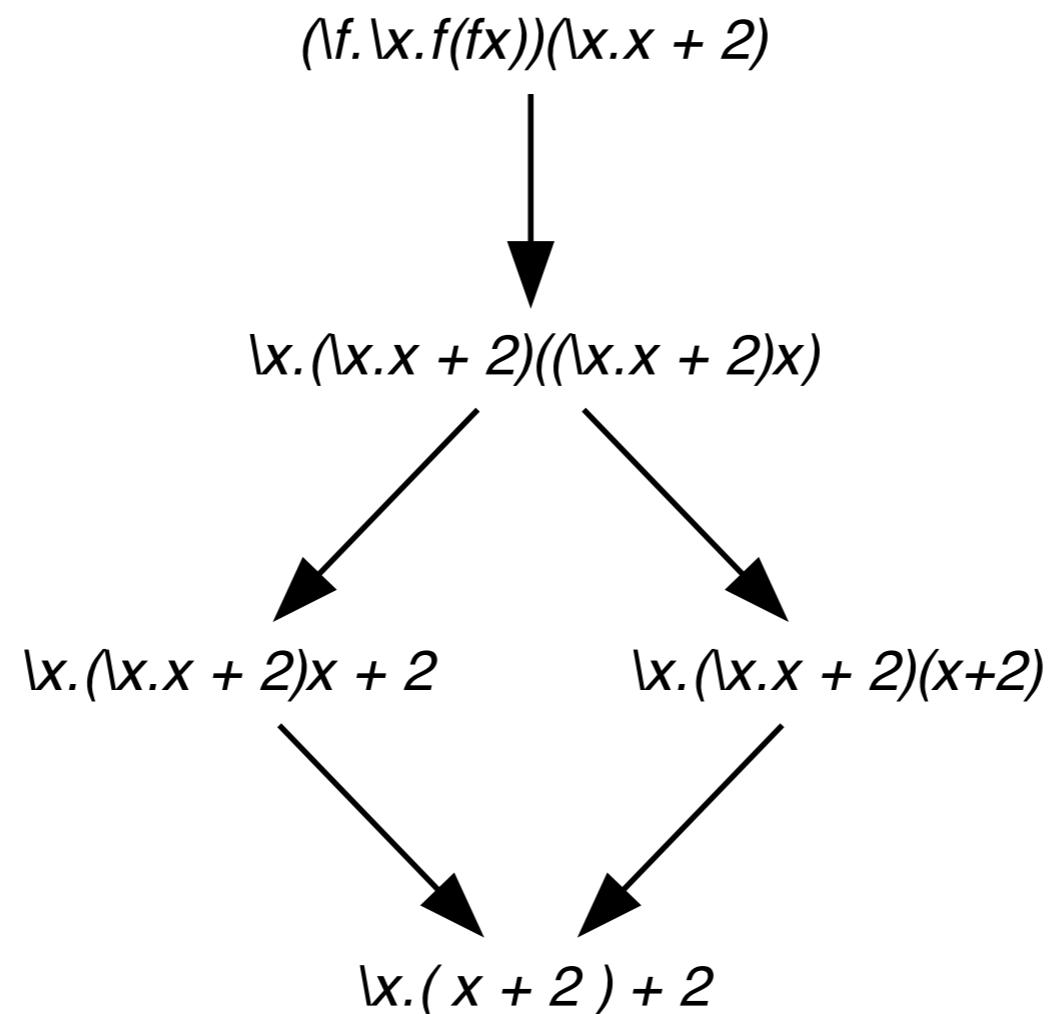
$$(\lambda f.f3)(\lambda x. x + 2) \rightarrow (\lambda x. x + 2)3 \rightarrow 3 + 2 \rightarrow 5$$

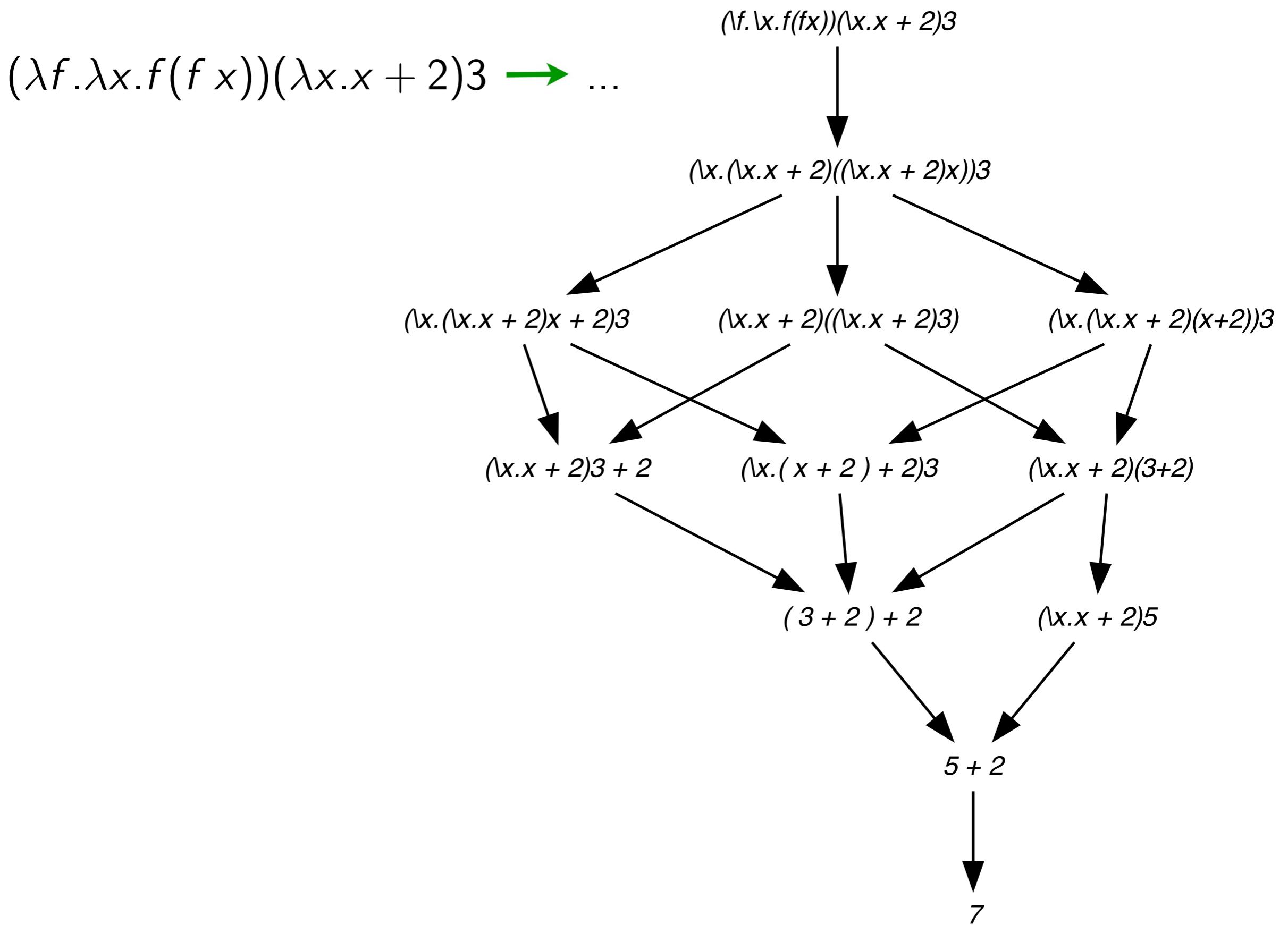
$$(\lambda f.\lambda x.f(f x))(\lambda x. x + 2) \rightarrow \dots$$

$$\Delta = (\lambda x. x x) \quad I = \lambda x. x$$

$$\Delta(\lambda f. f I) \rightarrow (\lambda f. f I)(\lambda f. f I) \rightarrow (\lambda f. f I)I \rightarrow II \rightarrow I$$

$$(\lambda f. \lambda x. f(f x))(\lambda x. x + 2) \rightarrow \dots$$





# The lambda-calculus

Fact(3)

$$\text{Fact} = Y(\lambda f. \lambda x. \text{ if } z \neq x \text{ then } 1 \text{ else } x * f(x - 1))$$

$$Y = \lambda f. (\lambda x. f(xx))(\lambda x. f(xx))$$

can be written as

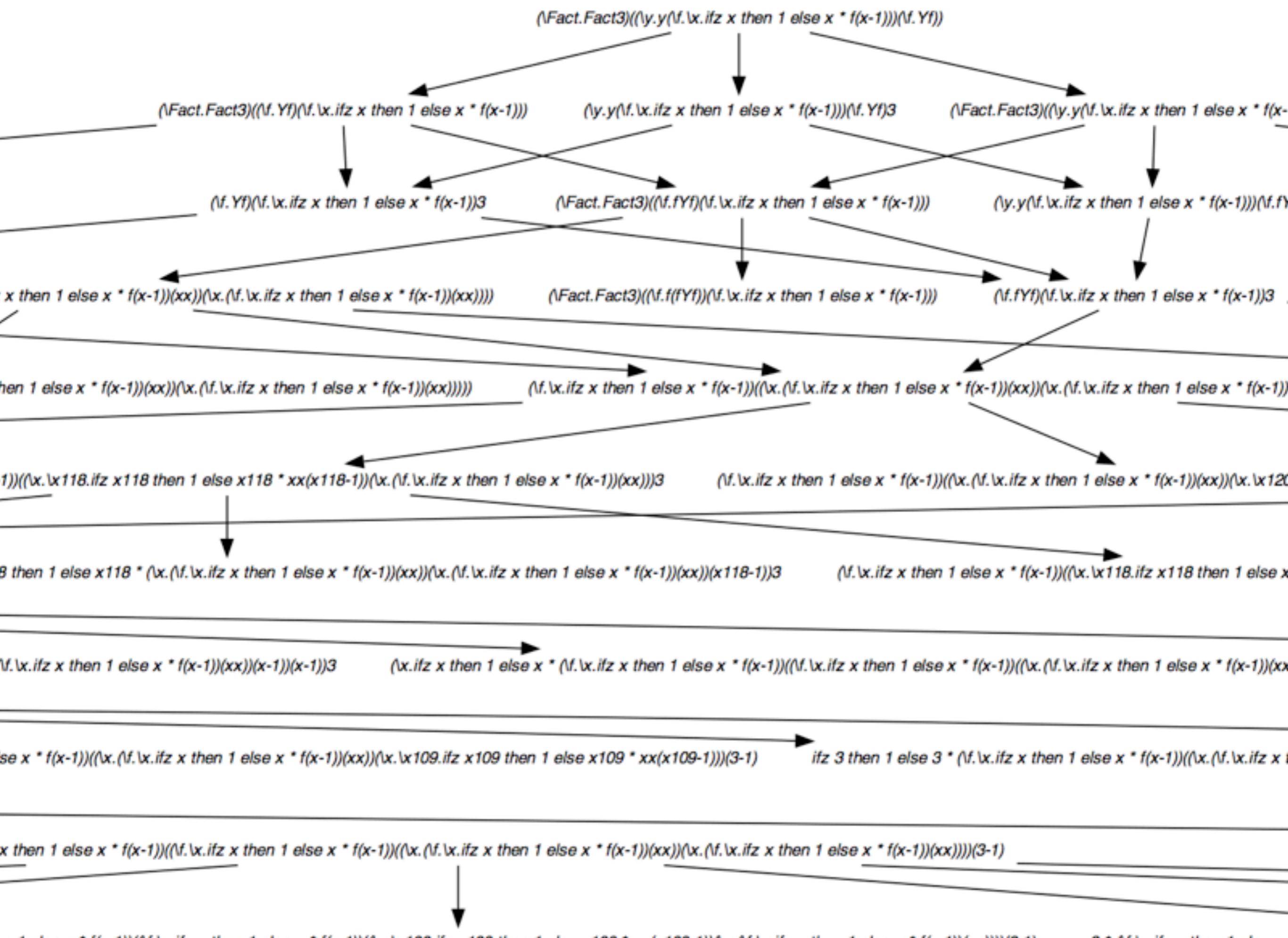
$$(\lambda \text{Fact}. \text{Fact}(3))$$

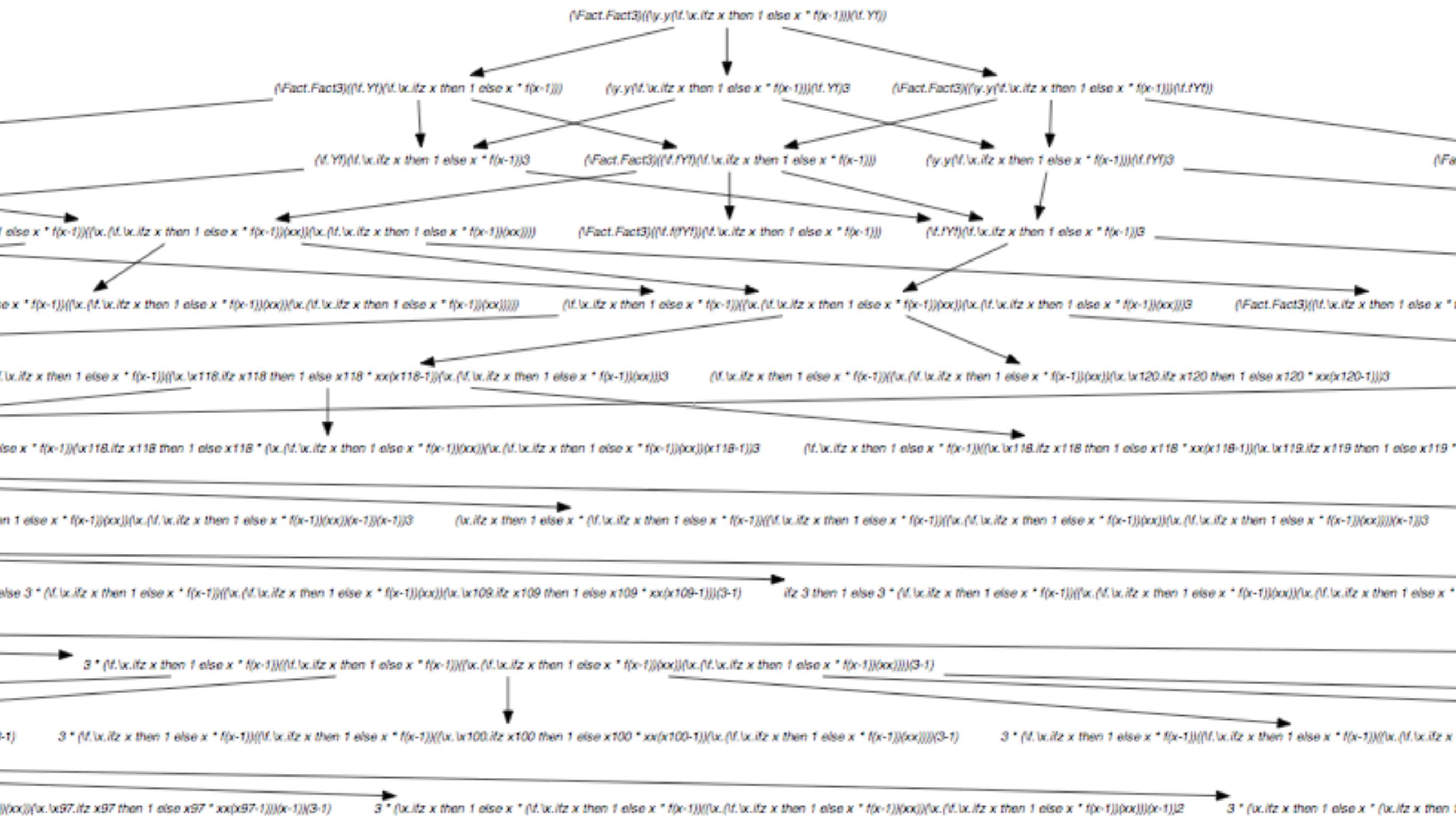
$$((\lambda Y. Y(\lambda f. \lambda x. \text{ if } z \neq x \text{ then } 1 \text{ else } x * f(x - 1))))$$

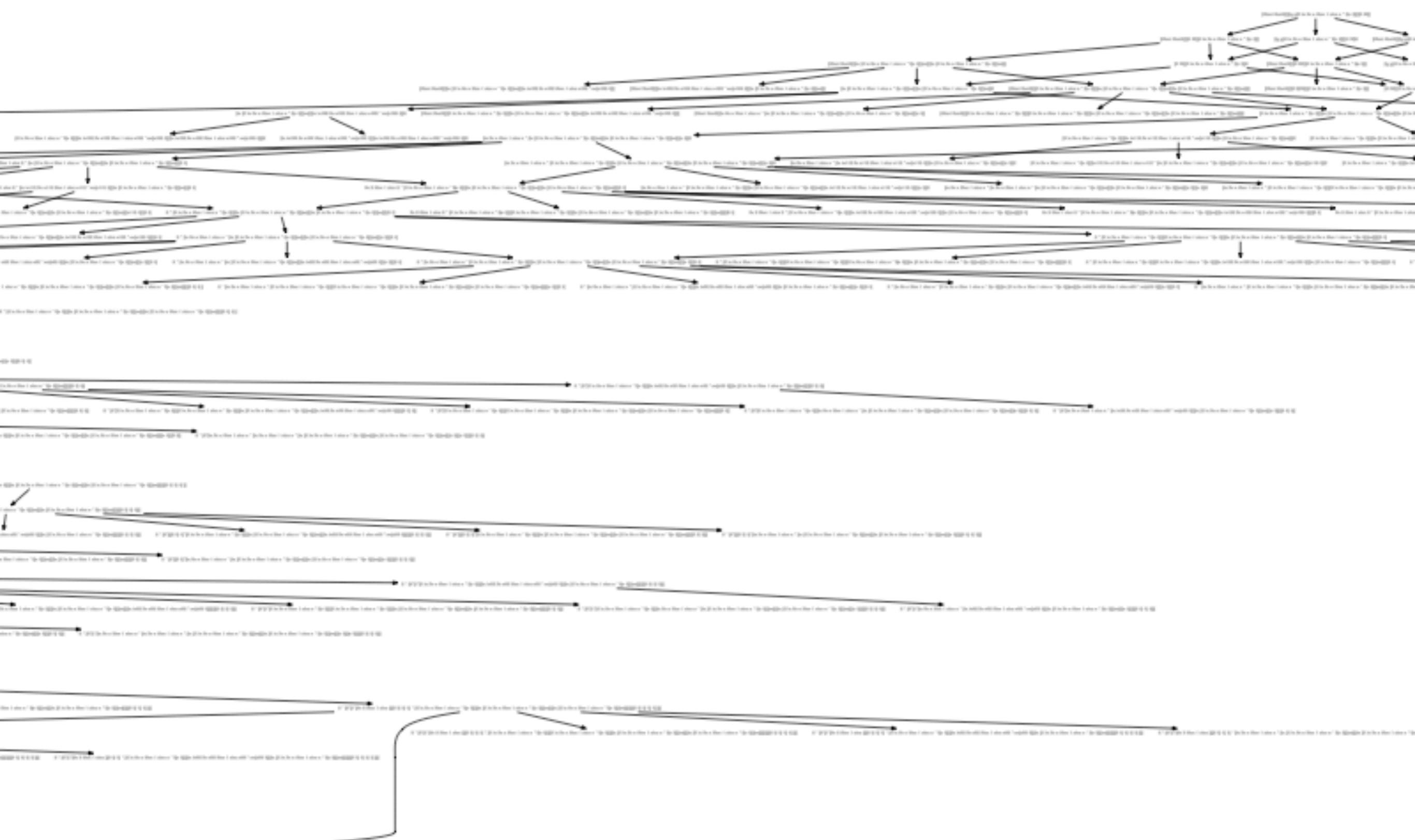
$$(\lambda f. (\lambda x. f(xx))(\lambda x. f(xx))) )$$

$(\lambda Fact.Fact3)(\lambda y.y(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1)))(\lambda f.Yf)$  $(\lambda y.y(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1)))(\lambda f.Yf)3$  $(\lambda f.Yf)(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))3$  $(\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx))3$  $(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * (\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx)))(\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx))3$  $(\lambda x.ifz x \text{ then } 1 \text{ else } x * (\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx)))(\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx))(x-1)3$  $ifz 3 \text{ then } 1 \text{ else } 3 * (\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx))(3-1)$  $3 * (\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx))(3-1)$  $3 * (\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))((\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x.(\lambda f.\lambda x.ifz x \text{ then } 1 \text{ else } x * f(x-1))(xx)))(3-1)$

$$(\lambda \text{Fact}.\text{Fact3})(\lambda y.y(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))(\lambda f.Yf)$$
$$\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))$$
$$\lambda y.y(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))(\lambda f.Yf)3$$
$$(\lambda \text{Fact}.\text{Fact3})(\lambda y.y(\lambda f.\lambda x.$$
$$\text{then } 1 \text{ else } x * f(x-1))3$$
$$(\lambda \text{Fact}.\text{Fact3})(\lambda f.fYf)(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))$$
$$(\lambda y.y(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))(\lambda f.Yf)3$$
$$\text{then } 1 \text{ else } x * f(x-1))(xx)))$$
$$(\lambda \text{Fact}.\text{Fact3})(\lambda f.f(fYf))(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))$$
$$(\lambda f.fYf)(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))$$
$$\text{else } x * f(x-1))(xx))))$$
$$(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))((\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx)))(\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx)))$$

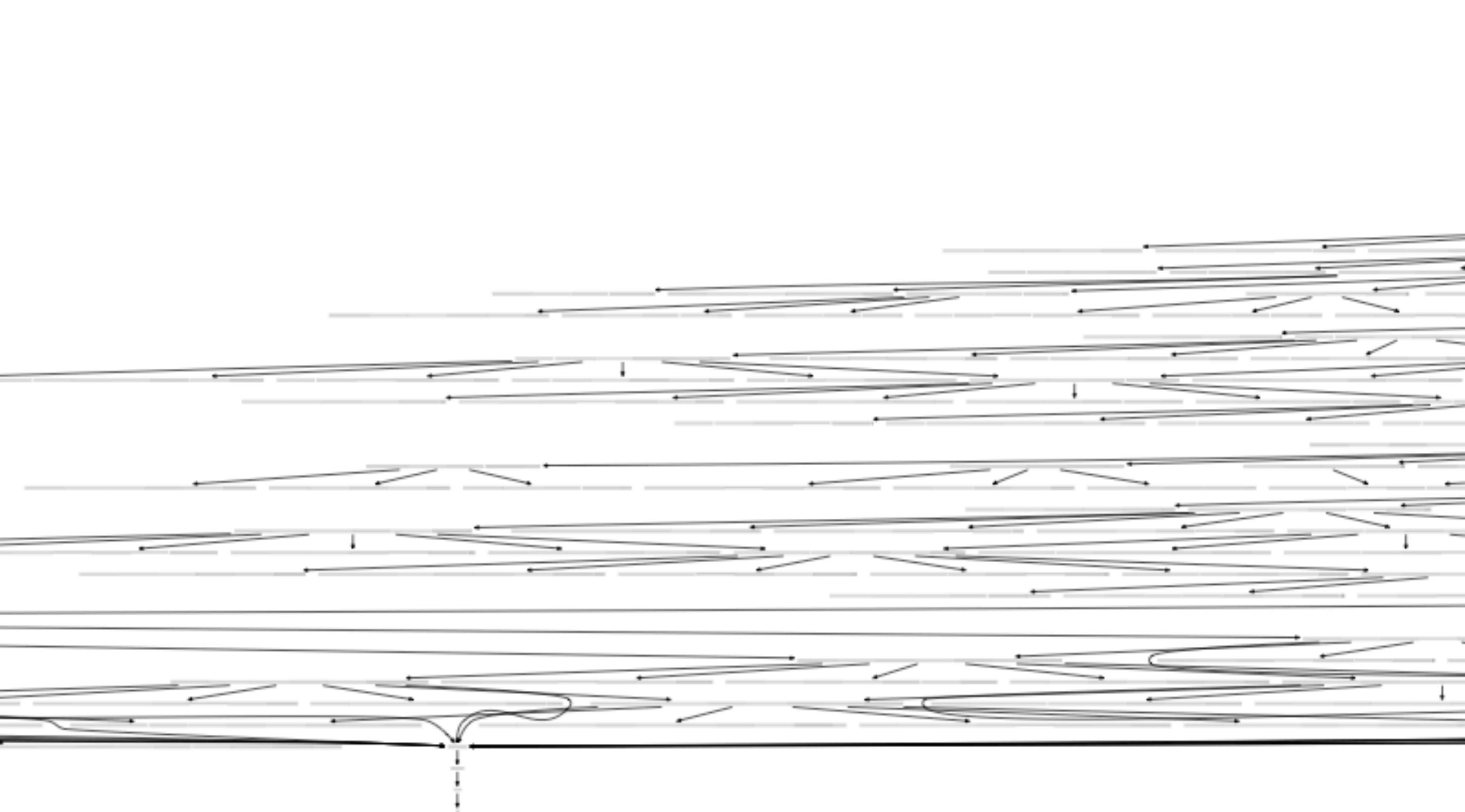


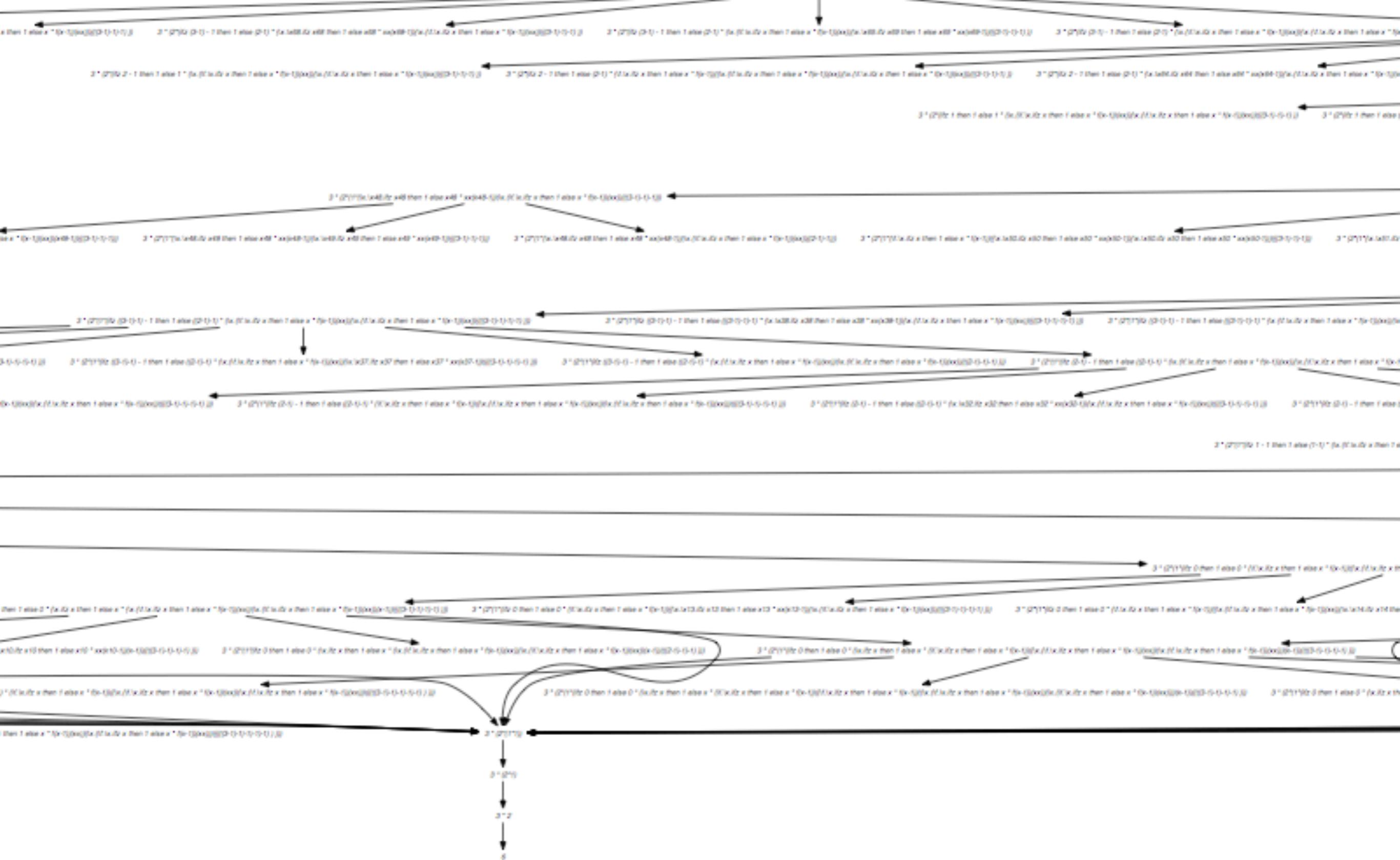


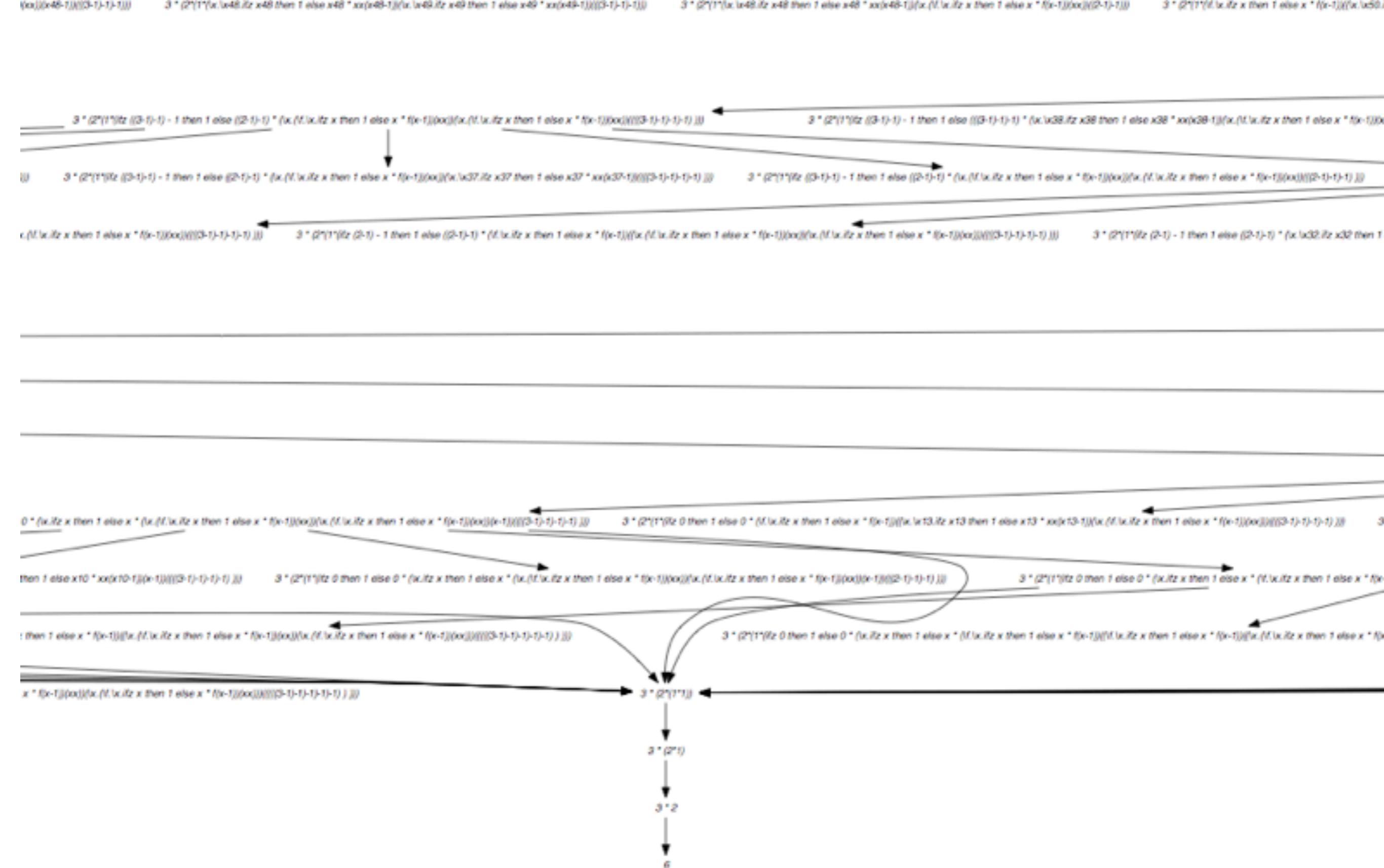


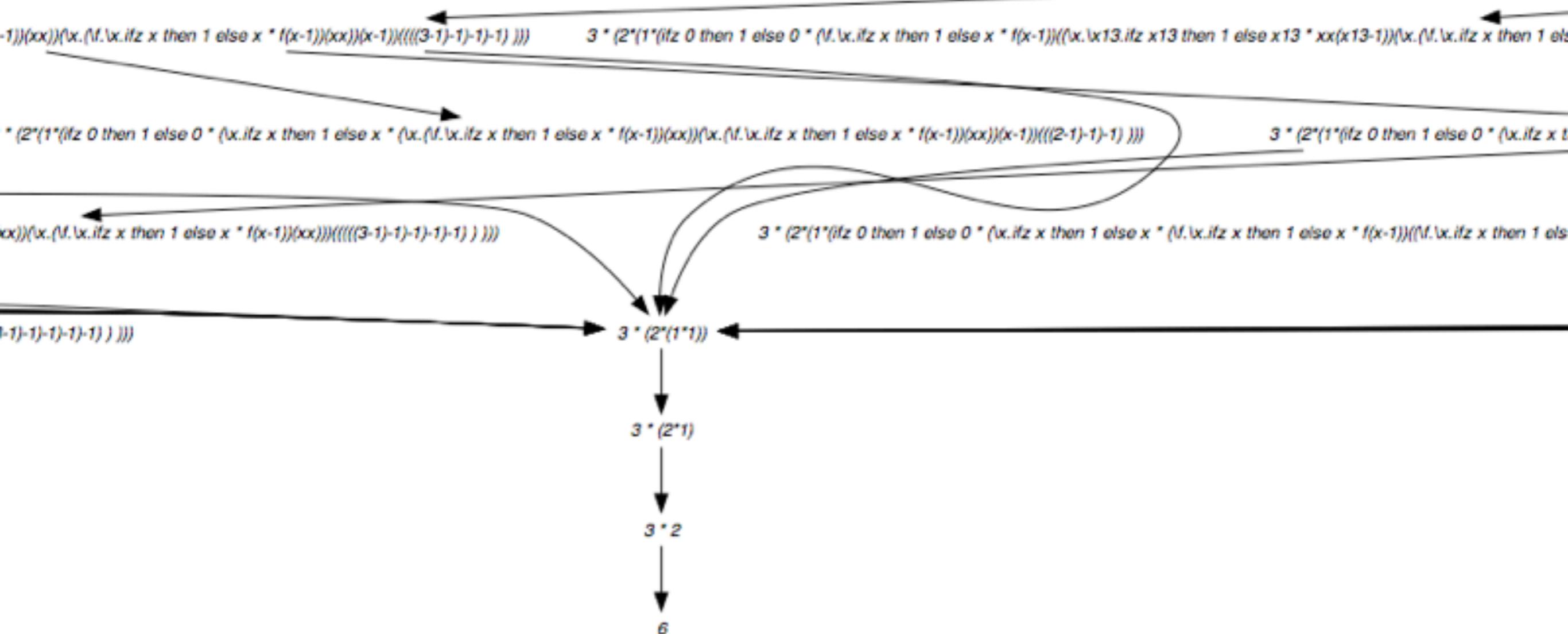












$\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1)(xx))(x-1))(((3-1)-1)-1)-1) ))))$

$3 * (2 * (1 * (\text{if} z \ 0 \text{ then } 1 \text{ else } 0 * (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_3. \text{if} z \ x_3 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_2. \text{if} z \ x_2 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_1. \text{if} z \ x_1 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1)))))))))))$

$\text{then } 1 \text{ else } 0 * (\lambda x. (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. (\lambda f. \lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1)))))))))))$

$\text{z } x \text{ then } 1 \text{ else } x * f(x-1)(xx))))(((3-1)-1)-1)-1) ))))$

$3 * (2 * (1 * (\text{if} z \ 0 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_3. \text{if} z \ x_3 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_2. \text{if} z \ x_2 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x_1. \text{if} z \ x_1 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{if} z \ x \text{ then } 1 \text{ else } x * f(x-1)))))))))))$

$3 * (2 * (1 * 1))$

$3 * (2 * 1)$

$3 * 2$

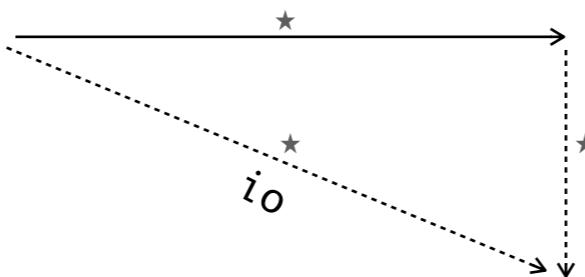
$6$

# Initial motivation

- Bohm trees interpretation for the (untyped)  $\lambda$ -calculus
  - head normal forms are  $M \twoheadrightarrow \lambda x_1 x_2 \cdots x_m. \ x M_1 M_2 \cdots M_n$
  - Bohm trees are (possibly infinite) extensions of head normal forms
$$\text{BT}(M) = \lambda x_1 x_2 \cdots x_m. \ x \ \text{BT}(M_1) \ \text{BT}(M_2) \cdots \text{BT}(M_n)$$
  - Bohm trees are a consistent interpretation of the  $\lambda$ -calculus
$$\text{BT}(M) = \text{BT}(N) \implies \text{BT}(C[M]) = \text{BT}(C[N])$$
- continuity of Bohm trees
$$\forall b \prec \text{BT}(C[M]), \exists a \prec \text{BT}(M), b \prec \text{BT}(C[a])$$
- finite computations of  $C[M]$  only need finite computations of  $M$

# Initial motivation

- completeness of inside-out reductions  $\implies$  continuity of BT [ Welch 1974 ]
  - an inside-out reduction does not contract residual of a redex internal to a redex previously contracted.
- completeness of io reductions:



- obvious if strong normalisation
- but when infinite reductions ?? !!

*Hw $\lambda$ -calculus*

*with*

*exponents*

# Hyland-Wadsworth $\lambda$ -calculus

- D-infinity model of the  $\lambda$ -calculus [Scott 1969]

$$D_\infty = \lim_{n \rightarrow \infty} \{D_n \mid D_{n+1} = D_n \rightarrow D_n\}$$

- Indexed  $\lambda$ -calculus [Hyland-Wadsworth 1971; revised JJL 1974]

$$M, N, \dots ::= x \mid MN \mid \lambda x. M \mid M^n \quad (n \geq 0)$$

$$(\lambda x. M)^{n+1} N \rightarrow M\{x := N^n\}^n$$

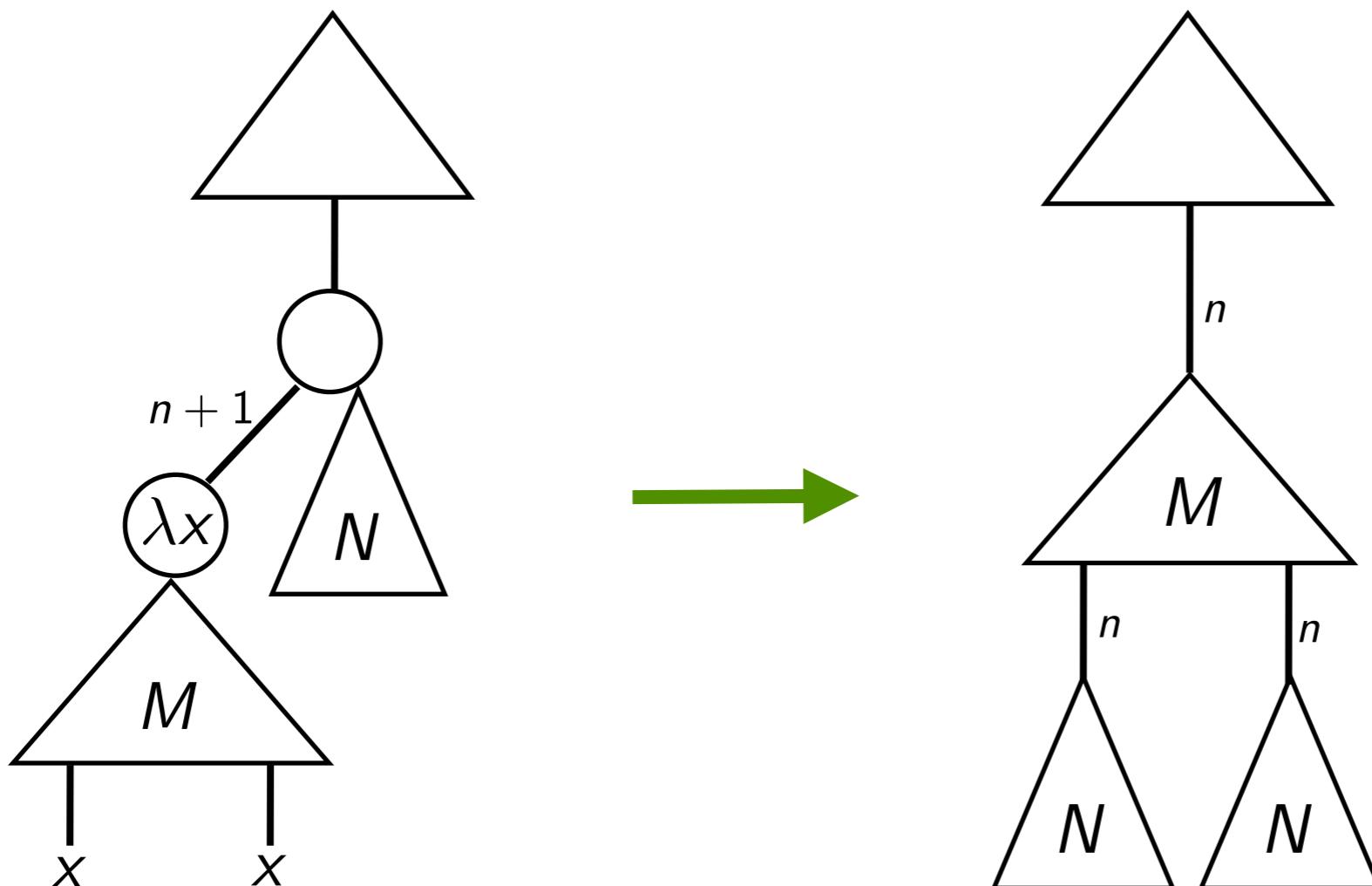
$$M^n\{x := N\} = M\{x := N\}^n$$

$$(M^m)^n = M^p \quad \text{where} \quad p = \min\{m, n\}$$

- An example:  $\Delta_n = (\lambda x. (x^{10} x^4)^{20})^n$

$$(\Delta_3 \Delta_4)^{15} \rightarrow (\Delta_2 \Delta_2)^2 \rightarrow (\Delta_1 \Delta_1)^1 \rightarrow (\Delta_0 \Delta_0)^0$$

# Hyland-Wadsworth $\lambda$ -calculus



# Hyland-Wadsworth $\lambda$ -calculus

- An example:  $\Delta_n = (\lambda x.(x^{10}x^4)^{20})^n$

$$\begin{aligned} (\Delta_3 \Delta_4)^{15} &\rightarrow ((x^{10}x^4)^{20}\{x := (\Delta_4)^2\}^2)^{15} \\ &= (x^{10}x^4)^{20}\{x := (\Delta_4)^2\}^2 \\ &= (x^{10}x^4)^{20}\{x := \Delta_2\}^2 \\ &= ((x^{10}x^4)^{20})^2\{x := \Delta_2\} \\ &= (x^{10}x^4)^2\{x := \Delta_2\} \\ &= ((\Delta_2)^{10}(\Delta_2)^4)^2 \\ &= (\Delta_2\Delta_2)^2 \end{aligned}$$

$$(\Delta_3 \Delta_4)^{15} \rightarrow (\Delta_2 \Delta_2)^2 \rightarrow (\Delta_1 \Delta_1)^1 \rightarrow (\Delta_0 \Delta_0)^0$$

- In the standard  $\lambda$ -calculus, we have

$$(\lambda x.x\,x)(\lambda x.x\,x) \rightarrow (\lambda x.x\,x)(\lambda x.x\,x) \rightarrow \dots$$

# Hyland-Wadsworth $\lambda$ -calculus

- Let  $\Delta = \lambda x.x x$

$$(\Delta^3 \Delta^3)^3 \rightarrow (\Delta^2 \Delta^2)^2 \rightarrow (\Delta^1 \Delta^1)^1 \rightarrow (\Delta^0 \Delta^0)^0$$

- Let the **degree** of a redex be the exponent of its function part

$$\text{degree}((\lambda x.M)^n N) = n$$

- The degree of a redex gives its "computing power"
- Residuals of a redex keep their degree
- Created new redexes have lower degree

# Hyland-Wadsworth $\lambda$ -calculus

- $\text{HW}\lambda$ -calculus is confluent and strongly normalizable
- no infinite reductions
- unique normal form
- the standard  $\lambda$ -calculus can be seen as an infinite limit of  $\text{HW}\lambda$ -calculus

# Hyland-Wadsworth $\lambda$ -calculus

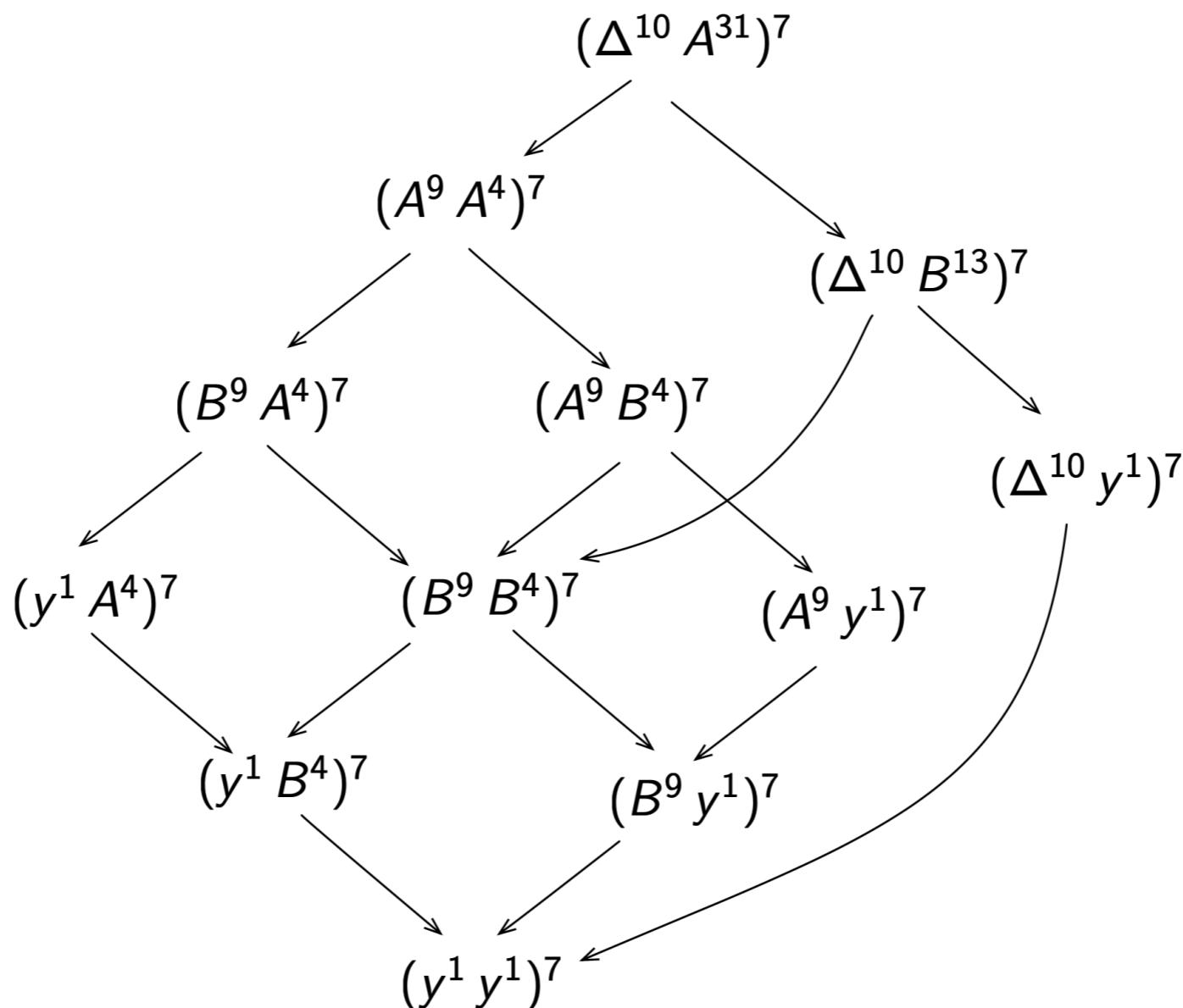
$$\Delta = \lambda x.(x^{10}x^4)^{20}$$

$$F = \lambda f.(f^{27}y^5)^{13}$$

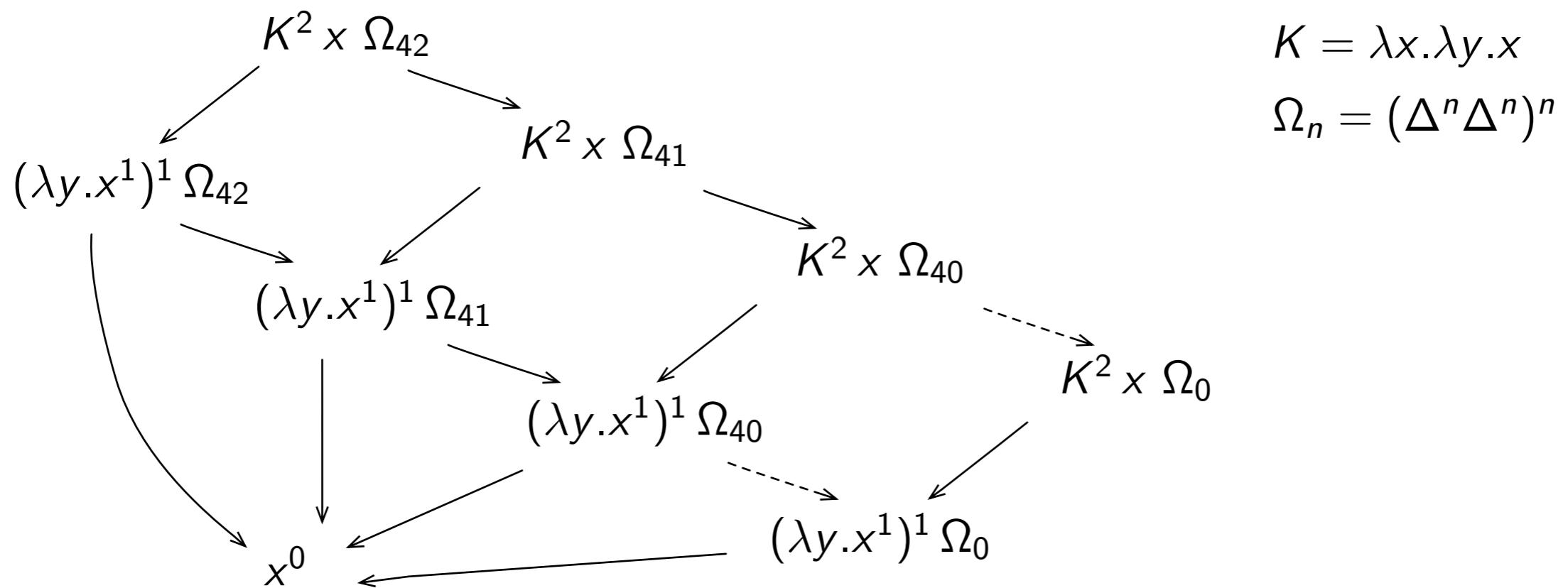
$$I = \lambda x.x^8$$

$$A = F^{42} I^2$$

$$B = I^2 y^5$$



# Hyland-Wadsworth $\lambda$ -calculus



# Hyland-Wadsworth $\lambda$ -calculus

$$\frac{(\lambda x. \dots (x^p N) \dots)^{n+1} (\lambda y. M)^m}{n+1} \rightarrow \dots ((\lambda y. M)^q N') \dots$$

$q = \min\{p, n, m\}$

creates

$$\frac{((\lambda x. (\lambda y. M)^m)^{n+1} N)^p P}{n+1} \rightarrow \underline{(\lambda y. M')^q P}$$

$q = \min\{p, n, m\}$

creates

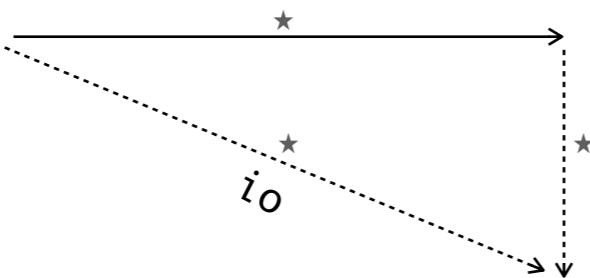
$$\frac{((\lambda x. x^p)^{n+1} (\lambda y. M)^m)^q N}{n+1} \rightarrow \underline{(\lambda y. M)^r N}$$

$r = \min\{p, n, m, q\}$

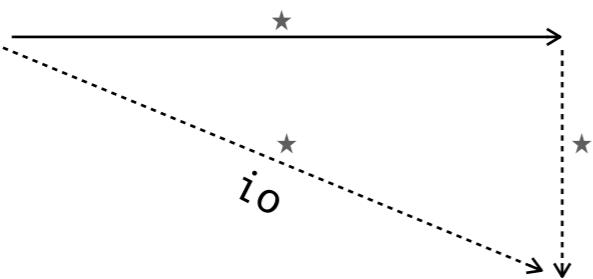
creates

# Initial motivation

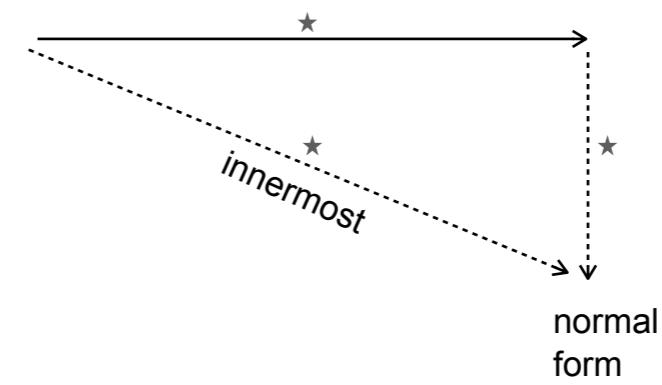
- completeness of  $\text{io}$  reductions:



- goes to  $\text{HW}\lambda$ -calculus



$\lambda$ -calculus



$\text{HW}\lambda$ -calculus

the  
labeled  
 $\lambda$ -calculus

# From $\text{Hw}\lambda$ -calculus to a labeled $\lambda$ -calculus

- An abstract set of labels  $\{\alpha, \beta, \gamma, \dots\}$

- A labeled  $\lambda$ -calculus

$$M, N, \dots ::= x \mid MN \mid \lambda x. M \mid M^\alpha$$

$$(\lambda x. M)^\alpha N \rightarrow M\{x := N^{g(\alpha)}\}^{h(\alpha)}$$

where  $f, g, h$  are 3 unknown functions

$$M^\alpha\{x := N\} = M\{x := N\}^\alpha$$

$$(M^\alpha)^\beta = M^\gamma \quad \text{where } \gamma = f(\alpha, \beta)$$

- consistency of  $f$  in  $((M^\alpha)^\beta)^\gamma$

$$f(f(\alpha, \beta), \gamma) = f(\alpha, f(\beta, \gamma))$$

# The labeled $\lambda$ -calculus

- An abstract set of labels on alphabet  $\mathcal{A} = \{a, b, c, \dots\}$

$$\alpha, \beta ::= a \mid \alpha\beta \mid \lceil\alpha\rceil \mid \lfloor\alpha\rfloor$$

- A labeled  $\lambda$ -calculus

$$M, N, \dots ::= x \mid MN \mid \lambda x. M \mid M^\alpha$$

$$(\lambda x. M)^\alpha N \rightarrow M\{x := N^{\lfloor\alpha\rfloor}\}^{\lceil\alpha\rceil}$$

$$M^\alpha\{x := N\} = M\{x := N\}^\alpha$$

$$(M^\alpha)^\beta = M^{\alpha\beta}$$

# The labeled $\lambda$ -calculus

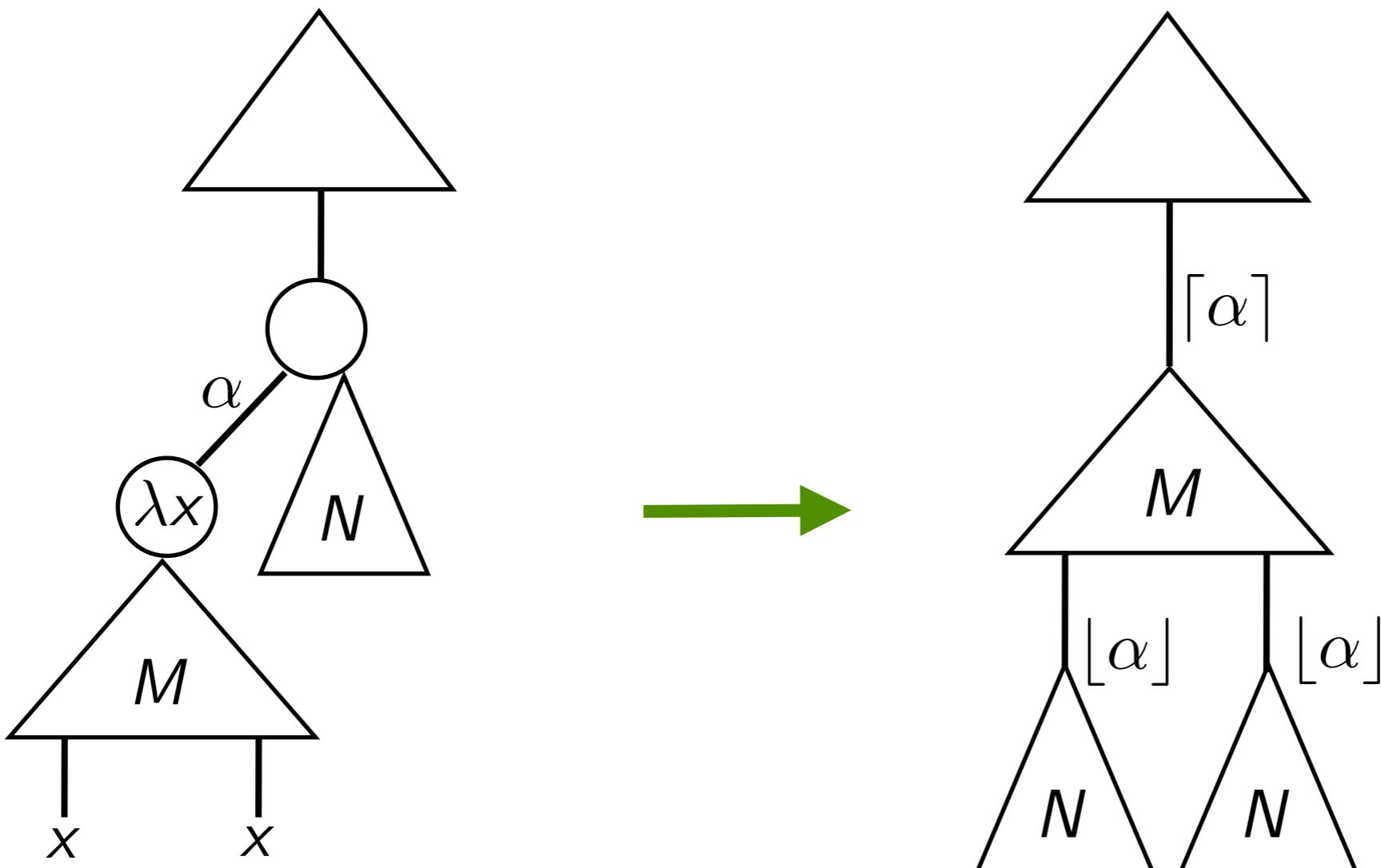
- An alphabet of atomic labels  $\mathcal{A} = \{a, b, c, \dots\}$

- A labeled  $\lambda$ -calculus [Asperti-Laneve]

$$M, N, \dots ::= x \mid MN \mid \lambda x. M \mid a : M$$
$$(a_1 : a_2 : \dots : a_n : \lambda x. M)N \rightarrow a_1 : a_2 : \dots : a_n : M\{x := a_n : a_{n-1} : \dots : a_1 : N\}$$
$$(a : M)\{x := N\} = a : M\{x := N\}$$

- Correspondence with paths in initial term

# The labeled $\lambda$ -calculus



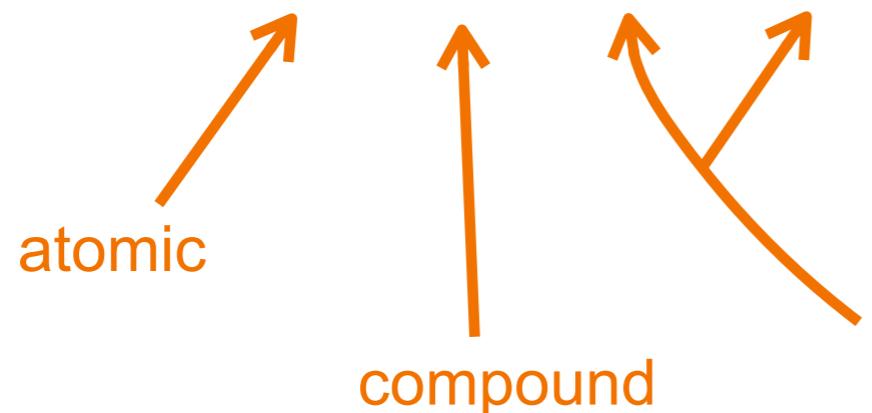
# The labeled $\lambda$ -calculus

- Let  $\Delta = \lambda x. xx$ ,  $\gamma_1 = \lfloor a \rfloor$ ,  $\gamma_2 = \gamma_1 \lfloor \gamma_1 \rfloor$   
$$\Delta^a \Delta \rightarrow (\Delta^{\gamma_1} \Delta^{\gamma_1})^{\lceil a \rceil} \rightarrow (\Delta^{\gamma_2} \Delta^{\gamma_2})^{\lceil \gamma_1 \rceil \lceil a \rceil} \rightarrow \dots$$
- Let the **name** of a redex be the label of its function part  
$$\text{name}( (\lambda x. M)^\alpha N ) = \alpha$$
- The name of a redex gives its "origin"
- Residuals of a redex keep their names
- Created new redexes strictly contain the names of their creators

# The labeled $\lambda$ -calculus

- labels over alphabet  $\mathcal{A} = \{a, b, c, \dots\}$

$$\alpha, \beta ::= a \mid \alpha\beta \mid [\alpha] \mid \underline{[\alpha]}$$



new atomic names (overlined, underlined)

# The labeled $\lambda$ -calculus

- An example:  $\underline{\Delta} = \lambda x.(x^c x^d)^b, \Delta = \lambda x.(x^g x^h)^f$

$$\Omega = \underline{\Delta}^a \Delta^e$$

$$\rightarrow \Omega_1 = (\Delta^{\gamma_1} \Delta^{\delta_1})^{b[a]}$$

$$\gamma_1 = e[a]c$$

$$\delta_1 = e[a]d$$

$$\rightarrow \Omega_2 = (\Delta^{\gamma_2} \Delta^{\delta_2})^{f[\gamma_1]b[a]}$$

$$\gamma_2 = \delta_1[\gamma_1]g$$

$$\delta_2 = \delta_1[\gamma_1]h$$

$$\rightarrow \Omega_3 = (\Delta^{\gamma_3} \Delta^{\delta_3})^{f[\gamma_2]f[\gamma_1]b[a]}$$

$$\gamma_3 = \delta_2[\gamma_2]g$$

$$\delta_3 = \delta_2[\gamma_2]h$$

$\rightarrow \dots$

- or simpler with partial labels:  $\Delta = \lambda x.x x$

$$\Omega = \Delta^a \Delta$$

$$\rightarrow \Omega_1 = (\Delta^{\gamma_1} \Delta^{\gamma_1})[a]$$

$$\gamma_1 = [a]$$

$$\rightarrow \Omega_2 = (\Delta^{\gamma_2} \Delta^{\gamma_2})^{[\gamma_1][a]}$$

$$\gamma_2 = \gamma_1[\gamma_1]$$

$$\rightarrow \Omega_3 = (\Delta^{\gamma_3} \Delta^{\gamma_3})^{[\gamma_2][\gamma_1][a]}$$

$$\gamma_3 = \gamma_2[\gamma_2]$$

$\rightarrow \dots$

# The labeled $\lambda$ -calculus

- the labeled calculus is **confluent**
- the labeled calculus is **strongly normalizable** when reduction is restricted to a **finite** set of redex names
- unique normal form when exists
- the standard  $\lambda$ -calculus can be seen as an infinite limit of finite labeled-calculi

# The labeled $\lambda$ -calculus

$$\Delta = \lambda x.(x^c x^d)^b$$

$$F = \lambda f. (f^k y^\ell)^j$$

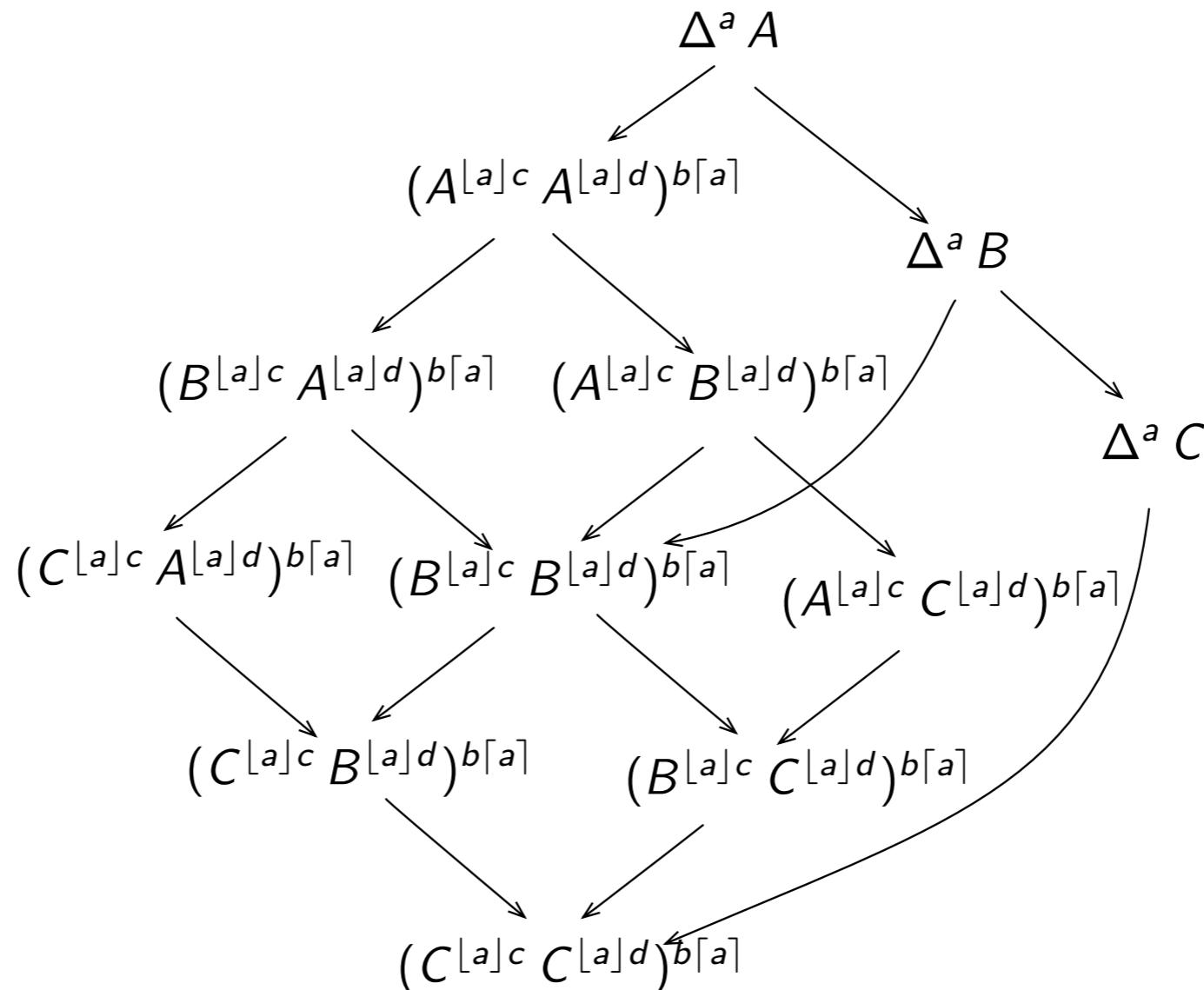
$$I = \lambda x. x^v$$

$$A = (F^i |^u)^q$$

$$B = (I^\gamma y^\ell)^q$$

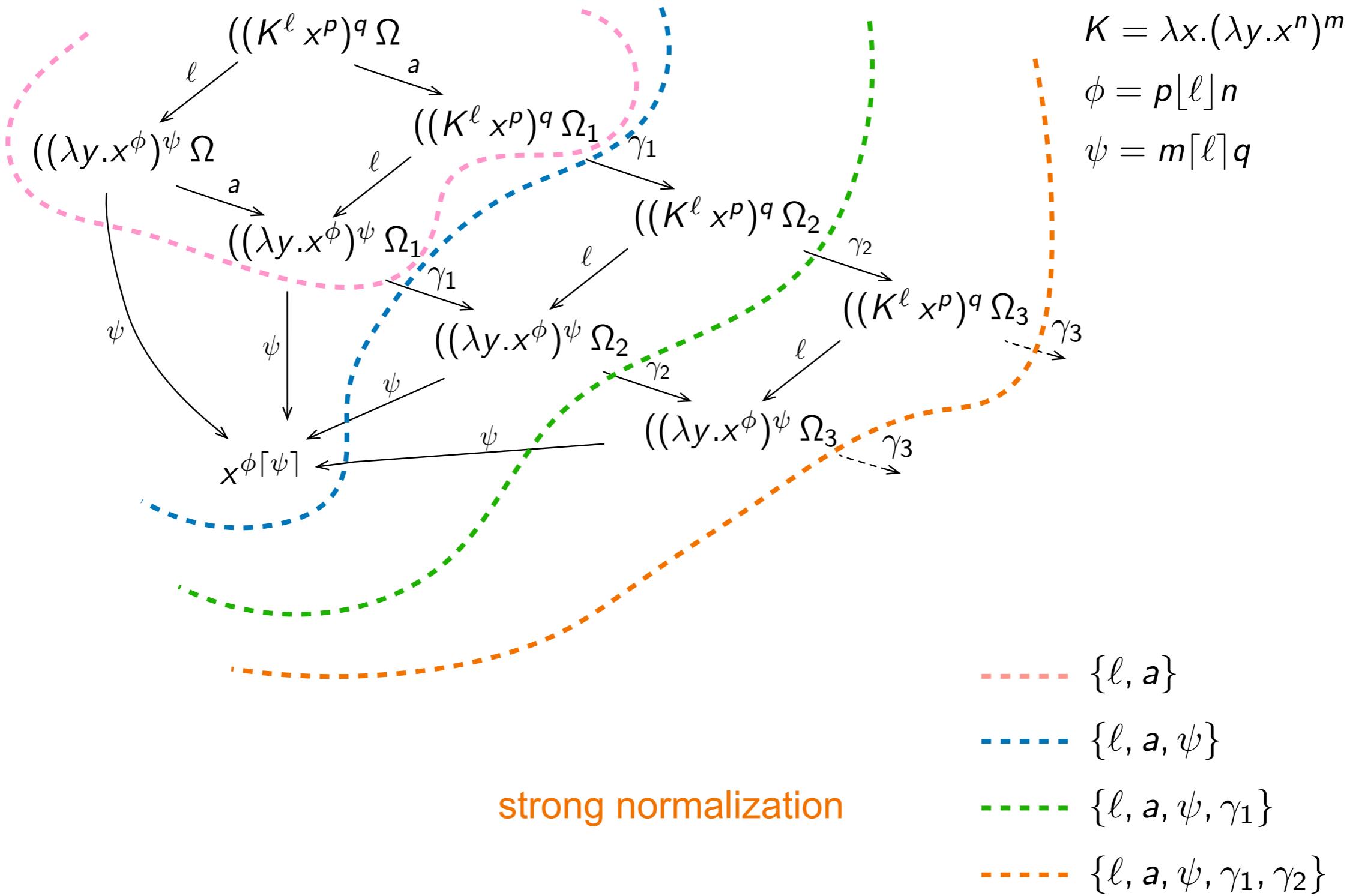
$$C = y^{\ell[\gamma]v[\gamma]q}$$

$$\gamma = u|i|k$$



# confluence

# The labeled $\lambda$ -calculus



# The labeled $\lambda$ -calculus

$$\underline{(\lambda x. \dots (x^\beta N) \dots)^\alpha (\lambda y. M)^\gamma} \rightarrow \dots \underline{((\lambda y. M)^{\gamma[\alpha]\beta} N')} \dots$$

$\alpha$      $\gamma[\alpha]\beta$

creates

$$\underline{((\lambda x. (\lambda y. M)^\gamma)^\alpha N)^\beta P} \rightarrow \underline{(\lambda y. M')^{\gamma[\alpha]\beta} P}$$

$\alpha$      $\gamma[\alpha]\beta$

creates

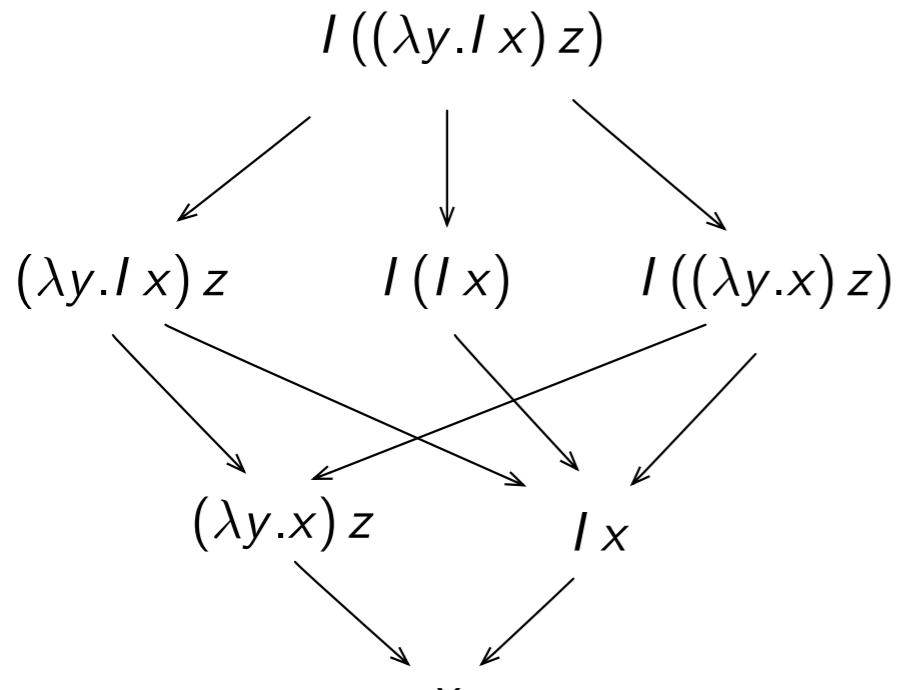
$$\underline{((\lambda x. x^\gamma)^\alpha (\lambda y. M)^\delta)^\beta N} \rightarrow \underline{(\lambda y. M)^{\delta[\alpha]\gamma[\alpha]\beta} N}$$

$\alpha$      $\delta[\alpha]\gamma[\alpha]\beta$

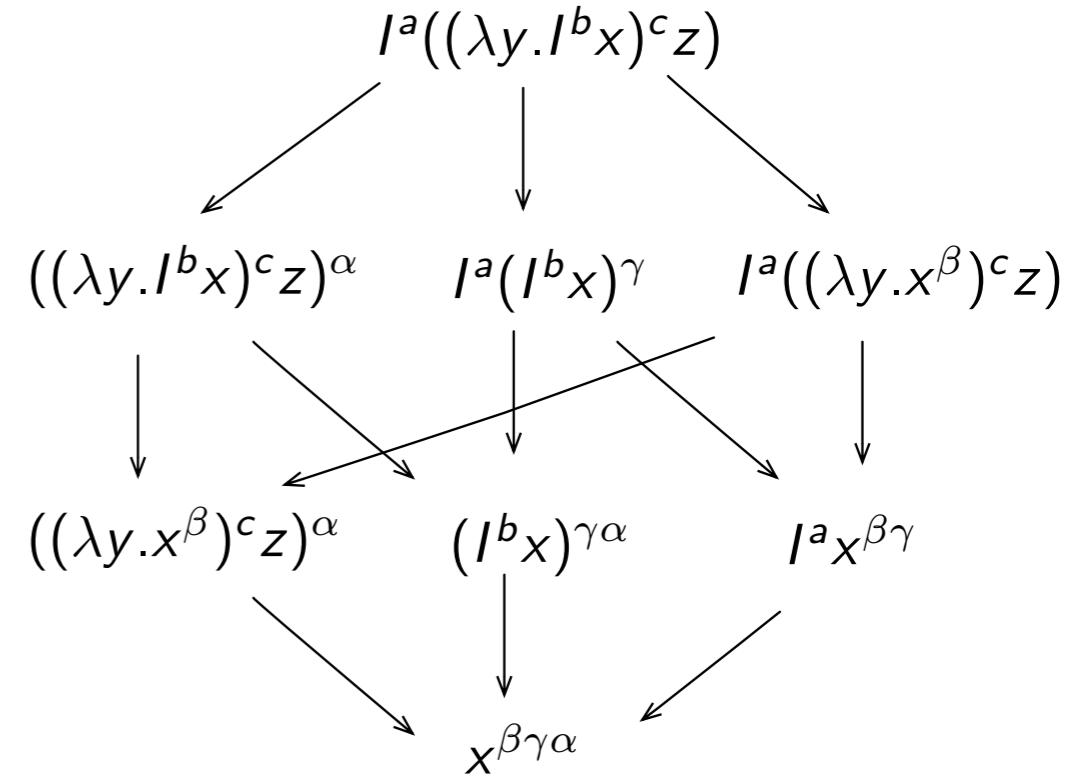
creates

origin

# The labeled $\lambda$ -calculus



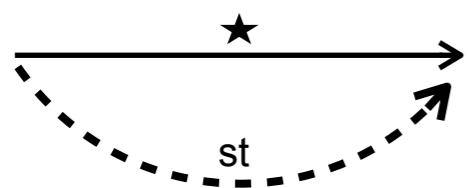
$$\begin{aligned}
 I &= \lambda x. x \\
 \alpha &= [a] \lceil a \rceil \\
 \beta &= [b] \lceil b \rceil \\
 \gamma &= \lceil c \rceil
 \end{aligned}$$



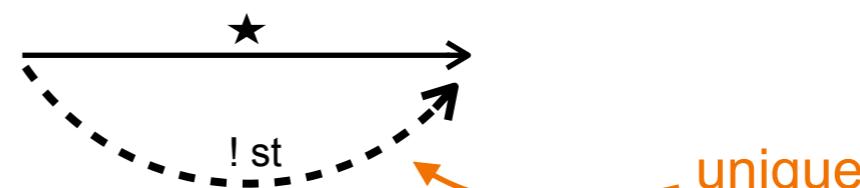
lattice

# The labeled $\lambda$ -calculus

- a **standard** reduction is an outside-in left-to-right reduction strategy
- any reduction can be reordered in a standard reduction [Curry 1958]



$\lambda$ -calculus



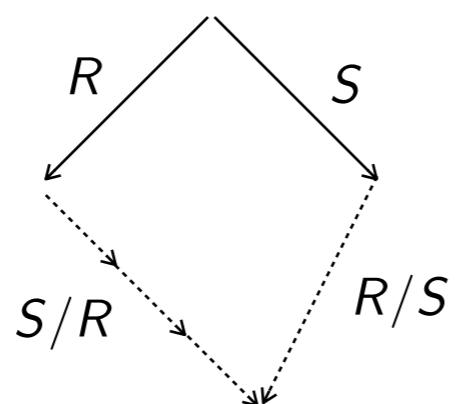
labeled  $\lambda$ -calculus

permutation

equivalence

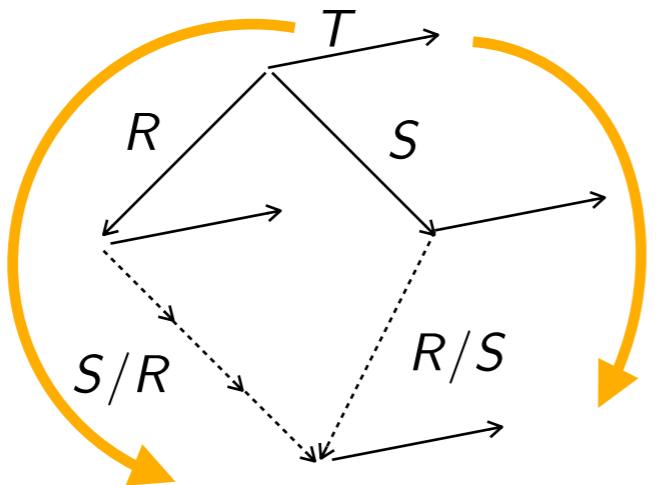
# Permutation equivalence

- single-redex reduction steps  $M \xrightarrow{R} N$
- residuals  $S/R$  of another redex  $S$  in  $M$  are **disjoint** redexes
  - let  $\mathcal{F}$  be a set of disjoint redexes
  - write  $\rho : \mathcal{F}$  for any single-redex reduction  $\rho$  of redexes of  $\mathcal{F}$  in any order
  - these reductions are all cofinal (end on a same term)
- single-redex reductions are locally confluent



# Permutation equivalence

- moreover, let  $T$  be another redex in  $M$
- residuals of  $T$  on both sides of the permutation are the **same**



$$T/(R \sqcup S) = T/(S \sqcup R)$$

the cube lemma

$$T/(R ; (S/R)) = T/(S ; (R/S))$$

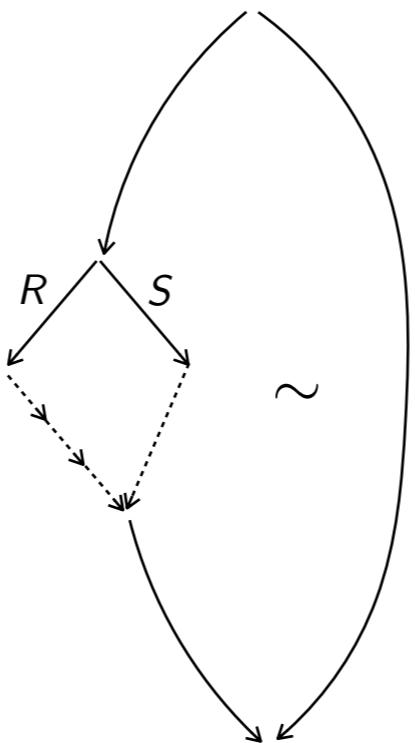
# Permutation equivalence

- definition with permutations

$\sim$  is the smallest equivalence relation such that:

$$(i) \quad R \sqcup S \sim S \sqcup R$$

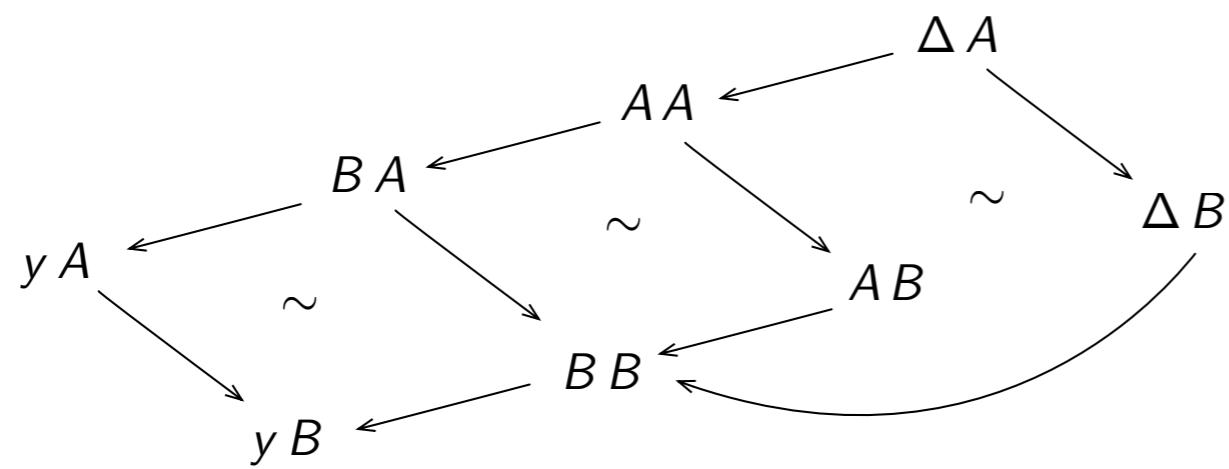
$$(ii) \quad \rho \sim \sigma \implies \tau; \rho; v \sim \tau; \sigma; v$$



aka parse trees  
for context-free  
languages

# Permutation equivalence

- example



$$\Delta = \lambda x. x x$$

$$F = \lambda f. f y$$

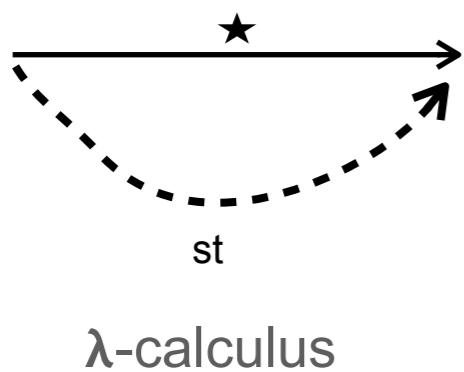
$$I = \lambda x. x$$

$$A = F I$$

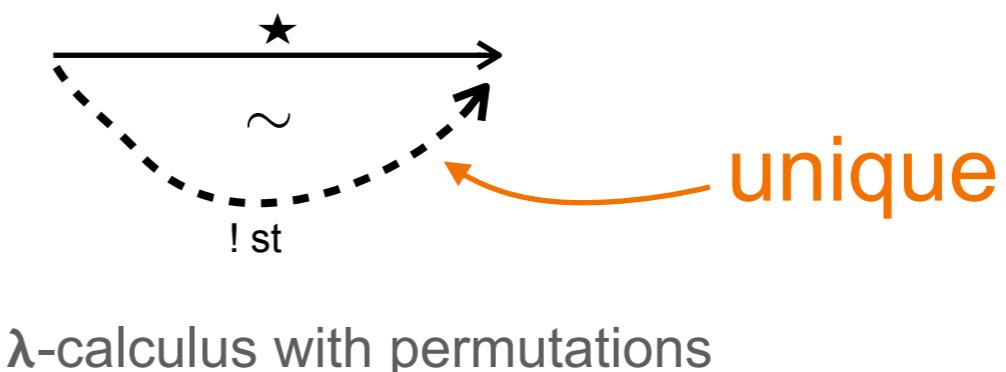
$$B = I y$$

# Permutation equivalence

- a **standard** reduction is an outside-in left-to-right reduction strategy
- any reduction is equivalent by permutations to a unique standard reduction



$\lambda$ -calculus



$\lambda$ -calculus with permutations

- standard reductions are canonical representatives in equivalence classes

# Notation

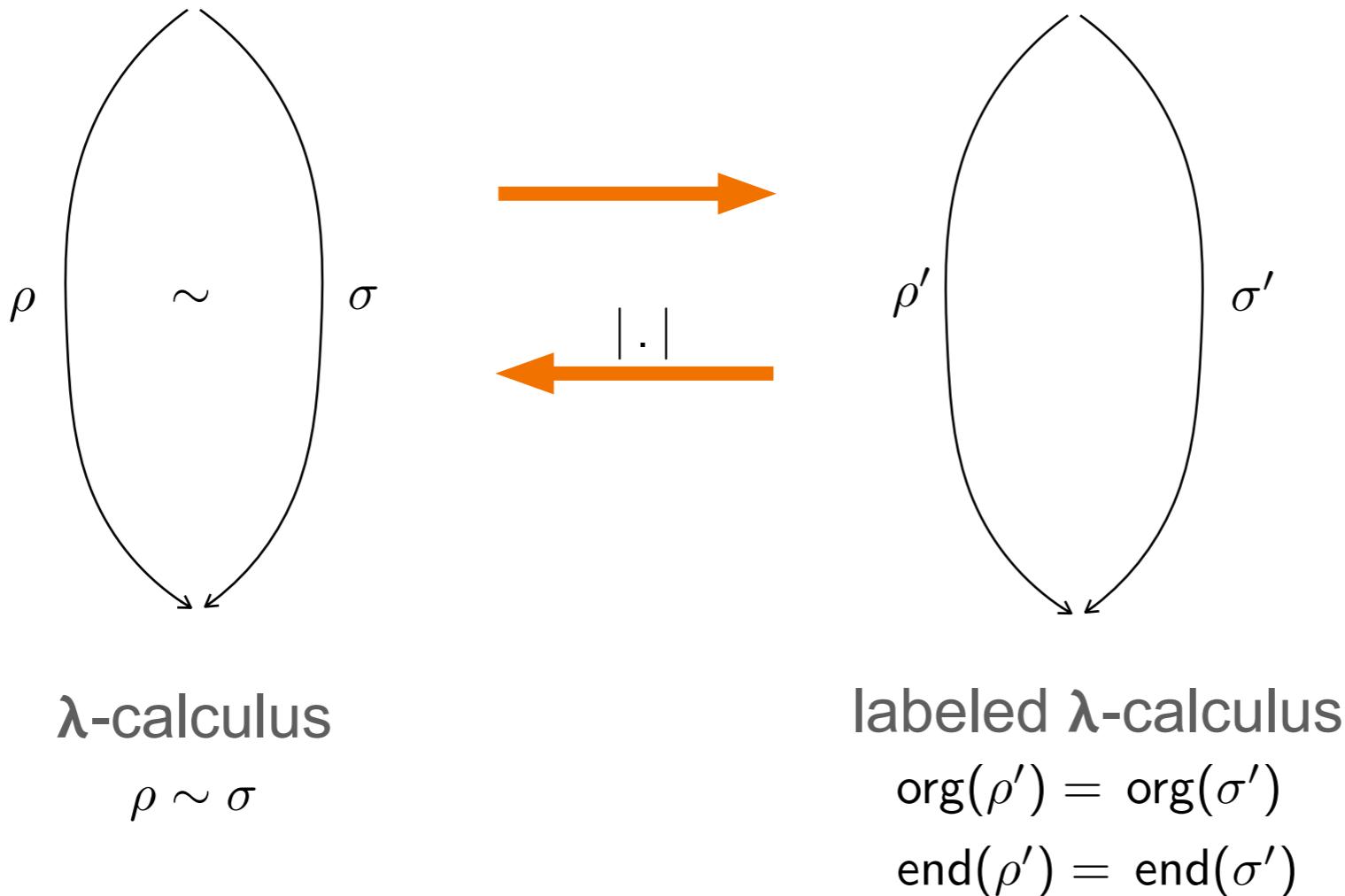
- usual  $\lambda$ -calculus  $M, N, P, \dots$
- labeled  $\lambda$ -calculus  $U, V, W, \dots$
- forgetful functor  $M = |U|$  by erasing labels

# Permutation equivalence

- $\sim$  corresponds to the coinitial / cofinal reductions of the labeled  $\lambda$ -calculus

Let  $\rho = |\rho'|$ ,  $\sigma = |\sigma'|$ . Then

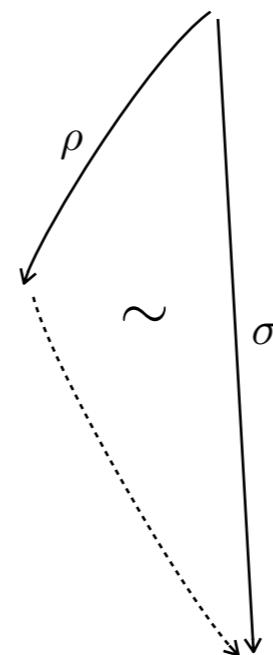
$$\rho \sim \sigma \iff \text{org}(\rho') = \text{org}(\sigma') \wedge \text{end}(\rho') = \text{end}(\sigma')$$



# Prefix modulo permutations

- $\leq$  is simply defined by:

$$\rho \leq \sigma \iff \exists \tau, \rho; \tau \sim \sigma$$



# Prefix modulo permutations

- properties of prefix up-to  $\sim$

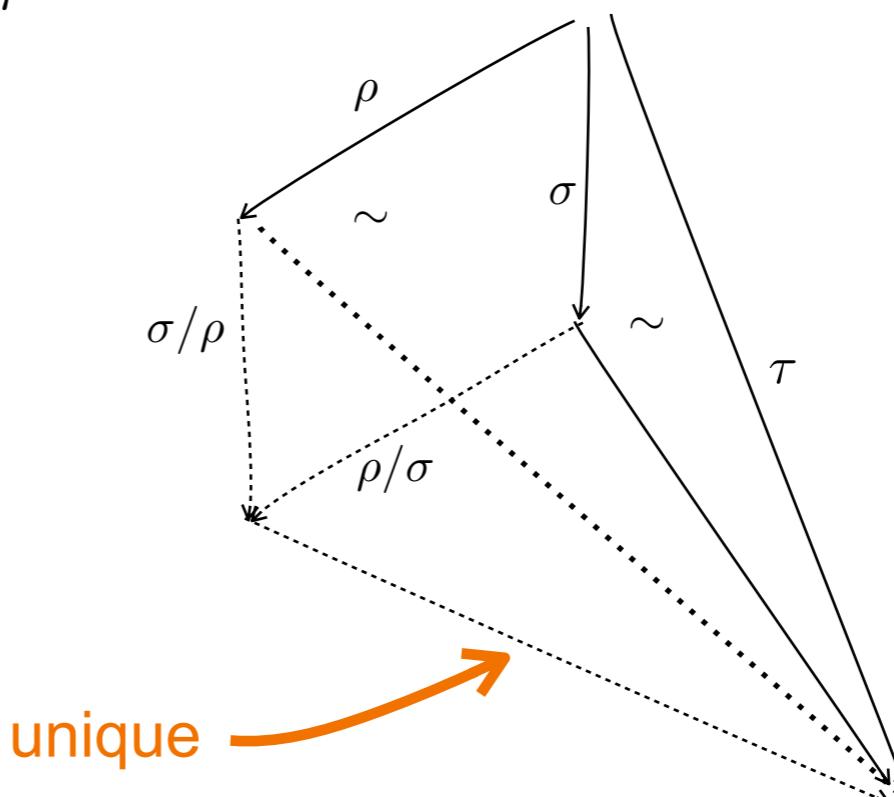
$$(i) \quad \rho \leq \rho \sqcup \sigma$$

$$(ii) \quad \sigma \leq \rho \sqcup \sigma$$

$$(iii) \quad \rho \leq \tau, \sigma \leq \tau \implies \rho \sqcup \sigma \leq \tau$$

sup-lattice

pushout

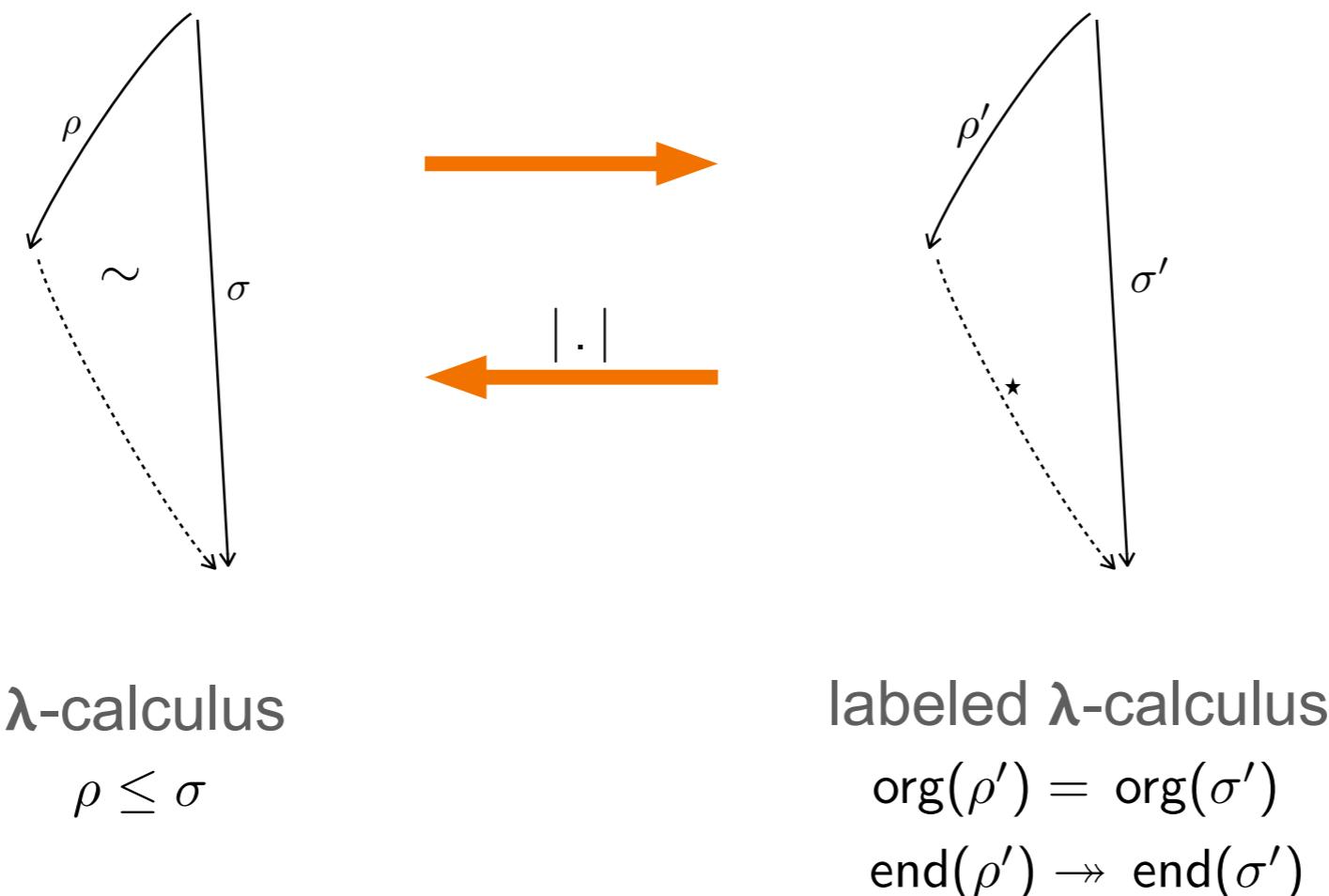


# Prefix modulo permutations

- $\leq$  corresponds to reductions of the labeled  $\lambda$ -calculus

Let  $\rho = |\rho'|$ ,  $\sigma = |\sigma'|$ . Then

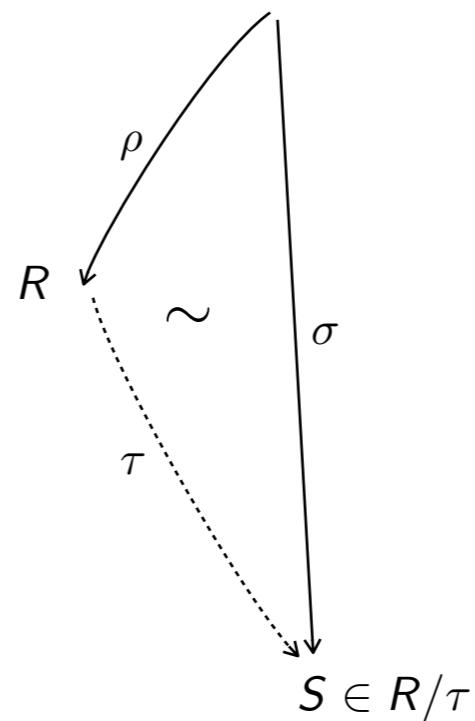
$$\rho \leq \sigma \iff \text{org}(\rho') = \text{org}(\sigma') \wedge \text{end}(\rho') \rightarrowtail \text{end}(\sigma')$$



redex  
families

# Residuals modulo permutations

- h-redex  $\langle \rho, R \rangle$  is a pair made of a reduction and a redex in its final term  
[ h-redexes capture histories of redexes ]
- a h-redex  $\langle \sigma, S \rangle$  is a **residual** of another h-redex  $\langle \rho, R \rangle$  when
$$\exists \tau, \quad \rho ; \tau \sim \sigma \quad \wedge \quad S \in R/\tau$$
- we write then  $\langle \rho, R \rangle \lesssim \langle \sigma, S \rangle$



# Residuals modulo permutations

- properties of residuals of h-redexes

$$(i) \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \iff \rho \leq \sigma \wedge S \in R/(\sigma/\rho)$$

$$(ii) \quad \langle \rho, R \rangle \lesssim \langle \rho, R \rangle$$

$$(iii) \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \lesssim \langle \rho, R \rangle \iff \rho \sim \sigma \wedge R = S$$

$$(iv) \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \lesssim \langle \tau, T \rangle \implies \langle \rho, R \rangle \lesssim \langle \tau, T \rangle$$

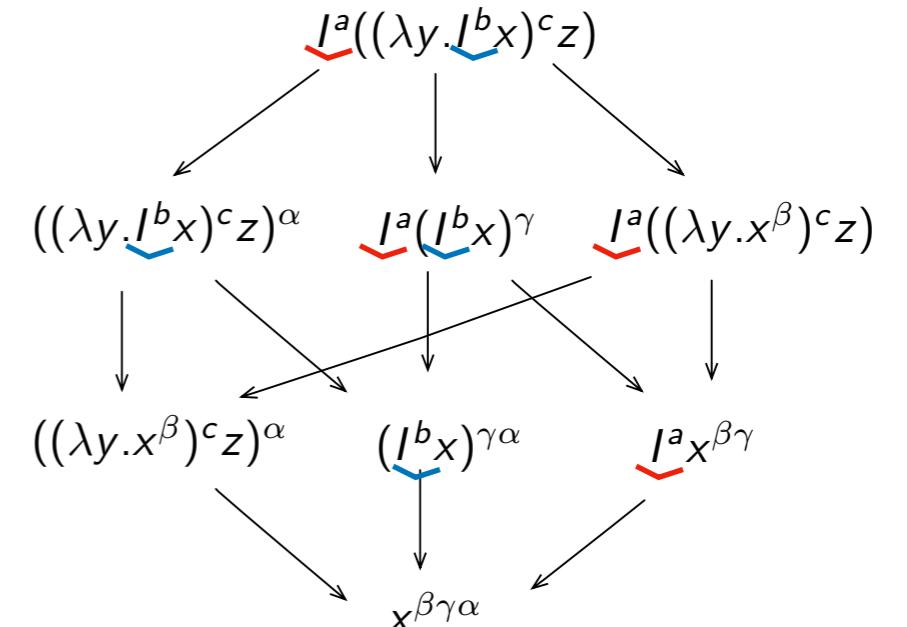
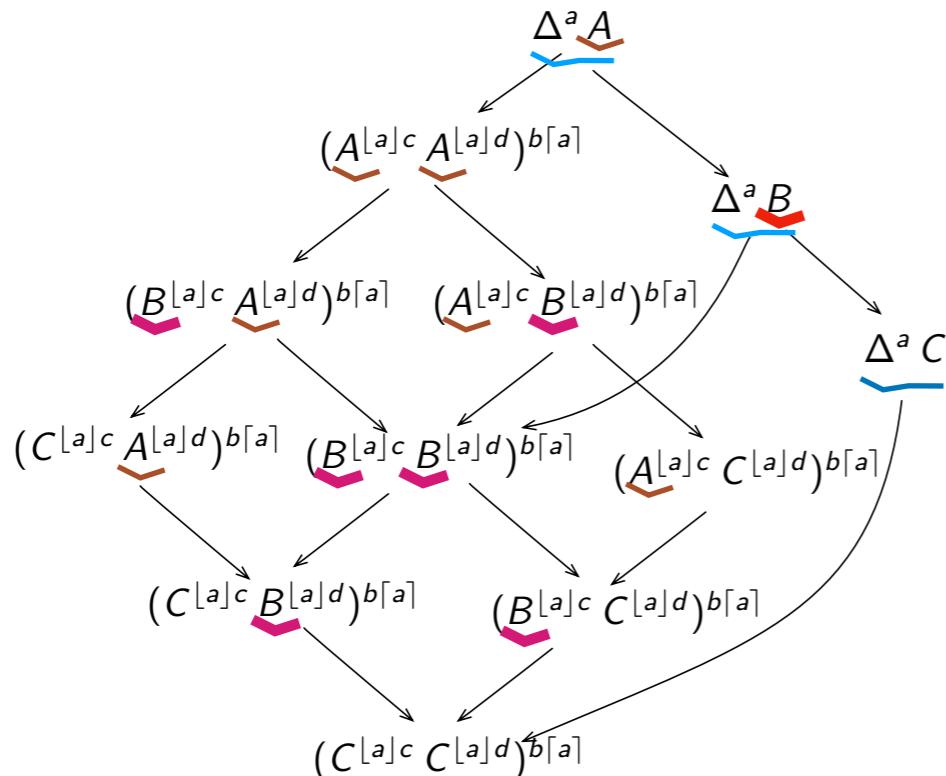
$$(v) \quad \langle \rho, R \rangle \lesssim \langle \tau, T \rangle \wedge \rho \leq \sigma \leq \tau \implies \exists! S, \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \lesssim \langle \tau, T \rangle$$

$$(vi) \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \iff \langle \tau; \rho, R \rangle \lesssim \langle \tau; \sigma, S \rangle$$

- residuals of h-redexes are consistent with permutation equivalence

# Residuals modulo permutations

- residuals of h-redexes correspond to names of redexes in :



$$\Delta = \lambda x.(x^c x^d)^b \quad A = (F^i I^u)^q$$

$$F = \lambda f.(f^k y^\ell)^j \quad B = (I^\gamma y^\ell)^q$$

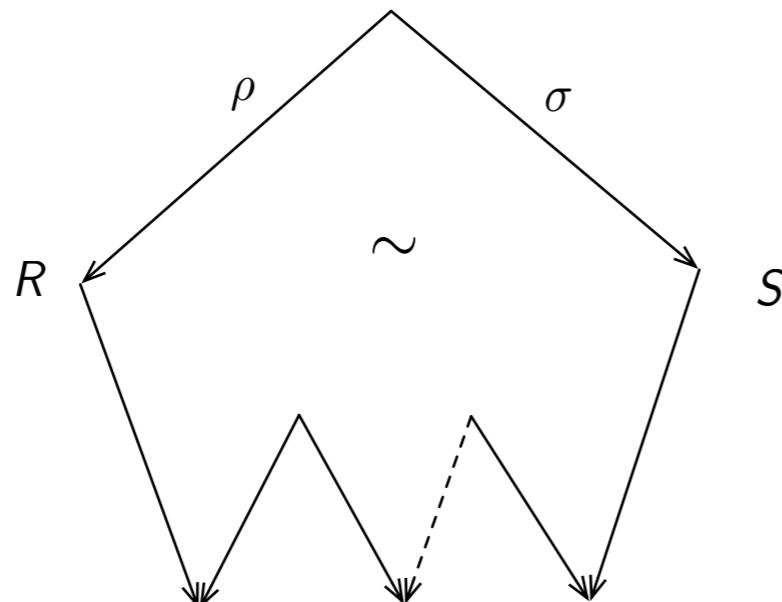
$$I = \lambda x.x^\nu \quad C = y^{\ell[\gamma] \nu[\gamma] q}$$

# Redex families

- the family relation  $\simeq$  between h-redexes is defined by :

$$(i) \quad \langle \rho, R \rangle \lesssim \langle \sigma, S \rangle \implies \langle \rho, R \rangle \simeq \langle \sigma, S \rangle \simeq \langle \rho, R \rangle$$

$$(ii) \quad \langle \rho, R \rangle \simeq \langle \sigma, S \rangle \simeq \langle \tau, T \rangle \implies \langle \rho, R \rangle \simeq \langle \tau, T \rangle$$



- symmetric + transitive closure of residuals modulo permutations

# Redex families

- from now on, we only consider standard reductions
- then the extraction relation  $\triangleleft$  on h-redexes is defined as follows

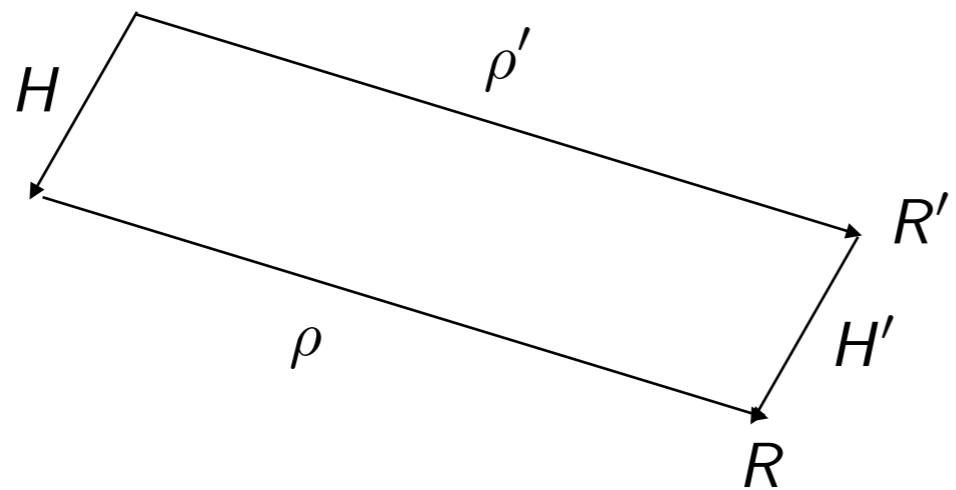
$$(i) \quad \langle o, R \rangle \triangleleft \langle o, R \rangle$$

$$(ii) \quad \langle \rho, R \rangle \triangleleft \langle \sigma, S \rangle \implies \langle \rho', R' \rangle \triangleleft \langle H; \sigma, S \rangle$$

where  $\langle \rho', R' \rangle$  is defined by cases analysis on  $\rho$  w.r.t.  $H$

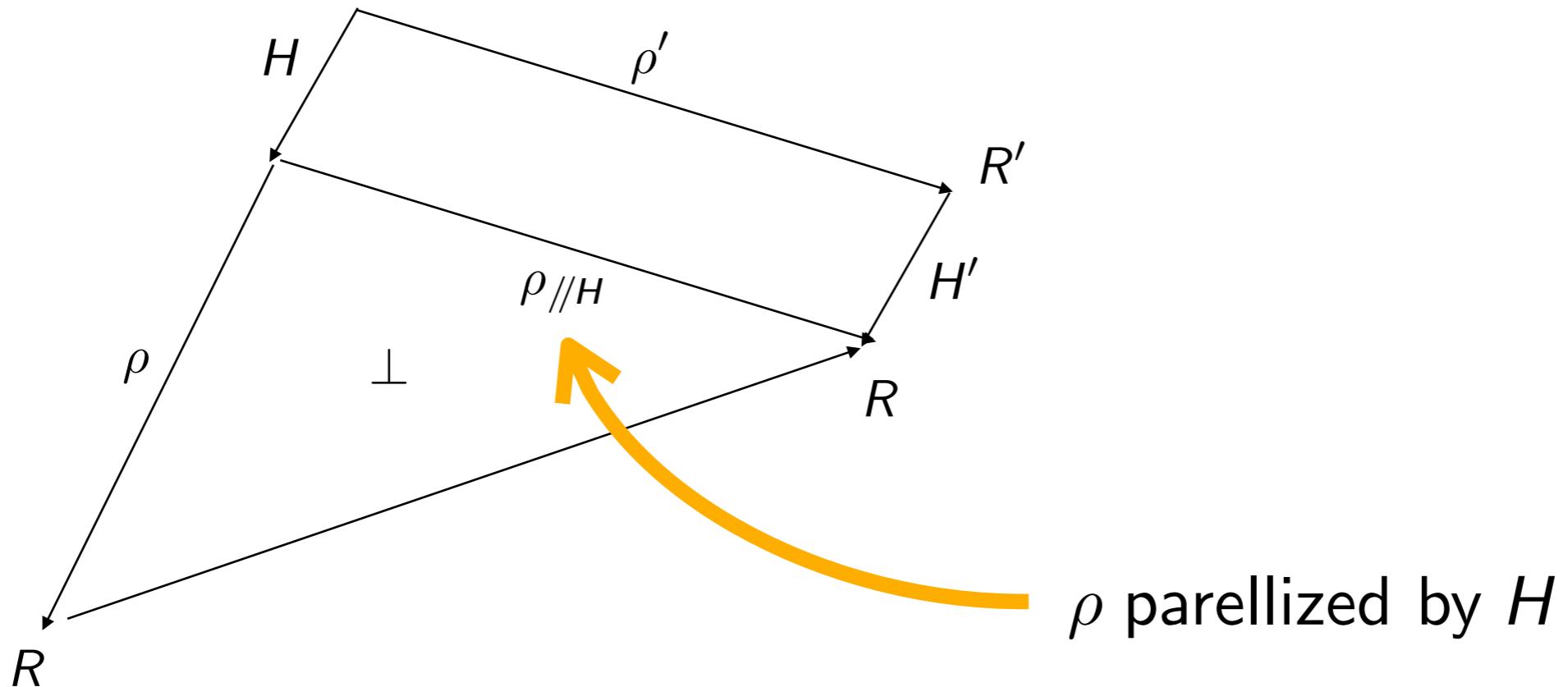
# Redex families

- **Case 1:**  $\rho$  is in body of  $H$  or disjoint to the right of the contractum of  $H$  then  $\rho'$  is isomorphic to  $\rho$



# Redex families

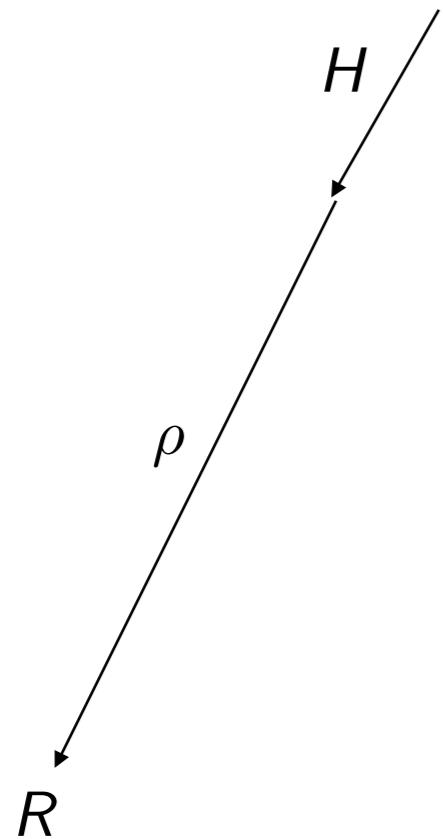
- **Case 2:**  $\rho$  is internal to an instance of a copy of the argument of  $H$  then  $\rho'$  is isomorphic to  $\rho$  in the argument of  $H$



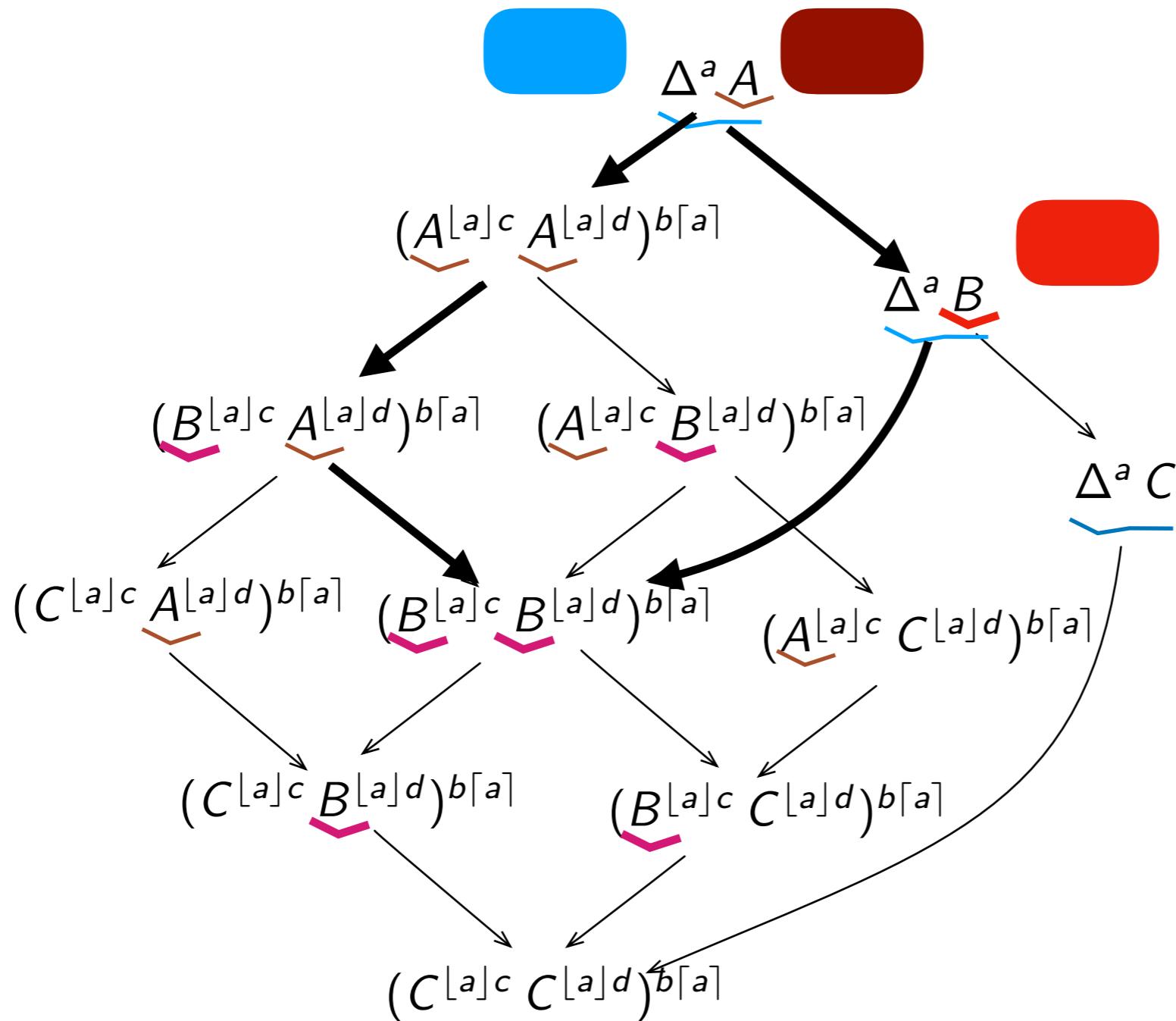
# Redex families

- **Otherwise** (  $H$  necessary for  $R$  )

$$\rho' = H; \rho \quad \wedge \quad R' = R$$



# Redex families



# Redex families

- the family relation  $\simeq$  can be decided by extraction

$$\langle \rho, R \rangle \simeq \langle \sigma, S \rangle \iff \langle \tau, T \rangle \triangleleft \langle \rho, R \rangle \wedge \langle \tau, T \rangle \triangleleft \langle \sigma, S \rangle \text{ for some } \langle \tau, T \rangle$$

- in fact  $\langle \tau, T \rangle$  is unique and is the **canonical representative** of its family
- $\langle \tau, T \rangle$  is unique in family with **minimum length** of (standard) reduction

redexes are stable in the  $\lambda$ -calculus

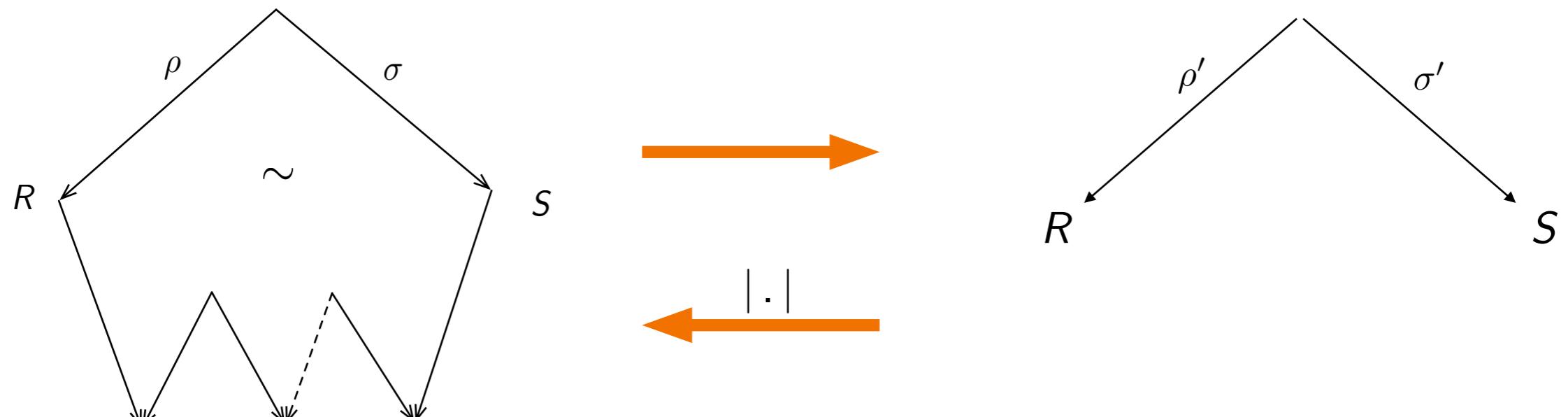


sequentiality

# Redex families

- the family relation  $\simeq$  corresponds to names in the labeled calculus

$$\langle \rho, R \rangle \simeq \langle \sigma, S \rangle \iff \langle \tau, T \rangle \triangleleft \langle \rho, R \rangle \wedge \langle \tau, T \rangle \triangleleft \langle \sigma, S \rangle \text{ for some } \langle \tau, T \rangle$$



$\lambda$ -calculus

$$\langle \rho, R \rangle \simeq \langle \sigma, S \rangle$$

labeled  $\lambda$ -calculus

$$\text{name}(R) = \text{name}(S)$$

when initial labeling with  
distinct letters

# Extra properties

- algebraic laws with **parallel reductions** of redexes
- residuals of parallel reductions
- optimality of **family complete** reductions
- family complete reductions are the duplication complete
- reductions with ultra sharing [Lamping]
- connections with **linear logic** (without boxes)
- generalization to **other systems** (interaction systems, ...)

# Conclusion

- real implementations of sharing (more than call-by-need) ?  
[ non exponential implementations ]
- subsets where possible manageable sharing (weak calculi, others?)
- intuitive proofs of strong normalization
- simplification of the extraction process
- history-based information flow
- incremental computations (makefiles [Vesta], neural networks)